

# 1 Homework 6.

1. Suppose  $D$  is a PID and  $M$  is a finitely generated  $D$ -module. Suppose

$$M \simeq D^r \oplus \bigoplus_{i=1}^m D/\langle a_i \rangle,$$

and  $a_1, \dots, a_m$  are the invariant factors of  $M$ . Prove that  $d(M) = r + m$ .

**(Remark.** In class, we proved that  $\text{rank}(M) = r$ .)

2. Suppose  $A$  is a unital commutative ring. A matrix  $a \in M_n(A)$  is called nilpotent if  $a^m = 0$  for some non-negative integer  $m$ . Suppose  $F$  is a field and  $a \in M_n(F)$  is a nilpotent matrix.

- (a) Prove that the annihilator of  $V_a$  is of the form  $\langle x^m \rangle$  for some  $m \in \mathbb{Z}^+$ .
- (b) Prove that  $V_a \simeq F[x]/\langle x^{m_1} \rangle \oplus \dots \oplus F[x]/\langle x^{m_k} \rangle$  for some positive integers  $m_1 \leq \dots \leq m_k$ .
- (c) In the above setting, prove that  $n = m_1 + \dots + m_k$ , and deduce that  $a^n = 0$ .
- (d) Prove that  $\dim_F \ker(a^\ell) = \sum_{i=1}^k \min\{\ell, m_i\}$ .
- (e) Prove that two nilpotent matrices  $a_1, a_2 \in M_n(a)$  are similar if and only if  $\dim_F \ker(a_1^\ell) = \dim_F \ker(a_2^\ell)$  for every positive integer  $\ell$ .

3. Suppose  $A$  is a unital commutative ring and  $\text{Nil}(A) = \{0\}$ . Prove that  $a \in M_n(A)$  is nilpotent if and only if  $a^n = 0$ .

**(Hint.** Use the previous problem, part (c), to show the claim for fields. Using field of fractions, obtain a similar result for integral domains. Then for every  $\mathfrak{p} \in \text{Spec}(A)$ , consider  $a$  modulo  $\mathfrak{p}$  in  $M_n(A/\mathfrak{p})$ .)

4. Let  $D$  be a PID, and  $F$  be a field of fractions of  $D$ . Suppose  $a \in M_{n,m}(D)$ , and  $r$  is the rank of  $a$  as an element of  $M_{n,m}(F)$ . Let

$$\underline{\ker} a(F) := \{v \in F^m \mid av = 0\}, \quad \underline{\ker} a(D) := \underline{\ker} a(F) \cap D^m, \quad \text{and,}$$

$$\underline{\text{Im}} a(F) := \{av \in F^n \mid v \in F^m\}, \quad \underline{\text{Im}} a(D) := \{av \in D^n \mid v \in D^m\}.$$

- (a) Prove that  $D^m/\underline{\ker} a(D)$  is a free  $D$ -module; and deduce that there exist  $x_1, \dots, x_m \in D^m$  such that

$$D^m = Dx_1 \oplus \cdots \oplus Dx_m, \quad \text{and}$$

$$\underline{\ker} a(D) = Dx_{r+1} \oplus \cdots \oplus Dx_m.$$

- (b) Prove that there exist  $y_1, \dots, y_n \in D^n$  and  $d_1, \dots, d_r \in D \setminus \{0\}$  such that

$$D^n = Dy_1 \oplus \cdots \oplus Dy_n,$$

$$d_1 | \cdots | d_r, \quad \text{and}$$

$$\underline{\text{Im}} a(D) = Dd_1y_1 \oplus \cdots \oplus Dd_ry_r.$$

- (c) Let  $x_i$ 's be as in part (a). Prove that there exist  $x'_1, \dots, x'_r \in \bigoplus_{i=1}^r Dx_i$  such that

$$\bigoplus_{i=1}^r Dx_i = Dx'_1 \oplus \cdots \oplus Dx'_r, \quad \text{and}$$

$$ax'_i = d_iy_i$$

for all  $i$ .

- (d) Prove that

$$\gamma_1 := [x'_1 \cdots x'_r x_{r+1} \cdots x_m] \in \text{GL}_m(D),$$

$$\gamma_2 := [y_1 \cdots y_n] \in \text{GL}_n(D), \quad \text{and}$$

$$a\gamma_1 = \gamma_2 \begin{pmatrix} \text{diag}(d_1, \dots, d_r) & 0 \\ 0 & 0 \end{pmatrix}.$$

Hence

$$a = \gamma_2 \begin{pmatrix} \text{diag}(d_1, \dots, d_r) & 0 \\ 0 & 0 \end{pmatrix} \gamma_1^{-1}.$$

**(Remark. This is called a Smith form of  $A$ .)**

5. Let  $a \in M_n(\mathbb{Z})$ , and  $M_a := \mathbb{Z}^n / \underline{\text{Im}} a(\mathbb{Z})$ .

- (a) Prove that  $M_a$  is finite if and only if  $\det a \neq 0$ .  
 (b) Suppose  $\det a \neq 0$ . Prove that  $|M_a| = |\det a|$ .

(**Hint.** Suppose  $a = \lambda_1 \begin{pmatrix} \text{diag}(d_1, \dots, d_r) & 0 \\ 0 & 0 \end{pmatrix} \lambda_2$  for some  $\lambda_1, \lambda_2 \in \text{GL}_n(\mathbb{Z})$  (a Smith form of  $a$ ). Prove that

$$M_a \simeq \mathbb{Z}^{n-r} \oplus \bigoplus_{i=1}^r \mathbb{Z}/d_i\mathbb{Z}.$$

6. Suppose  $F$  is a field,  $a \in M_n(F[x])$ , and  $\det a \neq 0$ . Prove that

$$\dim_F(F[x]^n / \underline{\text{Im}} a(F[x])) = \deg(\det a).$$

(**Hint.** Suppose for some  $\lambda_1, \lambda_2 \in \text{GL}_n(F[x])$

$$a = \lambda_1 \begin{pmatrix} \text{diag}(d_1, \dots, d_r) & 0 \\ 0 & 0 \end{pmatrix} \lambda_2$$

(a Smith form of  $a$ ). Show that  $n = r$ , and

$$F[x]^n / \underline{\text{Im}} a(F[x]) \simeq \bigoplus_{i=1}^r F[x] / \langle d_i(x) \rangle.$$

7. Let  $F$  be a field and  $a \in M_n(F)$ . Suppose

$$xI - a = \gamma_1 \text{diag}(f_1(x), \dots, f_n(x)) \gamma_2$$

is a Smith form of  $xI - a \in M_n(F[x])$ ; that means  $\gamma_1, \gamma_2 \in \text{GL}_n(F[x])$  and  $f_1(x) \mid \dots \mid f_n(x)$ . Suppose  $m$  is the largest integer such that  $\deg f_{m-1} = 0$ . Prove that  $\text{diag}(c(f_m), \dots, c(f_n))$  is the rational canonical form of  $a$ .

(**Hint.** By the hint of the previous problem

$$F[x] / \underline{\text{Im}} (xI - a)(F[x]) \simeq \bigoplus_{i=1}^n F[x] / \langle f_i(x) \rangle \simeq V_{\text{diag}(c(f_m), \dots, c(f_n))},$$

as  $F[x]$ -modules. Deduce that it is enough to prove

$$F[x] / \underline{\text{Im}} (xI - a)(F[x]) \simeq V_a \tag{1}$$

as  $F[x]$ -modules. Let

$$\phi : F[x]^n \rightarrow V_a, \quad \phi\left(\sum_{i=0}^m x^i v_i\right) := \sum_{i=0}^m a^i v_i.$$

Argue why  $\phi$  is an  $F[x]$ -module homomorphism. It is easy to see that  $\underline{\text{Im}} (xI - a)(F[x]) \subseteq \ker \phi$ . Prove that equality holds, and deduce that (1) holds.)