

# Lecture 08 : Fermat's little theorem

Thursday, February 21, 2019 2:34 AM

In the previous lecture we defined the evaluation map and pointed out that two poly. might give us the same functions.

Fermat's little theorem gives us one such example:

Theorem. Suppose  $p$  is prime. Then, for any  $a \in \mathbb{Z}_p$ ,

$$a^p = a.$$

Pf.  $\mathbb{Z}_p$  has characteristic  $p$ . In your HW assignment you have proved that  $(x+y)^p = x^p + y^p$  in  $\mathbb{Z}_p$ .  $\otimes$

Claim.  $(x_1 + x_2 + \dots + x_n)^p = x_1^p + \dots + x_n^p$  for any  $x_i \in \mathbb{Z}_p$ .

Pf of claim. We proceed by induction on  $n$ .  $\otimes$  implies

the  $n=2$  case.

by  $\otimes$

Induction Step.  $((x_1 + \dots + x_n) + x_{n+1})^p = (x_1 + \dots + x_n)^p + x_{n+1}^p$   
 $= x_1^p + \dots + x_n^p + x_{n+1}^p$  (induction hypothesis)

For  $a \in \mathbb{Z}_p$ ,  $a^p = \underbrace{(1+1+\dots+1)}_{a \text{ times}} = \underbrace{1^p + \dots + 1^p}_{\text{times}} = \underbrace{1 + \dots + 1}_{a \text{ times}} = a.$

by the above claim  $\blacksquare$

## Lecture 08: Evaluation map

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Let's recall the evaluation map: Suppose  $A$  is a subring of  $B$  and  $b \in B$ . Then the evaluation at  $b$

$\phi_b : A[x] \rightarrow B$ ,  $\phi_b(f) = f(b)$  is a ring homomorphism

$\text{Im } \phi_b = \{ a_0 + a_1 b + \dots + a_n b^n \mid a_i \in A, n \in \mathbb{Z}^+ \}$  and

$\text{ker } \phi_b = \{ f(x) \in A[x] \mid b \text{ is a zero of } f(x) \}$ .

Ex. Find  $\text{ker}(\phi_{\sqrt{2}})$  where  $\phi_{\sqrt{2}} : \mathbb{Q}[x] \rightarrow \mathbb{C}$

is the evaluation at  $\sqrt{2}$ .

Solution.  $f \in \text{ker } \phi_{\sqrt{2}} \iff f(\sqrt{2}) = 0$ .

Notice that  $(\sqrt{2})^2 - 2 = 0$ ; and so  $\sqrt{2}$  is a zero of  $x^2 - 2$ . Next we notice that since  $\sqrt{2}$  is irrational, it is not a zero of a degree 1 polynomial in  $\mathbb{Q}[x]$ :

$$\left. \begin{array}{l} a(\sqrt{2}) + b = 0 \\ a \neq 0 \\ a, b \in \mathbb{Q} \end{array} \right\} \implies \sqrt{2} = -b/a \in \mathbb{Q} \text{ which is a contradiction.}$$

Claim.  $\text{ker } \phi_{\sqrt{2}} = (x^2 - 2) \mathbb{Q}[x]$  (all the multiples of  $x^2 - 2$ .)

Pf of Claim.  $f(x) = (x^2 - 2)q(x) \implies f(\sqrt{2}) = (\sqrt{2}^2 - 2)q(\sqrt{2}) = 0$

## Lecture 08: The evaluation homomorphisms

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$\Rightarrow f(x) \in \ker \phi_{\sqrt{2}}$ . Suppose  $g(x) \in \ker \phi_{\sqrt{2}}$ ; we have to show

$g(x)$  is a multiple of  $x^2 - 2$ . So we divide  $g(x)$  by  $x^2 - 2$ ;

and we have to argue why remainder is 0. By long division

$\exists q, r \in \mathbb{Q}[x]$ ,  $g(x) = (x^2 - 2)q(x) + r(x)$ ,  $\deg r < 2$ .

$\Rightarrow 0 = g(\sqrt{2}) = \underbrace{(\sqrt{2}^2 - 2)}_0 q(\sqrt{2}) + r(\sqrt{2}) = r(\sqrt{2})$ . Since

$\ker \phi_{\sqrt{2}}$  has no degree 1 element,  $\deg r < 2$ , and  $r(\sqrt{2}) = 0$ ,

we deduce that  $r(x) = c$  is constant. As  $r(\sqrt{2}) = 0$ , we

have  $r(x) = 0$ ; and so  $g(x) = (x^2 - 2)q(x) \in (x^2 - 2)\mathbb{Q}[x]$ . ■

Ex. Is there a non-zero element in  $\ker \phi_{\pi}$  where

$\phi_{\pi} : \mathbb{Q}[x] \rightarrow \mathbb{C}$  is the evaluation at the  $\pi$ ?

Solution. No, it is a not-so-easy theorem in number theory

that  $\pi$  is NOT a zero of a polynomial with rational

coefficients. Such a number is called a transcendental number. ■

## Lecture 08: Factor theorem

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Def.  $a \in \mathbb{C}$  is called algebraic if  $\ker \phi_a \neq \{0\}$

where  $\phi_a: \mathbb{Q}[x] \rightarrow \mathbb{C}$  is the evaluation at  $a$ .

•  $a \in \mathbb{C}$ , which is not algebraic, is called a transcendental number.

Next we use the division algorithm to study zeros of a polynomial.

Factor theorem. Let  $R$  be an integral domain and

$f(x) \in R[x]$ . Then  $a \in R$  is a zero of  $f$  if and only if

$$f(x) = (x-a)q(x) \text{ for some } q(x) \in R[x].$$

Pf. ( $\Rightarrow$ ) Since the leading coeff. of  $x-a$  is 1 and  $1 \in U(R)$ ,

by the division algorithm  $\exists q(x), r(x) \in R[x]$  st.

$$\textcircled{1} \deg r < \deg(x-a) = 1. \quad \implies r \text{ is constant.}$$

$$\textcircled{2} f(x) = (x-a)q(x) + r(x)$$

Since  $a$  is a zero of  $f$ ,  $\textcircled{2}$  implies

$$0 = f(a) = (a-a)q(a) + r(a); \text{ and so } r(a) = 0.$$

## Lecture 08: The factor theorem

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Since  $r$  is constant, we get that  $r(x) = r(a) = 0$ .

So  $f(x) = (x-a)q(x)$ . And so  $a$  is a zero of  $f$ . ■

Theorem. Let  $D$  be an integral domain, and  $f(x) \in D[x]$ .

Suppose  $a_1, \dots, a_k$  are distinct zeros of  $f(x)$ . Then

$\exists q(x) \in D[x]$  s.t.  $f(x) = (x-a_1) \dots (x-a_k) q(x)$ .

In particular, a polynomial  $f$  has at most  $\deg(f)$  zeros.

Pf. We proceed by induction on  $k$ .

Base of induction.  $k=1$ .

$a_1$  is a zero of  $f$ . So by the factor theorem,

$f(x) = (x-a_1)q(x)$  for some  $q(x) \in D[x]$ ; this

proves the base of induction.

Induction step. Suppose  $a_1, \dots, a_{k+1}$  are distinct zeros of  $f(x)$ .

Since  $a_{k+1}$  is a zero of  $f$ , by the factor theorem

$\exists h(x) \in D[x]$  s.t.  $f(x) = (x-a_{k+1})h(x)$ . So, for any

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$1 \leq i \leq k$ ,  $0 = f(a_i) = (a_i - a_{k+1}) h(a_i)$ . Since

$$\begin{array}{l} 0 = (a_i - a_{k+1}) h(a_i) \\ a_i \neq a_{k+1} \text{ for } 1 \leq i \leq k \\ \mathcal{D} \text{ has no zero-divisor} \end{array} \left. \vphantom{\begin{array}{l} 0 = (a_i - a_{k+1}) h(a_i) \\ a_i \neq a_{k+1} \text{ for } 1 \leq i \leq k \\ \mathcal{D} \text{ has no zero-divisor} \end{array}} \right\} \Rightarrow h(a_1) = h(a_2) = \dots = h(a_k) = 0.$$

So  $a_1, \dots, a_k$  are distinct zeros of  $h$ . Hence by the induction hypothesis we have that

$$h(x) = (x - a_1) \dots (x - a_k) q(x)$$

for some  $q(x) \in \mathcal{D}[x]$ . Therefore

$$f(x) = (x - a_{k+1}) h(x) = (x - a_1) \dots (x - a_k) (x - a_{k+1}) q(x).$$

This gives us the first part of theorem.

To get the second part of theorem, we have

$$\deg f = \deg((x - a_1) \dots (x - a_k) q(x)) = k + \deg q,$$

which implies  $\deg f \geq k$ . So  $f$  has at most  $\deg(f)$

zeros. ■

## Lecture 08 :Zeros of a polynomial

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Notice that  $x^2 - 1$  has 4 zeros in  $\mathbb{Z}_{15}$ .

$(\pm 1)^2 = 1$  in  $\mathbb{Z}_{15}$  and  $(\pm 4)^2 = 1$  in  $\mathbb{Z}_{15}$ ; hence

in the previous statement it is important that  $D$  is an integral

domain. We can use Chinese Remainder Theorem to show

that  $x^2 - 1$  has exactly 4 solutions in  $\mathbb{Z}_{15}$ . By CRT,

$\mathbb{Z}_{15} \simeq \mathbb{Z}_3 \times \mathbb{Z}_5$ ; since  $\mathbb{Z}_3$  and  $\mathbb{Z}_5$  are field,  $x^2 - 1$

has at most two zeros in  $\mathbb{Z}_3$  and  $\mathbb{Z}_5$ ; and they are  $\pm 1$ .

So  $x^2 - 1$  has exactly 4 zeros in  $\mathbb{Z}_3 \times \mathbb{Z}_5$  which are

$(\pm 1, \pm 1)$ .

# Lecture 08: Fermat's theorem and finding zeros

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Next we see how Fermat's little theorem can help us determine if a given polynomial has a zero in  $\mathbb{Z}_p$  or not.

It is essentially based on the following observation:

Lemma. For any prime  $p$ , positive integer  $n$ , and  $a \in \mathbb{Z}_p$ ,

$$a^{(p^n)} = a \text{ in } \mathbb{Z}_p.$$

Pf. We proceed by induction on  $n$ . Fermat's little theorem

gives us the base case of  $n=1$ . Induction Step.

$$a^{(p^{n+1})} = \left(a^{(p^n)}\right)^p \stackrel{\text{Fermat's little theorem}}{=} a^{(p^n)} \stackrel{\text{Induction hypothesis}}{=} a.$$

Ex. Does  $x^{(5^{10})} - x + 2$  have a zero in  $\mathbb{Z}_5$ ?

Solution. By the previous lemma, for any  $a \in \mathbb{Z}_5$ , we have

$$a^{(5^{10})} - a + 2 = a - a + 2 = 2 \neq 0. \text{ So } x^{(5^{10})} - x + 2 \text{ does}$$

not have a zero in  $\mathbb{Z}_5$ . ■



## Lecture 08: Finding zeros and Fermat's theorem

Monday, August 21, 2017 9:52 PM

Ex. Does  $x^{50} - x + 2$  have a zero in  $\mathbb{Z}_5$ ?

Solution. We write 50 in base-5:  $50 = (5^2)(2)$ .

$$\begin{aligned} \text{For any } a \in \mathbb{Z}_5, \quad a^{50} - a + 2 &= (a^2)^{(5^2)} - a + 2 \\ &= a^2 - a + 2. \end{aligned}$$

Now that we have a polynomial with small degree we can evaluate at all the elements of  $\mathbb{Z}_5$ .

$a$	$0$	$1$	$-1$	$2$	$-2$
$a^2 - a + 2$	$2$	$2$	$4$	$4$	$3$

So  $x^{50} - x + 2$  does not have a zero in  $\mathbb{Z}_5$ . ■