

## Lecture 25: Finite fields

Monday, June 4, 2018 11:18 AM

In the previous lecture we proved:

Theorem. If  $f(x) \in \mathbb{Z}_p[x]$  is irreducible of degree  $n$ , then

$E := \mathbb{Z}_p[x]/\langle f(x) \rangle$  is a field of order  $p^n$ .

An important property of a finite field of order  $p^n$  is the following:

Lemma. Suppose  $E$  is a field and  $|E|=q$ . Then

$$\forall \alpha \in E, \alpha^q = \alpha.$$

Pr. If  $\alpha=0$ , then  $\alpha^q=0=\alpha$ . If  $\alpha \neq 0$ , then  $\alpha \in U(E)$ .

By Lagrange's theorem  $\alpha^{|U(E)|} = 1$ ; and so  $\alpha^{q-1} = 1 \Rightarrow \alpha^q = \alpha$ . ■

Theorem. Suppose  $E$  is a finite field and  $|E|=q$ . Then

$$\prod_{\alpha \in E} (x - \alpha) = x^q - x.$$

Pr. By the previous lemma,  $\forall \alpha \in E$  is a zero of  $x^q - x$ . And

so  $\exists g(x) \in E[x]$ ,  $x^q - x = g(x) \prod_{\alpha \in E} (x - \alpha)$ .

Comparing degrees we get  $q = \deg g + |E| = \deg g + q$ .

## Lecture 25: A splitting field of a polynomial

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And so  $\deg g = 0$ . This means  $g(x) = c \in E \setminus \{0\}$ .

Comparing the leading coeff. we deduce that  $c=1$  and claim follows. ■

We will come back to this theorem later. For now let's go back to zeros of polynomials. So far we have found a field extension that contains a zero of an irreducible polynomial. Can we find a field extension that contains all the zeros of an arbitrary positive degree polynomial?

Def. Suppose  $F$  is a field,  $f(x) \in F[x]$  has positive degree;

$E$  is called a splitting field of  $f$  over  $F$  if

(1)  $F \xrightarrow{i} E$ ; that means  $i$  is an injective ring homomorphism.

(2)  $\exists \alpha_1, \dots, \alpha_n \in E$ ,  $f(x) = c(x-\alpha_1) \dots (x-\alpha_n)$   
 $c \in E$

(3)  $E$  is the smallest field that contains  $i(F)$  and  $\alpha_1, \dots, \alpha_n$ .

# Lecture 25: Examples of splitting fields

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Ex.  $\mathbb{Q}[\sqrt{2}]$  is a splitting field of  $x^2 - 2$  over  $\mathbb{Q}$ .

Solution.  $\mathbb{Q} \hookrightarrow \mathbb{Q}[\sqrt{2}]$

$$a \mapsto a$$

$$x^2 - 2 = (x - \sqrt{2})(x + \sqrt{2})$$

If a ring contains  $\mathbb{Q}$  as a subring and  $\sqrt{2}$ , then

$\forall a, b \in \mathbb{Q}$ ,  $a + b\sqrt{2}$  is in that ring. Hence  $\mathbb{Q}[\sqrt{2}]$  is

the smallest subring of  $\mathbb{Q}[\sqrt{2}]$  that contains  $\mathbb{Q}$  and  $\sqrt{2}$ .

Ex. Find a splitting field of  $x^3 - 2$  over  $\mathbb{Q}$ .

Solution. A splitting field  $E$  of  $x^3 - 2$  over  $\mathbb{Q}$  contains zeros of

$x^3 - 2$ . Let's start with finding zeros of this polynomial in  $\mathbb{C}$ .

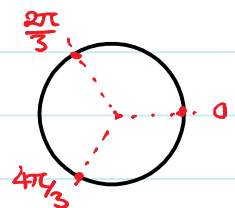
If a complex number  $z$  is a zero of  $x^3 - 2$ , then

$z^3 = 2$ . Using polar coordinates and Euler formula, we have

$z = r e^{i\theta}$  where  $r = |z|$  and  $\theta = \arg(z)$ . Hence

$(r e^{i\theta})^3 = 2$  implies  $r^3 = 2$  and  $e^{3i\theta} = 1$ . And so  $r = \sqrt[3]{2}$

and  $3\theta = 2k\pi$  for some  $k \in \mathbb{Z}$ . Hence



# Lecture 25: Examples of splitting fields

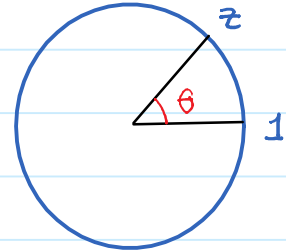
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[Recall from complex numbers:

if  $z \in \mathbb{C}$  and  $z^n = 1$ , then  $|z|^n = 1$  implies  $|z| = 1$ . And so  $z$

is on the unit circle. If the argument

of  $z$  is  $\theta$ , then multip. by  $z$  is



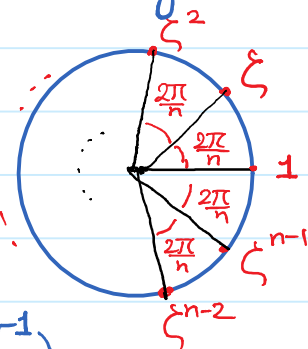
just rotation by angle  $\theta$  about the origin. So  $z^n = 1$

means after  $n$  times rotation we get back to 1. Therefore

$n\theta = 2k\pi$  for some  $k \in \mathbb{Z}$ . Hence we get  $n$  possible

values  $1, \zeta, \zeta^2, \dots, \zeta^{n-1}$  where

$$\zeta = e^{\frac{2\pi i}{n}} = \cos\left(\frac{2\pi}{n}\right) + i \sin\left(\frac{2\pi}{n}\right).$$



And so  $y^n - 1 = (y-1)(y-\zeta) \dots (y-\zeta^{n-1})$ . ]

Hence  $\sqrt[3]{2}, \sqrt[3]{2}\zeta, \sqrt[3]{2}\zeta^2$  are zeros of  $x^3 - 2$  where

$$\zeta = e^{\frac{2\pi i}{3}} = \cos\frac{2\pi}{3} + i \sin\frac{2\pi}{3} = -\frac{1}{2} + i\frac{\sqrt{3}}{2}. \text{ So a splitting}$$

field of  $x^3 - 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}[\sqrt[3]{2}, \sqrt[3]{2}\zeta, \sqrt[3]{2}\zeta^2]$  which

is the smallest subfield of  $\mathbb{C}$  that contains  $\mathbb{Q}, \sqrt[3]{2}, \sqrt[3]{2}\zeta,$

and  $\sqrt[3]{2}\zeta^2$ . Then  $\zeta = \sqrt[3]{2}\zeta / \sqrt[3]{2}$  is in this field; and so

## Lecture 25: Existence of a splitting field

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$\zeta \in \mathbb{Q}[\sqrt[3]{2}, \sqrt[3]{2}\zeta, \sqrt[3]{2}\zeta^2]$ . Hence  $\mathbb{Q}[\sqrt[3]{2}, \zeta] \subseteq \mathbb{Q}[\sqrt[3]{2}, \sqrt[3]{2}\zeta, \sqrt[3]{2}\zeta^2]$ .

Clearly  $\sqrt[3]{2}, \sqrt[3]{2}\zeta, \sqrt[3]{2}\zeta^2 \in \mathbb{Q}[\sqrt[3]{2}, \zeta]$ . So  $\mathbb{Q}[\sqrt[3]{2}, \zeta]$  is a splitting field of  $x^3 - 2$  over  $\mathbb{Q}$ .

Theorem. Suppose  $F$  is a field and  $f(x) \in F[x]$  has positive degree. Then  $f(x)$  has a splitting field over  $F$ .

Pf. We proceed by induction on  $\deg(f)$ .

Base. If  $\deg(f) = 1$ , then  $f(x) = a_1x + a_0$  and  $a_1 \in F \setminus \{0\}$ .

Hence  $f(x) = a_1(x + \frac{a_0}{a_1})$ ,  $\frac{a_0}{a_1} \in F$ ; and so  $F$  is a splitting field of  $f(x)$  over  $F$ .

Induction Step.  $F[x]$  is a UFD. So  $f(x) = \prod_{i=1}^m p_i(x)$  where

$p_i(x)$  is irreducible in  $F[x]$ . Hence  $\exists F \xrightarrow{\bar{i}} \bar{F}$  and

$\alpha \in \bar{F}$  s.t.  $\bar{i}(p_1)(\alpha) = 0$ , (Hence  $\bar{i}(f)(\alpha) = 0$ ) and  $\bar{F}$  is

the smallest ring that contains  $\alpha$  and  $\bar{i}(F)$ . Therefore by

the factor theorem,  $\exists \bar{f}(x) \in \bar{F}[x]$  s.t.  $\deg \bar{f} = \deg f - 1$

and  $f(x) = (x - \alpha)\bar{f}(x)$ . Now by the induction hypothesis,

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$\bar{f}$  has a splitting field over  $\bar{F}$ ; that means

- $\exists$  a field  $E$  and  $\hat{i}: \bar{F} \hookrightarrow E$  injective ring hom.
- $\exists \alpha_1, \dots, \alpha_{n-1} \in E$ ,  $\hat{i}(\bar{f})(x) = c(x-\alpha_1) \dots (x-\alpha_{n-1})$  for some  $c \in \bar{F} \setminus \{0\}$
- The smallest subfield of  $E$  that contains  $\hat{i}(\bar{F})$  and  $\alpha_1, \dots, \alpha_{n-1}$  is  $E$ .

Consider. 
$$\begin{array}{ccc} F & \xrightarrow{\bar{i}} & \bar{F} & \xrightarrow{\hat{i}} & E \\ & \searrow & \xrightarrow{i} & & \end{array}$$

$$\begin{aligned} \cdot \quad i(f)(x) &= \hat{i}(\bar{i}(f)(x)) \\ &= \hat{i}((x-\alpha)\bar{f}(x)) \\ &= (x-\hat{i}(\alpha))\hat{i}(\bar{f})(x) \\ &= c(x-\underbrace{\hat{i}(\alpha)}_{\alpha_n})(x-\alpha_1) \dots (x-\alpha_{n-1}). \end{aligned}$$

• A subfield of  $E$  that contains  $i(F)$  and

$\alpha_1, \dots, \alpha_n$  contains  $\hat{i}(\bar{i}(F))$  and  $\hat{i}(\alpha)$ ;

And so it contains  $\hat{i}(\underbrace{\bar{i}(F)[\alpha]}_{\bar{F}})$  and  $\alpha_1, \dots, \alpha_{n-1}$ .

Hence it should be  $E$ . ; and claim follows. ■

We go over this part of argument in the next lecture.