

## Lecture 28: Dimension reduction by one

Monday, June 4, 2018 8:47 AM

Theorem. Suppose  $A$  is a local Noetherian ring and  $a \notin D(A) \cup A^\times$ .

Then  $\dim A/\langle a \rangle = \dim A - 1$ .

Pf Suppose  $\text{Max } A = \{\mathfrak{m}\}$ . Then  $\dim A = \text{ht } \mathfrak{m} < \infty$  by Krull's HT.

Similarly  $\dim A/\langle a \rangle = d < \infty$ ; and let

$$\mathfrak{p}_0/\langle a \rangle \subsetneq \mathfrak{p}_1/\langle a \rangle \subsetneq \dots \subsetneq \mathfrak{p}_d/\langle a \rangle = \mathfrak{m}/\langle a \rangle$$

be a saturated chain of prime ideals. By the previous theorem

$\exists \bar{a}_1, \dots, \bar{a}_d$  s.t.  $\mathfrak{m}/\langle a \rangle$  is a minimal prime of  $V(\langle \bar{a}_1, \dots, \bar{a}_d \rangle)$ .

Since  $\text{ht}(\mathfrak{p}_0/\langle a \rangle) = 0$ ,  $\mathfrak{p}_0$  is a minimal prime in  $V(\langle a \rangle)$ .

And so  $\text{ht } \mathfrak{p}_0 \leq 1$  by Krull's PIT. Since  $a$  is not a zero-divisor,

$\text{ht } \mathfrak{p}_0 \neq 0$ ; and so  $\text{ht } \mathfrak{p}_0 = 1$ . Let  $\mathfrak{p}_{-1} \subsetneq \mathfrak{p}_0$ . This implies

$\text{ht } \mathfrak{m} \geq d+1$ . As  $\mathfrak{m}$  is a minimal prime of  $V(\langle a, a_1, \dots, a_d \rangle)$ ,

by Krull's HT,  $\text{ht } \mathfrak{m} \leq d+1$ . Hence  $\dim A = \text{ht } \mathfrak{m} = d+1$ . ■

Def. Suppose  $A$  is a local Noetherian ring and  $\text{Max } A = \{\mathfrak{m}\}$ .

$(x_1, \dots, x_r)$  is called an  $A$ -regular sequence if  $x_i \in \mathfrak{m}$

and for any  $i$ ,  $x_i \notin D(A/\langle x_1, \dots, x_{i-1} \rangle)$ .

## Lecture 28: A-regular sequence

Friday, June 8, 2018 2:01 AM

Proposition Suppose  $A$  is a local Noetherian ring and  $(x_1, \dots, x_r)$

is an  $A$ -regular sequence. Then, for any  $s \leq r$ ,

$$\dim(A/\langle x_1, \dots, x_s \rangle) = \dim A - s.$$

In particular,  $r \leq \dim A$ .

Pf. We prove this by induction on  $s$ .

$$\bar{x}_{s+1} \notin \mathcal{D}(A/\langle x_1, \dots, x_s \rangle) \cup (A/\langle x_1, \dots, x_s \rangle)^\times \Rightarrow$$

$$\dim(A/\langle x_1, \dots, x_{s+1} \rangle) = \dim(A/\langle x_1, \dots, x_s \rangle) - 1 = \dim A - s - 1. \quad \blacksquare$$

Def. Depth of a local (Noetherian) ring  $A$  is the maximum length of an  $A$ -regular sequence.

Cor.  $A$ : local Noeth.  $\Rightarrow \text{depth}(A) \leq \dim A$ .

Does any local Noetherian ring has an  $A$ -regular sequence of length  $\dim A$ ? No

$$A := \left( k[x, y] / \langle x^2, xy \rangle \right)_{\langle \bar{x}, \bar{y} \rangle} \quad \cdot \quad 0 = \langle \bar{x}, \bar{y} \rangle^2 \cap \langle \bar{x} \rangle, \text{ and}$$

$$\mathcal{D}(A) = \langle \bar{x}, \bar{y} \rangle \cdot \Rightarrow \text{depth}(A) = 0; \text{ and } \dim A = 1 \text{ as } \langle \bar{x} \rangle \subsetneq \langle \bar{x}, \bar{y} \rangle.$$

## Lecture 28: Cohen-Macaulay rings

Friday, June 8, 2018 7:52 AM

Def. A local Noetherian ring  $A$  is called Cohen-Macaulay if

$$\text{depth}(A) = \dim(A)$$

Theorem. Suppose  $A$  is a local Noetherian ring and any ideal  $\mathfrak{a} \subsetneq A$

is unmixed; that means any  $\mathfrak{p} \in \text{Ass}(\mathfrak{a})$  is minimal in  $\text{Ass}(\mathfrak{a})$ . Then

$A$  is CM.

Pf. By induction on  $r$ , we show there is an  $A$ -regular sequence

$(x_1, \dots, x_r)$  if  $r \leq \dim A =: d$ .

Base. If  $d=0$ , we are done. Suppose  $d \geq 1$ . Claim.  $\mathfrak{m} \notin \mathcal{D}(A)$ ,

where  $\text{Max } A = \{\mathfrak{m}\}$ . If  $\mathfrak{m} \subseteq \mathcal{D}(A) = \bigcup_{\mathfrak{p} \in \text{Ass}(0)} \mathfrak{p}$ , then  $\mathfrak{m} \subseteq \mathfrak{p}$

for some  $\mathfrak{p} \in \text{Ass}(0)$ ; and so  $\mathfrak{m} \in \text{Ass}(0)$ ; Since  $0$  is unmixed,

$\mathfrak{m}$  is a minimal prime. Hence  $\dim A = 0$ ; this is a contradiction.

Induction step If  $r=d$ , we are done. Suppose  $r < d$ . Then

$\dim A / \langle x_1, \dots, x_r \rangle = d - r > 0$  by the previous proposition. Hence

as  $\langle x_1, \dots, x_r \rangle$  is unmixed,  $\mathfrak{m} \notin \text{Ass}(\langle x_1, \dots, x_r \rangle)$ . Therefore

$\mathfrak{m} / \langle x_1, \dots, x_r \rangle \notin \mathcal{D}(A / \langle x_1, \dots, x_r \rangle)$ , and we can find  $x_{r+1}$ . ■

# Lecture 28: Finitely generated algebras

Friday, June 8, 2018 2:41 AM

Remark. We only need to assume any ideal  $\mathfrak{a}$  with  $d(\mathfrak{a}) \leq \dim(A/\mathfrak{a})$  is unmixed. And in fact converse of this statement is correct as well.

Recall. For  $\mathfrak{p} \in \text{Spec } A$ ,  $\text{ht } \mathfrak{p} + \dim A/\mathfrak{p} = \max$  length of chain of primes that contain  $\mathfrak{p}$ .  
And so  $\text{ht } \mathfrak{p} + \dim A/\mathfrak{p} \leq \dim A$ . Equality does not hold in general.

Ex. Let  $\mathfrak{a} = \langle x, y \rangle \cap \langle x-1 \rangle \triangleleft k[x, y]$ , and  $A := k[x, y]/\mathfrak{a}$ .

Then  $\text{Ass}(\bar{0}) = \{ \underbrace{\langle \bar{x}, \bar{y} \rangle}_{\mathfrak{p}}, \underbrace{\langle \bar{x} - 1 \rangle}_{\mathfrak{p}'} \}$ . Hence  $\text{ht}(\mathfrak{p}) = 0$ ,  $\text{ht}(\mathfrak{p}') = 0$ ;

$A/\mathfrak{p} \simeq k \Rightarrow \dim A/\mathfrak{p} = 0$ . And  $A/\mathfrak{p}' \simeq k[x, y]/\langle x-1 \rangle \simeq k[y]$   
 $\Rightarrow \dim A/\mathfrak{p}' = 1$ .

Hence  $\text{ht } \mathfrak{p} + \dim A/\mathfrak{p} = 0 < \text{ht } \mathfrak{p}' + \dim A/\mathfrak{p}' = 1 = \dim A$ .

(For any Noetherian ring  $A$ ,  $\dim A = \max_{\mathfrak{p} \in \text{Ass}(\bar{0})} \{ \text{ht } \mathfrak{p} + \dim A/\mathfrak{p} \}$  as any maximal chain of primes contains a minimal prime.)

Theorem. Suppose  $k$  is a field, and  $A$  is a finitely generated  $k$ -algebra, integral domain. Then any maximal chain of prime ideals of  $A$  has length  $\dim A$ . In particular, for any  $\mathfrak{p} \in \text{Spec } A$ ,

$$\dim A = \text{ht } \mathfrak{p} + \dim A/\mathfrak{p}. \quad (\text{Next lecture}).$$