

Lecture 14: Maximal ideals

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Recall. Suppose A is a unital commutative ring. An ideal I of A is called maximal if ① I is proper; that means $I \neq A$; ② $I \subseteq J$ $\left. \begin{matrix} J \triangleleft A \end{matrix} \right\} \Rightarrow$ either $J = I$ or $J = A$.

Theorem. Suppose A is a unital commutative ring and $I \triangleleft A$; then I is maximal $\Leftrightarrow A/I$ is a field.

Pf. (\Rightarrow) To show A/I is a field we have to show ① it is a unital commutative ring ② it is not the zero ring ③ any non-zero element is a unit.

$$\textcircled{1}. (a_1 + I)(a_2 + I) = a_1 a_2 + I = a_2 a_1 + I = (a_2 + I)(a_1 + I).$$

$$\begin{aligned} (1 + I)(a + I) &= (1 \cdot a) + I = a + I; \text{ similarly} \\ (a + I)(1 + I) &= a + I \end{aligned} \text{ and so } 1 + I \text{ is the identity of } A/I.$$

$$\textcircled{2} \ A/I \neq 0 \text{ as } I \neq A.$$

$$\textcircled{3} \text{ Suppose } a + I \neq 0 + I. \text{ Then } a \notin I.$$

Claim. Smallest ideal J_0 of A that contains I as a subset and a as an element is $\{ar + x \mid r \in A, x \in I\}$.

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pf of claim. First we show

$\mathcal{J}_0 = \{ar+x \mid r \in A, x \in I\}$ is an ideal.

$$\cdot (ar_1+x_1) + (ar_2+x_2) = a \underbrace{(r_1+r_2)}_{\text{in } A} + \underbrace{(x_1+x_2)}_{\text{in } I} \in \mathcal{J}_0.$$

$$\cdot a \underbrace{(r'r)}_{\text{in } A} + \underbrace{(r'x)}_{\substack{\text{in } I \\ \text{as } x \in I}} \in \mathcal{J}_0.$$

2nd we observe $\dots a = (a)(1) + (0) \in \mathcal{J}_0$

$$\cdot \forall x \in I, x = (a)(0) + x \in \mathcal{J}_0 \Rightarrow I \subseteq \mathcal{J}_0.$$

3rd. If $\mathcal{J} \triangleleft A$, $I \subseteq \mathcal{J}$, and $a \in \mathcal{J}$, then

$\forall x \in I, \forall r \in A, ra \in \mathcal{J}$ and $ra+x \in \mathcal{J}$. Hence

$\mathcal{J}_0 \subseteq \mathcal{J}$. ; and claim follows. \square

Since I is a maximal ideal, $I \subseteq \mathcal{J}_0$, and $a \in \mathcal{J}_0 \setminus I$,

we deduce that $\mathcal{J}_0 = A$. Hence $1 \in \mathcal{J}_0$ which implies

$\exists r \in A, x \in I$ s.t. $ar+x=1$. Therefore

$1-ar=x \in I$; and so $1+I=ar+I=(a+I)(r+I)$.

This implies $a+I \in \left(\frac{A}{I}\right)^\times$.

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(\Leftarrow) Since A/I is a field, $A/I \neq 0$; and so $I \neq A$.

Suppose to the contrary that I is not a maximal ideal.

Since $I \neq A$, we deduce that

$$\exists J \triangleleft A \text{ such that } I \subsetneq J \subsetneq A. \quad (*)$$

Suppose $a \in J \setminus I$. Hence $a+I \neq 0+I$ in A/I . As

A/I is a field, $\exists a' \in A$ st. $(a+I)(a'+I) = 1+I$.

And so $\exists x \in I$ st. $1 - aa' = x$. Thus

$$1 = aa' + x \text{ for some } a' \in A \text{ and } x \in I.$$

$$\begin{array}{l} a \in J \Rightarrow aa' \in J \\ x \in I \subseteq J \end{array} \Rightarrow aa' + x \in J \Rightarrow 1 \in J \Rightarrow J = A$$

which contradicts (*).

Corollary. Suppose A is a unital commutative ring. If

I is a maximal ideal, then I is a prime ideal. \blacksquare

Pf. I : maximal $\Rightarrow A/I$: field

$\Rightarrow A/I$: integral domain

$\Rightarrow I$: prime. \blacksquare

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Corollary. Suppose A is a unital commutative ring, $I \triangleleft A$,
and $|A/I| < \infty$; then

I is maximal \iff I is prime.

Pf. I is maximal \iff A/I is a field

because $|A/I| < \infty$
 \iff A/I is an integral domain
 \iff I is prime. \square

Lecture 14: Examples

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Ex. Determine all the prime and maximal ideals of \mathbb{Z} .

Solution. Any ideal of \mathbb{Z} is of the form $n\mathbb{Z}$.

To determine, if $n\mathbb{Z}$ is either prime or maximal, we need to study the quotient ring $\mathbb{Z}/n\mathbb{Z}$.

We know that, if $n \geq 2$, then $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$. And

\mathbb{Z}_n is an integral domain $\iff \mathbb{Z}_n$ is a field $\iff n$ is a prime.

• If $n=1$, then $n\mathbb{Z} = \mathbb{Z}$; and so it is neither prime nor maximal

• If $n=0$, then $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}$; which is an integral domain, but not a field. So $\{0\}$ is a prime ideal, but not a maximal ideal. Overall we have:

the set of maximal ideals of $\mathbb{Z} = \{p\mathbb{Z} \mid p \text{ is a prime number}\}$

the set of prime ideals of $\mathbb{Z} = \{n\mathbb{Z} \mid n \text{ is either } 0 \text{ or a prime number}\}$.

Next we show $m_{\alpha}(x) \in \mathbb{Q}[x]$ is a maximal ideal and deduce

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$\mathbb{Q}[\alpha] \simeq \mathbb{Q}[x] / m_{\alpha}(x) \mathbb{Q}[x]$ is a field. We have already proved that $m_{\alpha}(x)$ is irreducible in $\mathbb{Q}[x]$; so the following proposition gives us the above claim.

Theorem. Let R be a PID, and $a \in R \setminus \{0\}$.

Then $I = aR$ is maximal if and only if a is irreducible.

Pf. (\Rightarrow) We have to show

assumption
• $a \neq 0, a \notin R^{\times}$

• If $a = bc$, then either $b \in R^{\times}$ or $c \in R^{\times}$.

• Since I is maximal, it is a proper ideal. So $a \notin R^{\times}$.

• $a = bc \in aR$
 aR maximal $\Rightarrow aR$ prime } \Rightarrow either $b \in aR$ or $c \in aR$.

If $b \in aR$, then $b = ab'$. Hence $a = bc = ab'c$; and so by the cancellation law $b'c = 1$ which implies $c \in R^{\times}$.

Similarly, if $c \in bR$, we can deduce that $b \in R^{\times}$.

(\Leftarrow) Suppose a is irreducible. Then $a \notin R^{\times}$; and so $1 \notin aR$,

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which implies $aR \neq R$. Now suppose $aR \subseteq J$ and $J \triangleleft R$.

Since R is a PID, $J = bR$ for some b . As

$a \in aR \subseteq bR$, $\exists r \in R$ such that $a = br$. As a is

irreducible, either b is a unit or r is a unit.

If $b \in R^\times$, $J = bR = R$. If r is a unit,

$b = ar^{-1} \in aR$; and so $bR \subseteq aR$. On the other hand,
 $aR \subseteq bR$; therefore $J = bR = aR$.

So aR is maximal. ■

Corollary Let D be a PID. Suppose a is irreducible in D .

Then $D/\langle a \rangle$ is a field.

Corollary. If $\alpha \in \mathbb{C}$ is an algebraic number, then $\mathbb{Q}[\alpha]$ is
a field.

Pf. Earlier based on the 1st isomorphism theorem we

proved $\mathbb{Q}[\alpha] = \text{Im } \phi_\alpha \cong \mathbb{Q}[x] / \ker \phi_\alpha = \mathbb{Q}[x] / m_\alpha(x) \mathbb{Q}[x]$.

We have also proved that $m_\alpha(x)$ is irreducible in $\mathbb{Q}[x]$.

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Hence by the previous corollary and the fact that $\mathbb{Q}[x]$ is a PID, we get the claim. \square