

## Gelfand-Kirillov dimension

Let  $A$  be a f.g.  $k$ -algebra (not necessarily commutative).

Assume  $V$  is a  $k$ -subspace of  $A$  s.t.

(1)  $\dim_k V < \infty$ , (2)  $1 \in V$  (3)  $A = \bigcup_{n=1}^{\infty} V^{(n)}$ , where

$$V^{(n)} = V \cdots V := \{v_1 \cdots v_n \mid v_i \in V\}.$$

Let  $D_V(n) := \dim_k V^{(n)}$  and

$$\text{GKdim}(A) := \limsup_{n \rightarrow \infty} \frac{\log D_V(n)}{\log n}. \quad (\text{I})$$

(a) Prove that the value of (I) is independent of the choice of  $V$ , i.e. if  $V$  and  $W$  satisfy (1), (2) and (3)

then

$$\limsup_{n \rightarrow \infty} \frac{\log D_V(n)}{\log n} = \limsup_{n \rightarrow \infty} \frac{\log D_W(n)}{\log n}.$$

[Remark.  $\text{GKdim}(A) < \beta \iff$  for almost all  $n$ ,  $D_V(n) \leq n^\beta$ .]

(b) Prove the basic properties of Gelfand-Kirillov dimension

(1)  $A \subseteq B$  f.g.  $k$ -algebras  $\implies \text{GKdim}(A) \leq \text{GKdim}(B)$ .

(2)  $B \twoheadrightarrow A \twoheadrightarrow 0$  " "  $\implies \text{GKdim}(A) \leq \text{GKdim}(B)$ .

(3)  $\text{GKdim}(A[x]) = \text{GKdim}(A) + 1$ .

(c) Assume  $A \subseteq B$  are two f.g.  $k$ -algebras and  $B$  is a

f.g.  $A$ -module. Prove that  $\text{GKdim}(A) = \text{GKdim}(B)$ .

From this point on all the algebras are assumed to be

f.g. commutative  $k$ -algebras.

(d) Using the fact that  $\text{Kdim}(k[x_1, \dots, x_d]) = d$  (the Krull dimension), prove that  $\text{GKdim}(A) = \text{Kdim}(A)$ .

### Hilbert - Poincare series.

1. (a) Let  $\{d_n\}_{n=0}^{\infty}$  be a sequence of integers and let

$$P(t) := \sum_{n=0}^{\infty} d_n t^n \in \mathbb{Z}[[t]]$$

be its generating function. Prove that  $P(t)$  is a rational

function, i.e.  $\exists f(t), g(t) \in \mathbb{Z}[t], g(t) \neq 0, g(t)P(t) = f(t)$ ,

if and only if  $\{d_n\}$  satisfies a linear recursive equation,

i.e.  $\exists (a_0, \dots, a_k) \in \mathbb{Z} \setminus \{(0, \dots, 0)\}^k: a_0 d_n + a_1 d_{n-1} + \dots + a_k d_{n-k} = 0$

for any large enough integer  $n$ .

(b) What is the generating function of  $d_n = \binom{n+d}{d}$ ?

[Here,  $d \in \mathbb{Z}^{\geq 0}$  and  $\binom{m}{i} = \frac{m(m-1)\dots(m-i+1)}{i!}$ .]

[Hint:  $\binom{n+d}{d} = (-1)^n \binom{-d-1}{n}$ .]

(c) Let  $f \in \mathbb{Q}[t]$  s.t. (1)  $\deg f \leq D$

(2)  $f(n) \in \mathbb{Z}$  for large enough integers.

Prove that  $\exists! a_0, \dots, a_D \in \mathbb{Z}$  s.t.

$$f(t) = \sum_{i=0}^D a_i \binom{t+i}{i}$$

where  $\binom{t+i}{i} = \frac{(t+i)(t+i-1)\dots(t+1)}{i!}$ .

[Hint: (1)  $\deg (f(t+1) - f(t)) < \deg (f)$

(2)  $\binom{t+1+d}{d} - \binom{t+d}{d} = \binom{t+d}{d-1}$ . ]

(d) Let  $\{d_n\}$  be a sequence of integers. Prove that  $d_n = f(n)$

for large enough  $n$  and a polynomial  $f$  of degree  $D$

if and only if  $P(t) = \frac{h(t)}{(1-t)^{D+1}}$  where  $h(t) \in \mathbb{Z}[t]$ .

2. Let  $A$  be a f.g.  $k$ -algebra and  $1 \in V \subseteq A$  be a

finite-dimensional subspace such that  $A = \bigcup_{i=0}^{\infty} V^{(i)}$

where  $V^{(0)} = k$  and  $V^{(i)} = \{v_1, \dots, v_i \mid v_j \in V\}$ .

Let  $P_{A,V}(t) := 1 + \sum_{n=1}^{\infty} \dim(V^{(n)}/V^{(n-1)}) t^n$ .

(a) Find  $\mathbb{P}_{A, \bar{V}}(t)$  where  $A = k[x_1, \dots, x_d]$  and

$$\bar{V} = k \cdot 1 \oplus kx_1 \oplus \dots \oplus kx_d.$$

(b) Let  $f \in k[x_1, \dots, x_d]$  be a non-constant homogeneous polynomial. Let  $A = k[x_1, \dots, x_d]/\langle f \rangle$  and  $\bar{V} = k \cdot 1 + \sum_{i=1}^d kx_i$ .

Show that there is a polynomial  $g_f(t) \in \mathbb{Q}[t]$  of degree  $d-1$  s.t.  $g_f(n) = \dim(\bar{V}^{(n)})$  for large enough  $n$ .

(c) Find the leading coefficient of  $g_f(t)$  from part (b).

3. Let  $A = A_0 \oplus \bigoplus_{i=1}^{\infty} A_i$  and assume

(1)  $A_0 = k$ , (2)  $A_i \cdot A_j \subseteq A_{i+j}$ , (3)  $A = k[A_1]$

(4)  $\dim A_1 < \infty$ .

Also assume that  $\sum_{n=0}^{\infty} \dim(A_n) t^n = \frac{h(t)}{(1-t)^D}$  for some positive integer  $D$  and  $h(t) \in \mathbb{Z}[t]$  s.t.  $h(1) \neq 0$ .

(a) Prove that  $\text{GKdim}(A) = D$ .

(b) Let  $f \in A_m$  be a non-zero divisor. Let  $\bar{A} = A/\langle f \rangle$  and

$\bar{A}_n = A_n / (\langle f \rangle \cap A_n)$ . Prove that

$$\sum_{n=0}^{\infty} \dim(\bar{A}_n) t^n = \frac{g(t)}{(1-t)^{D-1}}$$

where  $g(t) \in \mathbb{Z}[t]$  and  $g(1) \neq 0$ . And conclude that

$$\text{GKdim}(A/\langle f \rangle) = \text{GKdim}(A) - 1.$$

4. Let  $k$  be an algebraically closed field and  $f_1, \dots, f_{n-1} \in k[x_1, \dots, x_n]$

$$\text{Let } V(f_1, \dots, f_{n-1}) := \{ \vec{x} \in k^n \mid f_1(\vec{x}) = \dots = f_{n-1}(\vec{x}) = 0 \}.$$

Prove that, if  $V(f_1, \dots, f_{n-1}) \neq \emptyset$ , then  $|V(f_1, \dots, f_{n-1})| = \infty$ .

5. Let  $A$  be a Noetherian domain. Prove that  $A$  is a UFD if and only if every prime ideal of height one is principal.