

# Lecture 25: The evaluation map; ideal; quotient; first isomorphism theorem

Friday, December 1, 2017 11:05 AM

Suppose  $R_1 \subseteq R_2$  is a ring extension (that means  $R_1$  is a subring of  $R_2$ ; which is equivalent to say  $R_1$  is closed under addition and multiplication.) For any  $s \in R_2$ , let  $\phi_s: R_1[x] \rightarrow R_2$ ,  $\phi_s(p(x)) := p(s)$ . Then  $\phi_s$  is a ring homomorphism.

Recall •  $I \subseteq R$  is called an ideal if  $I$  is an additive subgroup and  $RI \subseteq I$  (and  $IR \subseteq I$ ); that means  $\forall r \in R, a \in I, ra \in I$  and  $ar \in I$ .

•  $(R/I, +, \cdot)$  where  $(x+I) + (y+I) := (x+y)+I$  and  $(x+I) \cdot (y+I) := xy+I$  is a ring. It is called the quotient ring of  $R$  by  $I$ .  $\pi: R \rightarrow R/I, \pi(a) := a+I$  is a ring homomorphism; and it is called the canonical quotient map.

The 1<sup>st</sup> isomorphism theorem. Suppose  $\phi: R_1 \rightarrow R_2$  is a ring homomorphism. Then

(1).  $\ker \phi := \{a \in R_1 \mid \phi(a) = 0\}$  is an ideal of  $R_1$ .

•  $\text{Im } \phi$  is a subring of  $R_2$ .

# Lecture 25: The first isomorphism theorem; the evaluation map

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(2)  $\bar{\phi}: R_1/\ker\phi \rightarrow \text{Im}\phi$ ,  $\bar{\phi}(a+\ker\phi) := \phi(a)$  is a well-def. ring homomorphism.

Quick overview of proof of (2).  $\bar{\phi}$  is an additive gp isomorph.

$$\begin{aligned} \bar{\phi}((a+\ker\phi)(b+\ker\phi)) &= \bar{\phi}(ab+\ker\phi) = \phi(ab) \\ &= \phi(a)\phi(b) \\ &= \bar{\phi}(a+\ker\phi)\bar{\phi}(b+\ker\phi). \quad \square \end{aligned}$$

So  $R_1[X]/\ker\phi_s \simeq \text{Im}\phi_s$ .

$$\ker\phi_s = \{p(x) \in R_1[X] \mid p(s) = 0\}$$

$$\text{Im}\phi_s = \left\{ \sum_{i=0}^n c_i s^i \mid c_i \in R_1 \right\} =: R_1[s]$$

the smallest subring of  $R_2$  which has  $s$  as an element of  $R_1$  as a subset

(and you see the relation with zeros of polynomials.)

we denote it by this notation and should not be confused with ring of poly. as  $s$  is NOT an indeterminate.

Ex.  $R_1 = \mathbb{Q}$ ,  $R_2 = \mathbb{C}$ ,  $s = i$ . Then

$$\mathbb{Q}[i] = \left\{ \sum_{k=0}^n c_k i^k \mid c_k \in \mathbb{Q} \right\}. \text{ As } i^k = \begin{cases} 1 & k \equiv 0 \pmod{4} \\ i & k \equiv 1 \pmod{4} \\ -1 & k \equiv 2 \pmod{4} \\ -i & k \equiv 3 \pmod{4} \end{cases}$$

$$\mathbb{Q}[i] = \{c_0 + c_1 i \mid c_0, c_1 \in \mathbb{Q}\}.$$

$\ker\phi_i = \{p(x) \in \mathbb{Q}[X] \mid p(i) = 0\}$ . Then  $x^2 + 1 \in \ker\phi_i$  and as  $i \notin \mathbb{Q}$ , there is no deg 1 poly. in  $\ker\phi_i$ .  $\square$

# Lecture 25: Degree function; zero-divisor; dividing; units

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All rings are unital comm.  
unless said otherwise

Def. For  $f(x) = \sum_{i=0}^{\infty} c_i x^i \in \mathbb{R}[x]$ , let

$$\deg f = \begin{cases} -\infty & \text{if } f=0, \\ \max \{n \in \mathbb{Z}^{\geq 0} \mid c_n \neq 0\} & \text{if } f \neq 0. \end{cases}$$

Ex.  $4x, 3x^2+1 \in (\mathbb{Z}/6\mathbb{Z})[x]$ ,

$$\deg(4x) = 1, \quad \deg(3x^2+1) = 2; \quad \text{but}$$

$$\deg((4x)(3x^2+1)) = \deg(12x^3+4x) = \deg 4x = 1.$$

$$\neq \deg 4x + \deg(3x^2+1).$$

This issue arises because of zero-divisors.

Recall.  $a \in \mathbb{R}$  is called a zero-divisor if  $\exists c \in \mathbb{R} \setminus \{0\}, ac=0$ .

• In general we say  $a \mid b$  if  $\exists c \in \mathbb{R} \setminus \{0\}$  s.t.  $b=ac$ .

•  $a \in \mathbb{R}$  is called a unit if  $a \mid 1$ ; that means  $\exists c \in \mathbb{R}, ac=1$ . The set of all units is denoted by either  $U(\mathbb{R})$

or  $\mathbb{R}^{\times}$ .  $(\mathbb{R}^{\times}, \cdot)$  is a group.

• A ring  $\mathbb{D}$  is called an integral domain if  $\mathbb{D}$  does not have a non-zero zero-divisor, and  $0 \neq 1$ .

• A ring  $F$  is called a field if  $F \setminus \{0\} = F^{\times}$ .

# Lecture 25: Integral domain and field

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Lemma. (1) A field is an integral domain.

(2) A finite integral domain is a field.

Pf. (1)  $ab = 0$   
 $a \neq 0 \Rightarrow a \in F^\times \Rightarrow a^{-1} \in F$  }  $\Rightarrow b = a^{-1}(ab) = 0$ .

(2)  $\forall a \in D \setminus \{0\}$ , let  $f_a: D \rightarrow D$ ,  
 $f_a(b) := ab$ .

Here we are using  $r \cdot 0 = 0$ ; which can be proved as follows  
 $r \cdot 0 = r \cdot (0+0) = r \cdot 0 + r \cdot 0$

Claim.  $f_a$  is 1-1.

Pf.  $f_a(b_1) = f_a(b_2) \Rightarrow ab_1 = ab_2 \Rightarrow a(b_1 - b_2) = 0$

$a(b_1 - b_2) = 0$   
 $a \neq 0$  }  $\Rightarrow b_1 - b_2 = 0 \Rightarrow b_1 = b_2$ .  
no non-zero zero-divisor in  $D$

Since  $D$  is finite and  $f_a$  is 1-1,  $f_a$  is onto. So

$\exists a' \in D$ ,  $f_a(a') = 1$ . Hence  $aa' = 1$ ; which means  $a \in D^\times$ . ■

Going back to the degree function;

Lemma. Suppose  $D$  is an integral domain. Then, for any

$f, g \in D[X]$ ,  $\deg fg = \deg f + \deg g$ .

(Here we are using the convention that  $-\infty + n = -\infty \forall n \in \mathbb{Z}$ , and  $(-\infty) + (-\infty) = -\infty$ .)

# Lecture 25: Degree function; zero-divisor and units of $D[x]$

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PF. If either  $f=0$  or  $g=0$ , then  $fg=0$ ; and so

$$\text{LHS} = -\infty \quad \text{and} \quad \text{RHS} = -\infty.$$

Suppose  $f, g \neq 0$ . So  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$  and  $a_n \neq 0$  and  $g(x) = b_m x^m + b_{m-1} x^{m-1} + \dots + b_0$  and  $b_m \neq 0$ . ( $a_n$  is called the leading coefficient of  $f$ .) Then

$$f(x)g(x) = a_n b_m x^{n+m} + \text{terms of deg} < n+m.$$

$$\left. \begin{array}{l} a_n \neq 0, b_m \neq 0 \\ D: \text{integral domain} \end{array} \right\} \Rightarrow a_n b_m \neq 0. \quad \text{And so } \deg fg = n+m = \deg f + \deg g.$$

Cor. If  $D$  is an integral domain, then  $D[x]$  is an integral domain. ▀

PF.  $f(x)g(x) = 0 \Rightarrow \deg fg = -\infty \Rightarrow \deg f + \deg g = -\infty$   
 $\Rightarrow$  either  $\deg f = -\infty$  or  $\deg g = -\infty$   
 $\Rightarrow f=0$  or  $g=0$ . ▀

Cor.  $D[x]^{\times} = D^{\times}$

PF.  $f(x)g(x) = 1 \Rightarrow \deg f + \deg g = 0 \Rightarrow \deg f = \deg g = 0$

$f, g \in D$  and  $f \cdot g = 1 \Rightarrow f \in D^{\times}$ . And clearly  $D^{\times} \subseteq D[x]^{\times}$ . ▀

# Lecture 25: Units of a polynomial ring; a historical remark on ideals.

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We will prove that

Proposition.  $\mathbb{R}[x]^{\times} = \{a_0 + a_1x + \dots + a_nx^n \mid a_0 \in \mathbb{R}^{\times}, a_1, \dots, a_n \text{ are nilpotent}\}$   
( $a$  is called nilpotent if  $a^k = 0$  for some  $k \in \mathbb{Z}^+$ .)

But prove this we need to know a bit more about ideals.

We'd like to define prime and maximal ideals. Before that let's make a pseudo-historical remark on ideals.

Say we'd like to attack Fermat's last conjecture; and suppose for integers  $x, y, z$  that are pairwise coprime we have  $x^p + y^p = z^p$  where  $p$  is an odd prime. Then

$$x^p = z^p - y^p = (z-y)(z-\zeta y) \dots (z-\zeta^{p-1}y). \quad \text{If } \mathbb{Z}[\zeta] \text{ is a UFD}$$

; that means any non-zero, non-unit element can be written as a prod. of primes in an essentially unique way, then

$$z-y = x_1^p, \quad z-\zeta y = x_2^p, \quad \dots, \quad z-\zeta^{p-1}y = x_p^p. \quad \text{And one can get}$$

a contradiction (in an elementary, but not quite easy way.) Kummer

and Dedekind noticed that, if instead of numbers, one works with ideals

## Lecture 25: A historical note

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then in rings similar to  $\mathbb{Z}[\xi]$  in the lack of unique factorization of elements we still have unique factorization of ideals. And in fact Kummer called it ideal numbers; and its generalization by Dedekind was called ideal. In order to make sense of this we need to define prime ideal. And it will be done in the next lecture.