

# LOCAL LANGLANDS CORRESPONDENCE IN RIGID FAMILIES

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ABSTRACT. We show that local-global compatibility (at split primes) away from  $p$  holds at *all* points of the  $p$ -adic eigenvariety of a definite  $n$ -variable unitary group. The novelty is we allow non-classical points, possibly non-étale over weight space. More precisely we interpolate the local Langlands correspondence for  $\mathrm{GL}_n$  across the eigenvariety by considering the fibers of its defining coherent sheaf. We employ techniques of Scholze from his new approach to the local Langlands conjecture.

## CONTENTS

1. Introduction	1
2. Notation and terminology	5
2.1. Unitary groups	5
2.2. Automorphic forms	6
2.3. Hecke algebras	7
2.4. Galois representations	8
2.5. Deformations	9
3. Eigenvarieties	10
3.1. Formal schemes and rigid spaces	10
3.2. Deformation space	10
3.3. Character and weight space	11
3.4. Definition of the eigenvariety	11
3.5. Classical points	12
4. The case of classical points of non-critical slope	13
5. Interpolation of the Weil-Deligne representations	14
6. The local Langlands correspondence for $\mathrm{GL}_n$ after Scholze	15
7. Interpolation of traces	16
8. Interpolation of central characters	19
9. Proof of the main result	20
9.1. Strongly generic representations	21
9.2. The general case at Iwahori level	23
References	25

## 1. INTRODUCTION

The proof of (strong) local-global compatibility in the  $p$ -adic Langlands program for  $\mathrm{GL}(2)/\mathbb{Q}$ , cf. [Em11b], led Emerton and Helm to speculate on whether the local Langlands correspondence for  $\mathrm{GL}(n)$  can be interpolated in Zariski families: Say  $L/\mathbb{Q}_\ell$  is a finite extension, with absolute Galois group  $\mathrm{Gal}_L$ , and  $R$  is a reduced complete local Noetherian ring with residual characteristic  $p \neq \ell$ . Assume  $R$  is  $p$ -torsion free with finite residue field  $R/\mathfrak{m}_R$ . If  $\rho : \mathrm{Gal}_L \rightarrow \mathrm{GL}_n(R)$  is a continuous representation it is shown in [EH14] (cf. their Theorem 1.2.1) that there is at *most* one admissible  $\mathrm{GL}_n(L)$ -representation  $\tilde{\pi}(\rho)$  over  $R$  satisfying a short list of natural desiderata:

- (a)  $\tilde{\pi}(\rho)$  is  $R$ -torsion free (meaning  $\text{Ann}_R(\tilde{\pi}(\rho))$  consists of zero-divisors);
- (b) If  $\mathfrak{p} \subset R$  is a minimal prime with residue field  $\kappa(\mathfrak{p}) = \text{Frac}(R/\mathfrak{p})$  (which is a possibly non-algebraic extension of  $\mathbb{Q}_p$  as the example  $\mathbb{Z}_p[[X]]$  shows) then

$$\tilde{\pi}(\rho \otimes_R \kappa(\mathfrak{p})) \xrightarrow{\sim} \tilde{\pi}(\rho) \otimes_R \kappa(\mathfrak{p}),$$

where the source is the representation of  $\text{GL}_n(L)$  associated with  $\rho \otimes_R \kappa(\mathfrak{p})$  under the local Langlands correspondence (suitably modified and normalized); cf. [EH14, Sect. 4].

- (c) The reduction  $\tilde{\pi}(\rho) \otimes_R R/\mathfrak{m}_R$  has an absolutely irreducible and generic cosocle (i.e., the largest semisimple quotient), and no other generic constituents.

They conjecture ([EH14, Conj. 1.4.1]) that such a  $\tilde{\pi}(\rho)$  always exists, and this is now known in many cases, due to ongoing work of Helm and Moss; in particular when  $p$  is banal (meaning that  $1, q, \dots, q^n$  are distinct modulo  $p$ , where  $q$  is the size of the residue field of  $L$ ), see section 8 of [Hel16].

In this paper we take a different point of view, and interpolate the local Langlands correspondence in *rigid* families – across eigenvarieties of definite unitary groups; in much the same spirit as [Pau11] for the eigencurve, except that our approach is more representation-theoretic than geometric and based on the construction of eigenvarieties in [Em06a] and [BHS17]. In the next few paragraphs we introduce notation in order to state our main result (Theorem 1.1 below).

Let  $p > 2$  be a prime, and fix an unramified CM extension  $F/F^+$  which is split at all places  $v$  of  $F^+$  above  $p$ . Suppose  $U_{/F^+}$  is a unitary group in  $n$  variables which is quasi-split at all finite places and compact at infinity (see 2.1 for more details). Throughout  $\Sigma$  is a finite set of finite places of  $F^+$  containing  $\Sigma_p = \{v : v|p\}$ , and we let  $\Sigma_0 = \Sigma \setminus \Sigma_p$ . We assume all places  $v \in \Sigma$  split in  $F$  and we choose a divisor  $\tilde{v}|v$  once and for all, which we use to make the identification  $U(F_v^+) \xrightarrow{\sim} \text{GL}_n(F_{\tilde{v}})$ . We do *not* assume the places in  $\Sigma$  are banal (as in [CHT08] for instance). We consider tame levels of the form  $K^p = K_{\Sigma_0} K^\Sigma$  where  $K^\Sigma = \prod_{v \notin \Sigma} K_v$  is a product of hyperspecial maximal compact subgroups, and  $K_{\Sigma_0} = \prod_{v \in \Sigma_0} K_v$ .

Our coefficient field is a sufficiently large finite extension  $E/\mathbb{Q}_p$  with integers  $\mathcal{O}$  and residue field  $k = k_E$ , and we start off with an absolutely irreducible<sup>1</sup> Galois representation  $\bar{r} : \text{Gal}_F \rightarrow \text{GL}_n(k)$  which is automorphic of tame level  $K^p$ . We let  $\mathfrak{m} = \mathfrak{m}_{\bar{r}}$  be the associated maximal ideal, viewed in various Hecke algebras (see sections 2.3 and 2.4 for more details). In 2.5 and 3.2 we introduce the universal deformation ring  $R_{\bar{r}}$  and the deformation space  $X_{\bar{r}} = \text{Spf}(R_{\bar{r}})^{\text{rig}}$ . Each point  $x \in X_{\bar{r}}$  carries a Galois representation  $r_x$ , which is a deformation of  $\bar{r}$ , and we let  $\mathfrak{p}_x \subset R_{\bar{r}}$  be the associated prime ideal. The Banach representation of  $p$ -adic automorphic forms  $\hat{S}(K^p, E)_{\mathfrak{m}}$  inherits a natural  $R_{\bar{r}}$ -module structure, and we consider its  $\mathfrak{p}_x$ -torsion  $\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}$  and its dense subspace of locally analytic vectors  $\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}^{\text{an}}$ , cf. section 2.2.

The eigenvariety  $Y(K^p, \bar{r}) \subset X_{\bar{r}} \times \hat{T}$  is defined as the support of a certain coherent sheaf  $\mathcal{M}$  on  $X_{\bar{r}} \times \hat{T}$ . Here  $\hat{T}$  denotes the character space of the  $p$ -adic torus  $T \subset U(F^+ \otimes \mathbb{Q}_p)$  isomorphic to  $\prod_{v|p} T_{\text{GL}(n)}(F_{\tilde{v}})$ , see 3.3 below. We have  $\hat{T} \simeq \mathcal{W} \times (\mathbb{G}_m^{\text{rig}})^{n|\Sigma_p|}$  where  $\mathcal{W}$  is weight space (parametrizing continuous characters of the maximal compact subgroup of  $T$ ) which is a disjoint union of finitely many open unit balls of dimension  $n[F^+ : \mathbb{Q}]$ . By definition a point  $y = (x, \delta) \in X_{\bar{r}} \times \hat{T}$  belongs to the eigenvariety  $Y(K^p, \bar{r})$  if and only if the fiber  $\mathcal{M}_y$  is nonzero. If  $y$  is  $E$ -rational the  $E$ -linear dual of  $\mathcal{M}_y$  can be described as

$$\mathcal{M}'_y \simeq J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}^{\text{an}})$$

<sup>1</sup>This is mostly for convenience. The automorphic  $\mathcal{O}$ -lifts of  $\bar{r}$  then arise from *cusp* forms on  $\text{GL}_n(\mathbb{A}_F)$ , cf. Lem. 3.3.

where  $J_B$  denotes Emerton's locally analytic variant of the Jacquet functor ([Em06b]) and  $J_B^\delta$  means the  $\delta$ -eigenspace. Morally our main result states that  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  interpolates the local Langlands correspondence for  $\mathrm{GL}_n$  across the eigenvariety. Here is the precise formulation.

**Theorem 1.1.** *Let  $y = (x, \delta) \in Y(K^p, \bar{r})(E)$  be a point such that  $r_x$  is **strongly generic** at every  $v \in \Sigma_0$  (cf. Def. 9.4 in the main text). Then there is an  $m_y \in \mathbb{Z}_{>0}$  such that up to semi-simplification*

$$\varinjlim_{K_{\Sigma_0}} J_B^\delta(\hat{S}(K^p, E)_m[\mathfrak{p}_x]^{an}) \overset{ss}{\simeq} \left( \otimes_{v \in \Sigma_0} \pi_{x,v} \right)^{\oplus m_y}$$

as admissible representations of  $U(F_{\Sigma_0}^+)$   $\xrightarrow{\sim} \prod_{v \in \Sigma_0} \mathrm{GL}_n(F_{\bar{v}})$ , where  $\pi_{x,v}$  is the irreducible smooth representation of  $U(F_v^+) \xrightarrow{\sim} \mathrm{GL}_n(F_{\bar{v}})$  associated with  $r_x|_{\mathrm{Gal}_{F_{\bar{v}}}}$  via the local Langlands correspondence:

$$WD(r_x|_{\mathrm{Gal}_{F_{\bar{v}}}})^{F-ss} \simeq \mathrm{rec}(BC_{\bar{v}|v}(\pi_{x,v}) \otimes |\det|^{(1-n)/2})$$

with  $\mathrm{rec}(\cdot)$  normalized as in [HT01].

The notation  $BC_{\bar{v}|v}(\pi_{x,v})$  used in the theorem signifies local base change, which simply amounts to viewing  $\pi_{x,v}$  as a representation of  $\mathrm{GL}_n(F_{\bar{v}})$  via its identification with  $U(F_v^+)$ .

The hypothesis that  $r_x$  is strongly generic guarantees that  $\pi_{x,v}$  is fully induced from a supercuspidal. Our result is actually slightly more general (cf. Lemma 9.1) in that it deals with arbitrary (non-generic) points as well. In that generality one can only say that any irreducible subquotient of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  has the same supercuspidal support as  $\otimes_{v \in \Sigma_0} \pi_{x,v}$ . In particular  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  lies in the Bernstein component  $\mathcal{R}^{\mathfrak{s}}(U(F_{\Sigma_0}^+))$  for the inertial class  $\mathfrak{s}$  determined by  $y$  (cf. section 9.1). We have no information about the monodromy operator. Our methods are based on  $p$ -adic interpolation of traces and therefore seem inherently inapplicable to deal with non-trivial monodromy. In the case where  $K_{\Sigma_0}$  is a product of Iwahori subgroups we can be a little more precise however (see Theorem 9.11 at the very end); using a genericity criterion of Barbasch-Moy, generalized by Chan-Savin, we show for *any* point  $y$  that  $\otimes_{v \in \Sigma_0} \pi_{x,v}^{\mathrm{gen}}$  is the only generic constituent of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  – and it *does* appear – where  $\pi_{x,v}^{\mathrm{gen}}$  denotes the generic representation with the same supercuspidal support as  $\pi_{x,v}$ . At the other extreme, when  $y = (x, \delta)$  is a point for which  $\pi_{x,v}$  is supercuspidal for all  $v \in \Sigma_0$  we can remove the 'ss' in Theorem 1.1 since there are no self-extensions with central character that of  $\pi_{x,v}$  (cf. Remark 9.6) by the projectivity and/or injectivity of  $\pi_{x,v}$  in this category.

We expect that the length  $m_y$  of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  as a  $U(F_{\Sigma_0}^+)$ -representation can be  $> 1$  at certain singular points. If  $y$  is a classical point of non-critical slope (automatically étale by [Che11, Thm. 4.10])  $m_y = 1$ , cf. Proposition 4.2 below. Under certain mild non-degeneracy assumptions,  $m_y$  should be closely related to  $\dim_E J_B^\delta(\Pi(\varrho_x)^{\mathrm{an}})$ ; which is finite by [Em06c, Cor. 0.15]. Here  $\varrho_x := \{r_x|_{\mathrm{Gal}_{F_{\bar{v}}}}\}_{v \in \Sigma_p}$  and  $\Pi(\varrho_x) := \hat{\otimes}_{v|p} \Pi(r_x|_{\mathrm{Gal}_{F_{\bar{v}}}})$ , where  $\Pi(\cdot)$  is the  $p$ -adic local Langlands correspondence for  $\mathrm{GL}_n(F_{\bar{v}})$  – as defined in [CEG<sup>+</sup>16] say, to fix ideas<sup>2</sup>. This expectation is based on the strong local-global compatibility results of [Em11b] and [CS17], which also seem to suggest that  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  should in fact be semisimple – for generic points (otherwise the 'generic' local Langlands correspondence gives a reducible indecomposable representation). We are not sure if this is an artifact of the  $n = 2$  case, or this is supposed to be true more generally. It is certainly not true for trivial reasons since  $\pi_{x,v}$  does admit non-trivial self-extensions. For

<sup>2</sup>At least for the choice of  $R_\infty \rightarrow \mathcal{O}$  in [CEG<sup>+</sup>16] compatible with  $x : R_{\bar{r}} \rightarrow \mathcal{O}$  via the projection  $R_\infty \rightarrow R_{\bar{r}}$ .

example, by [Orl05, Cor. 2] we have  $\dim \operatorname{Ext}_{\operatorname{GL}_n}^i(\operatorname{St}, \operatorname{St}) = \binom{n}{i}$ . Even when  $\pi_{x,v}$  is parabolically induced from a supercuspidal it does happen that  $\operatorname{Ext}_{\operatorname{GL}_n(F_{\bar{v}})}^1(\pi_{x,v}, \pi_{x,v}) \neq 0$  (cf. Remark 9.6.)

Theorem 1.1 was motivated in part by the question of local-global compatibility for the Breuil-Herzig construction  $\Pi(\rho)^{\operatorname{ord}}$ , cf. [BH15, Conj. 4.2.5]. The latter is defined for upper triangular  $p$ -adic representations  $\rho$  of  $\operatorname{Gal}_{\mathbb{Q}_p}$ , and is supposed to model the largest subrepresentation of the 'true'  $p$ -adic local Langlands correspondence built from unitary continuous principal series representations. We approach this problem starting from the inclusion (for unitary  $\delta$ )

$$(1.2) \quad J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}^{\operatorname{an}}) \hookrightarrow \operatorname{Ord}_B^\delta(\hat{S}(K^p, \mathcal{O})_{\mathfrak{m}[\mathfrak{p}_x]}[1/p]^{\operatorname{an}}),$$

as shown in [Sor17, Thm. 6.2]. Here  $\operatorname{Ord}_B$  is Emerton's functor of ordinary parts ([Em10]), which is right adjoint to parabolic induction  $\operatorname{Ind}_{\bar{B}}$ . If  $y = (x, \delta)$  lies on  $Y(K^p, \bar{r})$  the source of (1.2) is nonzero, and we deduce the existence of a nonzero (norm-decreasing) equivariant map  $\operatorname{Ind}_{\bar{B}}(\delta) \rightarrow \hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}$ . If one could show that certain Weyl-conjugates  $y_w = (x, w\delta)$  all lie on  $Y(K^p, \bar{r})$  one would infer that there is a non-trivial map  $\operatorname{soc}_{\operatorname{GL}_n(\mathbb{Q}_p)}\Pi(\rho)^{\operatorname{ord}} \rightarrow \hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}$  which one could hope to promote to a map  $\Pi(\rho)^{\operatorname{ord}} \rightarrow \hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}$  using [BH15, Cor. 4.3.11]. Here we take  $\rho = r_x|_{\operatorname{Gal}_{F_{\bar{v}}}}$  (up to a twist which we ignore here) for some  $v|p$  such that  $F_{\bar{v}} = \mathbb{Q}_p$ , and  $x$  is a point where  $r_x|_{\operatorname{Gal}_{F_{\bar{v}}}}$  is upper triangular with  $\delta_{\bar{v}}$  on the diagonal. With a little more work, it is conceivable that Theorem 1.1 would give *strong* local-global compatibility – in the sense that there is an embedding

$$\otimes_{v \in \Sigma_0} \pi_{x,v} \hookrightarrow \varinjlim_{K_{\Sigma_0}} \operatorname{Hom}_{\operatorname{GL}_n(\mathbb{Q}_p)}(\Pi(\rho)^{\operatorname{ord}}, \hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]}).$$

Some of us hope to return to these questions on another occasion.

Let us succinctly draw a comparison with [BC09] which contains results of the same nature (see their section 7.4, p. 179): They consider an eigenvariety  $X$  of 'idempotent type' and a finite set of places  $S$  away from  $p$ . On  $X$  they construct a sheaf  $\Pi_S$  of admissible  $G(\mathbb{A}_S)$ -representations and study how the fibers  $\Pi_{S,x}$  vary with  $x \in X$ . Each point  $x$  has an associated Hecke eigensystem  $\psi_x : \mathcal{H} \rightarrow \kappa(x)$  and one considers a certain generalized eigenspace  $\mathcal{S}^{\psi_x}$  of  $p$ -adic automorphic forms;  $\Pi_S^{\psi_x}$  is then the  $G(\mathbb{A}_S)$ -representation over  $\mathcal{O}_{X,x}/\mathfrak{m}_{\omega(x)}\mathcal{O}_{X,x}$  generated by  $\mathcal{S}^{\psi_x}$ . It is of finite length and has the interpolative property that there exists a surjection  $\Pi_{S,x}/\mathfrak{m}_{\omega(x)}\Pi_{S,x} \twoheadrightarrow \Pi_S^{\psi_x}$  for all points  $x$ . For classical points  $x$  there is an inclusion  $\Pi_x \hookrightarrow \Pi_S^{\psi_x}$ , and this is an isomorphism if  $x$  is (numerically) non-critical. (We will not recall all the notation used in this paragraph but refer the reader to [BC09].) A rough 'dictionary' between this paper and [BC09] is

$$G(\mathbb{A}_S) \longleftrightarrow U(F_{\Sigma_0}^+) \quad \Pi_{S,x} \longleftrightarrow \varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y \quad \Pi_S^{\psi_x} \longleftrightarrow \otimes_{v \in \Sigma_0} \pi_{x,v}.$$

To summarize, the approach in [BC09] is more geometric and via automorphic *forms* (akin to [Pau11]) whereas our approach is to interpolate automorphic *representations* instead of Hecke eigensystems, adopting the definition of eigenvarieties in [BHS17].

We briefly outline the overall strategy behind the proof of Theorem 1.1: For classical points  $y = (x, \delta)$  (i.e., those corresponding to automorphic representations) local-global compatibility away from  $p$  essentially gives an inclusion  $\otimes_{v \in \Sigma_0} \pi_{x,v} \hookrightarrow \varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  which is an isomorphism if  $\delta$  moreover is of non-critical slope. We reinterpret this using ideas from Scholze's proof of the local Langlands correspondence ([Sc13b]): He works with certain elements  $f_\tau$  in the Bernstein center of  $\operatorname{GL}_n(F_w)$ , associated with  $\tau \in$

$W_{F_w}$ , which act on an irreducible smooth representation  $\Pi$  via scaling by  $\text{tr}(\tau|\text{rec}(\Pi))$ ; here and throughout this paragraph we ignore a twist by  $|\det|^{(1-n)/2}$  for simplicity. For each tuple  $\tau = (\tau_{\bar{v}}) \in \prod_{v \in \Sigma_0} W_{F_{\bar{v}}}$  we thus have an element  $f_{\tau} := \otimes_{v \in \Sigma_0} f_{\tau_{\bar{v}}}$  of the Bernstein center of  $U(F_{\Sigma_0}^+) \xrightarrow{\sim} \prod_{v \in \Sigma_0} \text{GL}_n(F_{\bar{v}})$  which we know how to evaluate on all irreducible smooth representations. In particular  $f_{\tau}$  acts on  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  via scaling by  $\prod_{v \in \Sigma_0} \text{tr}(\tau_{\bar{v}}|\text{rec}(\text{BC}_{\bar{v}|v}(\pi_{x,v})))$  – still assuming  $y$  is classical and non-critical. Those points are Zariski dense in  $Y(K^p, \bar{r})$ , and using this we interpolate this key scaling property to *all* points  $y$  as follows. By mimicking the standard proof of Grothendieck’s monodromy theorem one can interpolate  $\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})$  in families. Namely, for each  $\text{Sp}(A) \subset X_{\bar{r}}$  we construct a Weil-Deligne representation  $\text{WD}_{\bar{r}, \bar{v}}$  over  $A$  which specializes to  $\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})$  for all  $x \in \text{Sp}(A)$ . Around the point  $y$  we find a neighborhood  $\Omega \subset \text{Sp}(A) \times \hat{T}$  and use the weight morphism  $\omega : Y(K^p, \bar{r}) \rightarrow \mathcal{W}$ , or rather its restriction  $\omega|_{\Omega}$ , to view  $\Gamma(\Omega, \mathcal{M})$  as a finite type projective module over  $\mathcal{O}_{\mathcal{W}}(\omega(\Omega))$ , which allows us to show that  $f_{\tau}$  acts on  $\varinjlim_{K_{\Sigma_0}} \Gamma(\Omega, \mathcal{M})$  via scaling by  $\prod_{v \in \Sigma_0} \text{tr}(\tau_{\bar{v}}|\text{WD}_{\bar{r}, \bar{v}})$ . This is the most technical part of our argument; in fact we glue and get the scaling property on the sheaf  $\mathcal{M}$  itself. By specialization at  $y$  we deduce that  $f_{\tau}$  acts on  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  via scaling by  $\prod_{v \in \Sigma_0} \text{tr}(\tau_{\bar{v}}|\text{rec}(\text{BC}_{\bar{v}|v}(\pi_{x,v})))$  as desired. This result tells us that every irreducible constituent  $\otimes_{v \in \Sigma_0} \pi_v$  of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  has the same supercuspidal support as  $\otimes_{v \in \Sigma_0} \pi_{x,v}$ , and therefore is isomorphic to it if  $x$  is a strongly generic point. We also infer that  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  has finite length since  $\dim \mathcal{M}'_y < \infty$  and the constituents  $\otimes_{v \in \Sigma_0} \pi_v$  have conductors bounded by the conductors of  $\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})$ .

We finish with a few remarks on the structure of the paper. In our first (rather lengthy) section 2 we introduce in detail the notation and assumptions in force throughout; the unitary groups  $U_{/F^+}$ , automorphic forms  $\hat{S}(K^p, E)$ , Hecke algebras, Galois representations and their deformations. Section 3 then defines the eigenvarieties  $Y(K^p, \bar{r})$  and the sheaves  $\mathcal{M}_{K^p}$ , essentially following [BHS17] and [Em06a]. In section 4 we recall the notion of a non-critical classical point, and prove Theorem 1.1 for those. Section 5 interpolates the Weil-Deligne representations across reduced  $\text{Sp}(A) \subset X_{\bar{r}}$  by suitably adapting Grothendieck’s argument. We recall Scholze’s characterization of the local Langlands correspondence in section 6, and introduce the functions  $f_{\tau}$  in the Bernstein center. The goal of section 7 is to show Proposition 7.8 on the action of  $f_{\tau}$  on  $\varinjlim_{K_{\Sigma_0}} \Gamma(\Omega, \mathcal{M}_{K^p})$  where  $\Omega$  is a neighborhood of  $y$  as above. Finally in section 9 we put the pieces together; we introduce the notion of a strongly generic point, and prove our main results. The last section 9.2 focuses on the case where  $K_{\Sigma_0}$  is a product of Iwahori subgroups; we recall and use the genericity criterion of Chan-Savin to show the occurrence of  $\otimes_{v \in \Sigma_0} \pi_{x,v}^{\text{gen}}$ .

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## 2. NOTATION AND TERMINOLOGY

We denote the absolute Galois group  $\text{Gal}(F^{\text{sep}}/F)$  of a field  $F$  by  $\text{Gal}_F$ .

**2.1. Unitary groups.** Our setup will be identical to that of [BHS17] although we will adopt a slightly different notation, which we will introduce below.

We fix a CM field  $F$  with maximal totally real subfield  $F^+$  and  $\text{Gal}(F/F^+) = \{1, c\}$ . We assume the extension  $F/F^+$  is unramified at all finite places, and split at all places  $v|p$  of  $F^+$  above a fixed prime  $p$ .

Let  $n$  be a positive integer. If  $n$  is even assume that  $\frac{n}{2}[F^+ : \mathbb{Q}] \equiv 0 \pmod{2}$ . By [CHT08, 3.5] this guarantees the existence of a unitary group  $U_{/F^+}$  in  $n$  variables such that

- $U \times_{F^+} F \xrightarrow{\sim} \mathrm{GL}_n$ ,
- $U$  is quasi-split over  $F_v^+$  (hence unramified) for all<sup>3</sup> finite places  $v$ ,
- $U(F^+ \otimes_{\mathbb{Q}} \mathbb{R})$  is compact.

We let  $G = \mathrm{Res}_{F^+/\mathbb{Q}} U$  be its restriction of scalars.

If  $v$  splits in  $F$  the choice of a divisor  $w|v$  determines an isomorphism  $i_w : U(F_v^+) \xrightarrow{\sim} \mathrm{GL}_n(F_w)$  well-defined up to conjugacy. Throughout we fix a finite set  $\Sigma$  of finite places of  $F^+$  such that every  $v \in \Sigma$  splits in  $F$ , and  $\Sigma$  contains  $\Sigma_p = \{v : v|p\}$ . We let  $\Sigma_0 = \Sigma \setminus \Sigma_p$ . We emphasize that unlike [CHT08] we do *not* assume the places in  $\Sigma_0$  are banal.

For each  $v \in \Sigma$  we choose a divisor  $\tilde{v}|v$  once and for all and let  $\tilde{\Sigma} = \{\tilde{v} : v \in \Sigma\}$ . We also choose an embedding  $\mathrm{Gal}_{F_{\tilde{v}}} \hookrightarrow \mathrm{Gal}_F$  for each such  $v$ . Moreover, we choose isomorphisms  $i_{\tilde{v}}$  which we will tacitly use to identify  $U(F_v^+)$  with  $\mathrm{GL}_n(F_{\tilde{v}})$ . For instance the collection  $(i_{\tilde{v}})_{v|p}$  gives an isomorphism

$$(2.1) \quad G(\mathbb{Q}_p) = U(F^+ \otimes_{\mathbb{Q}} \mathbb{Q}_p) \xrightarrow{\sim} \prod_{v|p} \mathrm{GL}_n(F_{\tilde{v}}).$$

Similarly  $U(F_{\Sigma}^+) \xrightarrow{\sim} \prod_{v \in \Sigma} \mathrm{GL}_n(F_{\tilde{v}})$  and analogously for  $U(F_{\Sigma_0}^+)$ . When there is no risk of confusion we will just write  $G$  instead of  $G(\mathbb{Q}_p)$ . We let  $B \subset G$  be the inverse image of the upper-triangular matrices under (2.1). In the same fashion  $T$  corresponds to the diagonal matrices, and  $N$  corresponds to the unipotent radical. Their opposites are denoted  $\bar{B}$  and  $\bar{N}$ .

Below we will only consider tame levels  $K^p \subset G(\mathbb{A}_f^p)$  of the form  $K^p = \prod_{v \nmid p} K_v$  where  $K_v \subset U(F_v^+)$  is a compact open subgroup which is assumed to be hyperspecial for  $v \notin \Sigma$ . Accordingly we factor it as  $K^p = K_{\Sigma_0} K^{\Sigma}$  where  $K^{\Sigma} = \prod_{v \notin \Sigma} K_v$  is a product of hyperspecials, and  $K_{\Sigma_0} = \prod_{v \in \Sigma_0} K_v$ .

**2.2. Automorphic forms.** We work over a fixed finite extension  $E/\mathbb{Q}_p$ , which we assume is large enough in the sense that every embedding  $F_v^+ \hookrightarrow \bar{\mathbb{Q}}_p$  factors through  $E$  for all  $v|p$ . We let  $\mathcal{O}$  denote its valuation ring,  $\varpi$  is a choice of uniformizer, and  $k = \mathcal{O}/(\varpi) \simeq \mathbb{F}_q$  is the residue field. We endow  $E$  with its normalized absolute value  $|\cdot|$  for which  $|\varpi| = q^{-1}$ .

For a tame level  $K^p \subset G(\mathbb{A}_f^p)$  we introduce the space of  $p$ -adic automorphic forms on  $G(\mathbb{A})$  as follows (cf. Definition 3.2.3 in [Em06a]). First let

$$\hat{S}(K^p, \mathcal{O}) = \mathcal{C}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, \mathcal{O}) = \varprojlim_i \mathcal{C}^{\infty}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, \mathcal{O} / \varpi^i \mathcal{O}).$$

Here  $\mathcal{C}$  is the space of continuous functions,  $\mathcal{C}^{\infty}$  is the space of locally constant functions. Note that the space of locally constant functions in  $\hat{S}(K^p, \mathcal{O})$  is  $\varpi$ -adically dense, so alternatively

$$\hat{S}(K^p, \mathcal{O}) = \mathcal{C}^{\infty}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, \mathcal{O})^{\wedge} = \varprojlim_i \mathcal{C}^{\infty}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, \mathcal{O}) \otimes_{\mathcal{O}} \mathcal{O} / \varpi^i \mathcal{O}.$$

These two viewpoints amount to thinking of  $\hat{S}(K^p, \mathcal{O})$  as  $\tilde{H}^0(K^p)$  or  $\hat{H}^0(K^p)$  respectively in the notation of [Em06a], cf. (2.1.1) and Corollary 2.2.25 there. The reduction modulo  $\varpi$  is the space of mod  $p$  modular forms on  $G(\mathbb{A})$ ,

$$S(K^p, k) = \mathcal{C}^{\infty}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, k) \simeq \hat{S}(K^p, \mathcal{O}) / \varpi \hat{S}(K^p, \mathcal{O}),$$

<sup>3</sup>Convenient in Lem. 3.3 when considering local base change from  $U(F_v^+)$  to  $\mathrm{GL}_n(F_{\tilde{v}})$  – for unramified representations.

which is an admissible (smooth)  $k[G]$ -module with  $G = G(\mathbb{Q}_p)$  acting via right translations. Thus  $\hat{S}(K^p, \mathcal{O})$  is a  $\varpi$ -adically admissible  $G$ -representation over  $\mathcal{O}$ , i.e. an object of  $\text{Mod}_G^{\varpi\text{-adm}}(\mathcal{O})$  (cf. Definition 2.4.7 in [Em10]). Since it is clearly flat over  $\mathcal{O}$ , it is the unit ball of a Banach representation

$$\hat{S}(K^p, E) = \hat{S}(K^p, \mathcal{O})[1/p] = \mathcal{C}(G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p, E).$$

Here we equip the right-hand side with the supremum norm  $\|f\| = \sup_{g \in G(\mathbb{A}_f)} |f(g)|$ , and  $\hat{S}(K^p, E)$  thus becomes an object of the category  $\text{Ban}_G(E)^{\leq 1}$  of Banach  $E$ -spaces  $(H, \|\cdot\|)$  for which  $\|H\| \subset |E|$  endowed with an isometric  $G$ -action.  $\hat{S}(K^p, E)$  is dubbed the space of  $p$ -adic automorphic forms on  $G(\mathbb{A})$ .

The connection to classical modular forms is through locally algebraic vectors as we now explain. Let  $V$  be an absolutely irreducible algebraic representation of  $G \times_{\mathbb{Q}} E$ . Thus  $V$  is a finite-dimensional  $E$ -vector space with an action of  $G(E)$ , which we restrict to  $G(\mathbb{Q}_p)$ . If  $K_p \subset G(\mathbb{Q}_p)$  is a compact open subgroup we let it act on  $V$  and consider

$$S_V(K_p K^p, E) = \text{Hom}_{K_p}(V, \hat{S}(K^p, E)).$$

If we assume  $E$  is large enough that  $\text{End}_G(V) = E$ , the space of  $V$ -locally algebraic vectors in  $\hat{S}(K^p, E)$  can be defined as the image of the natural map

$$\varinjlim_{K_p} V \otimes_E S_V(K_p K^p, E) \xrightarrow{\sim} \hat{S}(K^p, E)^{V\text{-alg}} \hookrightarrow \hat{S}(K^p, E)$$

(cf. Proposition 4.2.4 in [Em11a]). Then the space of all locally algebraic vectors decomposes as a direct sum  $\hat{S}(K^p, E)^{\text{alg}} = \bigoplus_V \hat{S}(K^p, E)^{V\text{-alg}}$ . Letting  $\tilde{V}$  denote the contragredient representation, one easily identifies  $S_V(K_p K^p, E)$  with the space of (necessarily continuous) functions

$$f : G(\mathbb{Q}) \backslash G(\mathbb{A}_f) / K^p \longrightarrow \tilde{V}, \quad f(gk) = k^{-1} f(g) \quad \forall k \in K_p.$$

In turn, considering the function  $h(g) = g f(g)$  identifies it with the space of right  $K_p K^p$ -invariant functions  $h : G(\mathbb{A}_f) \rightarrow \tilde{V}$  such that  $h(\gamma g) = \gamma h(g)$  for all  $\gamma \in G(\mathbb{Q})$ . If we complexify this space along an embedding  $\iota : E \hookrightarrow \mathbb{C}$  we obtain vector-valued automorphic forms. Thus we arrive at the decomposition

$$(2.2) \quad S_V(K_p K^p, E) \otimes_{E, \iota} \mathbb{C} \simeq \bigoplus_{\pi} m_G(\pi) \cdot \pi_p^{K_p} \otimes (\pi_f^p)^{K^p}$$

with  $\pi$  running over automorphic representations of  $G(\mathbb{A})$  with  $\pi_{\infty} \simeq V \otimes_{E, \iota} \mathbb{C}$ . It is even known by now that all  $m_G(\pi) = 1$ , cf. [Mok15] and 'the main global theorem' [KMSW, Thm. 1.7.1, p. 89] (both based on the symplectic/orthogonal case [Art13]). Multiplicity one will be used below in Lemma 3.3.

*Remark 2.3.* For full disclosure we will only use multiplicity one for representations  $\pi$  whose base change  $\Pi = \text{BC}_{F/F^+}(\pi)$  to  $\text{GL}_n(\mathbb{A}_F)$  is cuspidal (cf. the proof of Lemma 3.3 below). Since  $\Pi_{\infty}$  is  $V$ -cohomological the Ramanujan conjecture holds in this case, i.e.  $\Pi$  is tempered. Therefore the packets in [KMSW, Thm. 1.7.1] do not overlap and consists of irreducible representations; in particular  $m_G(\pi) = 1$ . Some of the authors of [KMSW] have informed us that multiplicity one even holds for non-tempered representations  $\pi$ , the point being that the groups  $S_{\psi_v}^{\text{cl}}$  in loc. cit. are abelian. As mentioned in the introduction to loc. cit. the non-tempered case is the topic of a sequel.

**2.3. Hecke algebras.** At each  $v \nmid p$  we consider the Hecke algebra  $\mathcal{H}(U(F_v^+), K_v)$  of  $K_v$ -biinvariant compactly supported functions  $\phi : U(F_v^+) \rightarrow \mathcal{O}$  (with  $K_v$ -normalized convolution). The characteristic functions of double cosets  $[K_v \gamma_v K_v]$  form an  $\mathcal{O}$ -basis.

Suppose  $v$  splits in  $F$  and  $K_v$  is hyperspecial. Choose a place  $w|v$  and an isomorphism  $i_w$  which restricts to  $i_w : K_v \xrightarrow{\sim} \mathrm{GL}_n(\mathcal{O}_{F_w})$ . Then we identify  $\mathcal{H}(U(F_v^+), K_v)$  with the spherical Hecke algebra for  $\mathrm{GL}_n(F_w)$ . We let  $\gamma_{w,j} \in U(F_v^+)$  denote the element corresponding to

$$i_w(\gamma_{w,j}) = \mathrm{diag}(\underbrace{\varpi_{F_w}, \dots, \varpi_{F_w}}_j, 1, \dots, 1).$$

Then let  $T_{w,j} = [K_v \gamma_{w,j} K_v]$  be the standard Hecke operators;  $\mathcal{H}(U(F_v^+), K_v) = \mathcal{O}[T_{w,1}, \dots, T_{w,n}^{\pm 1}]$ .

For a tame level  $K^p$  as above, the full Hecke algebra

$$\mathcal{H}(G(\mathbb{A}_f^p), K^p) = \bigotimes_{v \nmid p} \mathcal{H}(U(F_v^+), K_v)$$

acts on  $\hat{S}(K^p, E)$  by norm-decreasing morphisms, and hence preserves the unit ball  $\hat{S}(K^p, \mathcal{O})$ . This induces actions on  $S(K^p, k)$  and  $S_V(K_p K^p, E)$  as well given by the usual double coset operators. Let

$$\mathcal{H}(K_{\Sigma_0}) = \bigotimes_{v \in \Sigma_0} \mathcal{H}(U(F_v^+), K_v), \quad \mathcal{H}_s(K^\Sigma) = \bigotimes_{v \notin \Sigma \text{ split}} \mathcal{H}(U(F_v^+), K_v)$$

be the subalgebras of  $\mathcal{H}(G(\mathbb{A}_f^p), K^p)$  generated by Hecke operators at  $v \in \Sigma_0$ , respectively  $T_{w,1}, \dots, T_{w,n}^{\pm 1}$  for  $v \notin \Sigma$  split in  $F$  and  $w|v$  (the subscript  $s$  is for 'split'). In what follows we ignore the Hecke action at the non-split places  $v \notin \Sigma$ . Note that  $\mathcal{H}_s(K^\Sigma)$  is commutative, but of course  $\mathcal{H}(K_{\Sigma_0})$  need not be.

We define the Hecke polynomial  $P_w(X) \in \mathcal{H}_s(K^\Sigma)[X]$  to be

$$P_w(X) = X^n + \dots + (-1)^j (Nw)^{j(j-1)/2} T_{w,j} X^{n-j} + \dots + (-1)^n (Nw)^{n(n-1)/2} T_{w,n}$$

where  $Nw$  is the size of the residue field  $\mathcal{O}_{F_w}/(\varpi_{F_w})$ .

We denote by  $\mathbb{T}_V(K_p K^p, \mathcal{O})$  the subalgebra of  $\mathrm{End}(S_V(K_p K^p, E))$  generated by the operators  $\mathcal{H}_s(K^\Sigma)$ . This is reduced and finite over  $\mathcal{O}$ . In case  $V$  is the trivial representation we write  $\mathbb{T}_0(K_p K^p, \mathcal{O})$ . As  $K_p$  shrinks there are surjective transition maps between these (given by restriction) and we let

$$\hat{\mathbb{T}}(K^p, \mathcal{O}) = \varprojlim_{K_p} \mathbb{T}_0(K_p K^p, \mathcal{O}),$$

equipped with the projective limit topology (each term being endowed with the  $\varpi$ -adic topology). We refer to it as the 'big' Hecke algebra.  $\hat{\mathbb{T}}(K^p, \mathcal{O})$  clearly acts faithfully on  $\hat{S}(K^p, E)$  and one can easily show that the natural map  $\mathcal{H}_s(K^\Sigma) \rightarrow \hat{\mathbb{T}}(K^p, \mathcal{O})$  has dense image, cf. the discussion in [Em11b, 5.2].

A maximal ideal  $\mathfrak{m} \subset \mathcal{H}_s(K^\Sigma)$  is called automorphic (of tame level  $K^p$ ) if it arises as the pullback of a maximal ideal in some  $\mathbb{T}_V(K_p K^p, \mathcal{O})$ . Shrinking  $K_p$  if necessary we may assume it is pro- $p$ , in which case we may take  $V$  to be trivial ('Shimura's principle'). In particular there are only finitely many such  $\mathfrak{m}$ , and we interchangeably view them as maximal ideals of  $\hat{\mathbb{T}}(K^p, \mathcal{O})$  (and use the same notation), which thus factors as a finite product of complete local  $\mathcal{O}$ -algebras

$$\hat{\mathbb{T}}(K^p, \mathcal{O}) = \prod_{\mathfrak{m}} \hat{\mathbb{T}}(K^p, \mathcal{O})_{\mathfrak{m}}.$$

Correspondingly we have a decomposition  $\hat{S}(K^p, E) = \bigoplus_{\mathfrak{m}} \hat{S}(K^p, E)_{\mathfrak{m}}$ , and similarly for  $\hat{S}(K^p, \mathcal{O})$ . This direct sum is clearly preserved by  $\mathcal{H}(K_{\Sigma_0})$ .

**2.4. Galois representations.** If  $R$  is an  $\mathcal{O}$ -algebra, and  $r : \mathrm{Gal}_F \rightarrow \mathrm{GL}_n(R)$  is an arbitrary representation which is unramified at all places  $w$  of  $F$  lying above a split  $v \notin \Sigma$ , we associate the eigensystem

$\theta_r : \mathcal{H}_s(K^\Sigma) \rightarrow R$  determined by

$$\det(X - r(\text{Frob}_w)) = \theta_r(P_w(X)) \in R[X]$$

for all such  $w$ . Here  $\text{Frob}_w$  denotes a geometric Frobenius. (Note that the coefficients of the polynomial determine  $\theta_r(T_{w,j})$  since  $Nw \in \mathcal{O}^\times$ ; and  $\theta_r(T_{w,n}) \in R^\times$ .) We say  $r$  is automorphic (for  $G$ ) if  $\theta_r$  factors through one of the quotients  $\mathbb{T}_V(K_p K^p, \mathcal{O})$ .

When  $R = \mathcal{O}$  this means  $r$  is associated with one of the automorphic representations  $\pi$  contributing to (2.2) in the sense that  $T_{w,j}$  acts on  $\pi_v^{K_v}$  by scaling by  $\iota(\theta_r(T_{w,j}))$  for all  $w|v \notin \Sigma$  as above. Conversely, it is now known that to any such  $\pi$  (and a choice of isomorphism  $\iota : \bar{\mathbb{Q}}_p \xrightarrow{\sim} \mathbb{C}$ ) one can attach a unique semisimple Galois representation  $r_{\pi,\iota} : \text{Gal}_F \rightarrow \text{GL}_n(\bar{\mathbb{Q}}_p)$  with that property, cf. [Tho12, Theorem 6.5] for a nice summary. It is polarized, meaning that  $r_{\pi,\iota}^\vee \simeq r_{\pi,\iota}^c \otimes \epsilon^{n-1}$  where  $\epsilon$  is the cyclotomic character, and one can explicitly write down its Hodge-Tate weights in terms of  $V$ .

When  $R = k$  we let  $\mathfrak{m}_r = \ker(\theta_r)$  be the corresponding maximal ideal of  $\mathcal{H}_s(K^\Sigma)$ . Then  $r$  is automorphic precisely when  $\mathfrak{m}_r$  is automorphic, in which case we tacitly view it as a maximal ideal of  $\mathbb{T}_V(K_p K^p, \mathcal{O})$  (with residue field  $k$ ) for suitable  $V$  and  $K_p$ . In the other direction, starting from a maximal ideal  $\mathfrak{m}$  in  $\mathbb{T}_V(K_p K^p, \mathcal{O})$  (whose residue field is necessarily a finite extension of  $k$ ) one can attach a unique semisimple representation

$$\bar{r}_\mathfrak{m} : \text{Gal}_F \longrightarrow \text{GL}_n(\mathbb{T}_V(K_p K^p, \mathcal{O})/\mathfrak{m})$$

such that  $\theta_{\bar{r}_\mathfrak{m}}(T_{w,j}) = T_{w,j} + \mathfrak{m}$  (and which is polarized), cf. [Tho12, Prop. 6.6]. We say  $\mathfrak{m}$  is non-Eisenstein if  $\bar{r}_\mathfrak{m}$  is absolutely irreducible. Under this hypothesis  $\bar{r}_\mathfrak{m}$  admits a (polarized) lift

$$r_\mathfrak{m} : \text{Gal}_F \longrightarrow \text{GL}_n(\mathbb{T}_V(K_p K^p, \mathcal{O})_\mathfrak{m})$$

with the property that  $\theta_{r_\mathfrak{m}}(T_{w,j}) = T_{w,j}$ ; it is unique up to conjugation, cf. [Tho12, Prop. 6.7], and gives a well-defined deformation of  $\bar{r}_\mathfrak{m}$ . If we let  $K_p$  shrink to a pro- $p$  subgroup we may take  $V$  to be trivial, i.e.  $\mathfrak{m} \subset \mathbb{T}_1(K_p K^p, \mathcal{O})$ . Passing to the inverse limit yields a lift of  $\bar{r}_\mathfrak{m}$  with coefficients in  $\hat{\mathbb{T}}(K^p, \mathcal{O})_\mathfrak{m}$  which we will denote by  $\hat{r}_\mathfrak{m}$ . Throughout [Tho12] it is assumed that  $p > 2$ ; we adopt that hypothesis here.

All the representations discussed above ( $r_{\pi,\iota}$ ,  $\bar{r}_\mathfrak{m}$ ,  $r_\mathfrak{m}$  etc.) extend<sup>4</sup> to continuous homomorphisms  $\text{Gal}_{F^+} \rightarrow \mathcal{G}_n(R)$  for various  $R$ , where  $\mathcal{G}_n$  is the group scheme (over  $\mathbb{Z}$ ) defined as a semi-direct product  $\{1, j\} \ltimes (\text{GL}_n \times \text{GL}_1)$ , cf. [Tho12, Def. 2.1]. We let  $\nu : \mathcal{G}_n \rightarrow \text{GL}_1$  be the natural projection. Thus  $\nu \circ \bar{r}_\mathfrak{m} = \epsilon^{1-n} \delta_{F/F^+}^{\mu_\mathfrak{m}}$  (and similarly for  $r_\mathfrak{m}$ ) where  $\delta_{F/F^+}$  is the non-trivial quadratic character of  $\text{Gal}(F/F^+)$  and  $\mu_\mathfrak{m} \in \{0, 1\}$  is determined by the congruence  $\mu_\mathfrak{m} \equiv n \pmod{2}$  (cf. [CHT08, Thm. 3.5.1] and [BC11, Thm. 1.2]).

**2.5. Deformations.** Now start with  $\bar{r} : \text{Gal}_{F^+} \rightarrow \mathcal{G}_n(k)$  such that its restriction  $\bar{r} : \text{Gal}_F \rightarrow \text{GL}_n(k)$  is absolutely irreducible and automorphic, with corresponding maximal ideal  $\mathfrak{m} = \mathfrak{m}_{\bar{r}}$ , and  $\nu \circ \bar{r} = \epsilon^{1-n} \delta_{F/F^+}^{\mu_\mathfrak{m}}$ . In particular  $\bar{r}$  is unramified outside  $\Sigma$ .

We consider lifts and deformations of  $\bar{r}$  to rings in  $\mathcal{C}_\mathcal{O}$ , the category of complete local Noetherian  $\mathcal{O}$ -algebras  $R$  with residue field  $k \xrightarrow{\sim} R/\mathfrak{m}_R$ , cf. [Tho12, Def. 3.1]. Recall that a lift is a homomorphism  $r : \text{Gal}_{F^+} \rightarrow \mathcal{G}_n(R)$  such that  $r$  reduces to  $\bar{r} \pmod{\mathfrak{m}_R}$ , and  $\nu \circ r = \epsilon^{1-n} \delta_{F/F^+}^{\mu_\mathfrak{m}}$  (thought of as taking values in  $R^\times$ ). A deformation is a  $(1 + M_n(R))$ -conjugacy class of lifts.

<sup>4</sup>Once a choice of  $\gamma_0 \in \text{Gal}_{F^+} - \text{Gal}_F$  is made, cf. [CHT08, Lem. 2.1.4]. See also Prop. 3.4.4 therein.

For each  $v \in \Sigma$  consider the restriction  $\bar{r}_v = \bar{r}|_{\text{Gal}_{F_v}}$  and its universal lifting ring  $R_{\bar{r}_v}^\square$ . Following [Tho12] we let  $R_{\bar{r}_v}^\square$  denote its maximal reduced  $p$ -torsion free quotient, and consider the deformation problem

$$\mathcal{S} = (F/F^+, \Sigma, \tilde{\Sigma}, \mathcal{O}, \bar{r}, \epsilon^{1-n} \delta_{F/F^+}^{\mu_m}, \{R_{\bar{r}_v}^\square\}_{v \in \Sigma}).$$

The functor  $\text{Def}_{\mathcal{S}}$  of deformations of type  $\mathcal{S}$  is then represented by an object  $R_{\mathcal{S}}^{\text{univ}}$  of  $\mathcal{C}_{\mathcal{O}}$ , cf. [Tho12, Prop. 3.4] or [CHT08, Prop. 2.2.9]. In what follows we will simply write  $R_{\bar{r}}$  instead of  $R_{\mathcal{S}}^{\text{univ}}$ , and keep in mind the underlying deformation problem  $\mathcal{S}$ . Similarly,  $R_{\bar{r}}^\square$  is the universal lifting ring of type  $\mathcal{S}$  (which is denoted by  $R_{\mathcal{S}}^\square$  in [Tho12, Prop. 3.4]). Note that  $R_{\bar{r}}^\square$  is a power series  $\mathcal{O}$ -algebra in  $|\Sigma|n^2$  variables over  $R_{\bar{r}}$  ([CHT08, Prop. 2.2.9]); a fact we will not use in this paper.

The universal automorphic deformation  $r_m$  is of type  $\mathcal{S}$ , so by universality it arises from a local homomorphism

$$\psi : R_{\bar{r}} \longrightarrow \mathbb{T}_V(K_p K^p, \mathcal{O})_m.$$

These maps are compatible as we shrink  $K_p$ . Taking  $V$  to be trivial and passing to the inverse limit over  $K_p$  we obtain a map  $\hat{\psi} : R_{\bar{r}} \rightarrow \hat{\mathbb{T}}(K^p, \mathcal{O})_m$  which we use to view  $\hat{S}(K^p, E)_m$  as an  $R_{\bar{r}}$ -module.

### 3. EIGENVARIETIES

**3.1. Formal schemes and rigid spaces.** In what follows  $(-)^{\text{rig}}$  will denote Berthelot's functor (which generalizes Raynaud's construction for topologically finite type formal schemes  $\mathfrak{X}$  over  $\text{Spf}(\mathcal{O})$ , cf. [Ray74]). Its basic properties are nicely reviewed in [dJ95, Ch. 7]. The source  $\text{FS}_{\mathcal{O}}$  is the category of locally Noetherian adic formal schemes  $\mathfrak{X}$  which are formally of finite type over  $\text{Spf}(\mathcal{O})$  (i.e., their reduction modulo an ideal of definition is of finite type over  $\text{Spec}(k)$ ); the target  $\text{Rig}_E$  is the category of rigid analytic varieties over  $E$ , cf. Definition 9.3.1/4 in [BGR84]. For example,  $\mathbb{B} = (\text{Spf} \mathcal{O}\{y\})^{\text{rig}}$  is the closed unit disc (at 0);  $\mathbb{U} = (\text{Spf} \mathcal{O}[[x]])^{\text{rig}}$  is the open unit disc. For a general affine formal scheme  $\mathfrak{X} = \text{Spf}(A)$  where

$$A = \mathcal{O}\{y_1, \dots, y_r\}[[x_1, \dots, x_s]]/(g_1, \dots, g_t),$$

$\mathfrak{X}^{\text{rig}} \subset \mathbb{B}^r \times \mathbb{U}^s$  is the closed analytic subvariety cut out by the functions  $g_1, \dots, g_t$ , cf. [BGR84, 9.5.2]. In general  $\mathfrak{X}^{\text{rig}}$  is obtained by glueing affine pieces as in [dJ95, 7.2]. The construction of  $\mathfrak{X}^{\text{rig}}$  in the affine case is actually completely canonical and free from coordinates: If  $I \subset A$  is the largest ideal of definition,  $A[I^n/\varpi]$  is the subring of  $A \otimes_{\mathcal{O}} E$  generated by  $A$  and all  $i/\varpi$  with  $i \in I^n$ . Let  $A[I^n/\varpi]^\wedge$  be its  $I$ -adic completion (equivalently, its  $\varpi$ -adic completion, see the proof of [dJ95, Lem. 7.1.2]). Then  $A[I^n/\varpi]^\wedge \otimes_{\mathcal{O}} E$  is an affinoid  $E$ -algebra and there is an admissible covering

$$\mathfrak{X}^{\text{rig}} = \text{Spf}(A)^{\text{rig}} = \bigcup_{n=1}^{\infty} \text{Sp}(A[I^n/\varpi]^\wedge \otimes_{\mathcal{O}} E).$$

In particular  $A^{\text{rig}} := \mathcal{O}(\text{Spf}(A)^{\text{rig}}) = \varprojlim_n A[I^n/\varpi]^\wedge \otimes_{\mathcal{O}} E$ . The natural map  $A \otimes_{\mathcal{O}} E \rightarrow A^{\text{rig}}$  factors through the ring of bounded functions on  $\text{Spf}(A)^{\text{rig}}$ ; the image of  $A$  lies in  $\mathcal{O}^0(\text{Spf}(A)^{\text{rig}})$ , the functions whose absolute value is bounded by 1, cf. [dJ95, 7.1.8].

**3.2. Deformation space.** We let  $X_{\bar{r}} = \text{Spf}(R_{\bar{r}})^{\text{rig}}$  (a subvariety of  $\mathbb{U}^s$  for some  $s$ ). For a point  $x \in X_{\bar{r}}$  we let  $\kappa(x)$  denote its residue field, which is a finite extension of  $E$ , and let  $\kappa(x)^0$  be its valuation ring; an  $\mathcal{O}$ -algebra with finite residue field  $k(x)$ . Note the different meanings of  $\kappa(x)$  and  $k(x)$ . The evaluation

map  $R_{\bar{r}} \rightarrow \mathcal{O}^0(X_{\bar{r}}) \rightarrow \kappa(x)^0$  corresponds to a deformation

$$r_x : \text{Gal}_{F^+} \longrightarrow \mathcal{G}_n(\kappa(x)^0)$$

of  $\bar{r} \otimes_k k(x)$ . (We tacitly choose a representative  $r_x$  in the conjugacy class of lifts.) We let  $\mathfrak{p}_x = \ker(R_{\bar{r}} \rightarrow \kappa(x)^0)$  be the prime ideal of  $R_{\bar{r}}$  corresponding to  $x$ , cf. the bijection in [dJ95, Lem. 7.1.9]. We will often assume for notational simplicity that  $x$  is  $E$ -rational, in which case  $\kappa(x) = E$  and  $k(x) = k$ ; so that  $r_x$  is a deformation of  $\bar{r}$  over  $\kappa(x)^0 = \mathcal{O}$ .

**3.3. Character and weight space.** Recall our choice of torus  $T \subset G(\mathbb{Q}_p)$ , and let  $T_0$  be its maximal compact subgroup. Upon choosing uniformizers  $\{\varpi_{F_v}\}_{v|p}$  we have an isomorphism  $T \simeq T_0 \times \mathbb{Z}^{n|\Sigma_p|}$  of topological groups. Moreover,

$$T_0 \simeq \prod_{v|p} (\mathcal{O}_{F_v}^\times)^n \simeq \underbrace{\left( \prod_{v|p} \mu_\infty(F_v)^n \right)}_{\mu} \times \mathbb{Z}_p^{n[F^+:\mathbb{Q}]}.$$

Let  $\hat{T} := \mathcal{W} \times (\mathbb{G}_m^{\text{rig}})^{n|\Sigma_p|}$  where  $\mathcal{W} := (\text{Spf}(\mathcal{O}[[T_0]]))^\text{rig}$ . The weight space  $\mathcal{W}$  is isomorphic to  $|\mu|$  copies of the open unit ball  $\mathbb{U}^{n[F^+:\mathbb{Q}]}$ . From a more functorial point of view  $\hat{T}$  represents the functor which takes an affinoid  $E$ -algebra to the set  $\text{Hom}_{\text{cont}}(T, A^\times)$ , and similarly for  $\mathcal{W}$  and  $T_0$ . See [Em11a, Prop. 6.4.5]. Thus  $\hat{T}$  carries a universal continuous character  $\delta^{\text{univ}} : T \rightarrow \mathcal{O}(\hat{T})^\times$  which restricts to a character  $T_0 \rightarrow \mathcal{O}^0(\mathcal{W})^\times$  via the canonical morphism  $\hat{T} \rightarrow \mathcal{W}$ . Henceforth we identify points of  $\hat{T}$  with continuous characters  $\delta : T \rightarrow \kappa(\delta)^\times$  for varying finite extensions  $\kappa(\delta)$  of  $E$  (and analogously for  $\mathcal{W}$ ).

**3.4. Definition of the eigenvariety.** We follow [BHS17, 4.1] in defining the eigenvariety  $Y(K^p, \bar{r})$  as the support of a certain coherent sheaf  $\mathcal{M} = \mathcal{M}_{K^p}$  on  $X_{\bar{r}} \times \hat{T}$ . This is basically also the approach taken in section (2.3) of [Em06a], except there  $X_{\bar{r}}$  is replaced by  $\text{Spec}$  of a certain Hecke algebra. We define  $\mathcal{M}$  as follows.

Let  $(-)^{\text{an}}$  be the functor from [ST03, Thm. 7.1]. It takes an object  $H$  of  $\text{Ban}_G^{\text{adm}}(E)$  to the dense subspace  $H^{\text{an}}$  of locally analytic vectors.  $H^{\text{an}}$  is a locally analytic  $G$ -representation (over  $E$ ) of compact type whose strong dual  $(H^{\text{an}})'$  is a coadmissible  $D(G, E)$ -module, cf. [ST03, p. 176].

We take  $H = \hat{S}(K^p, E)_m$  and arrive at an admissible locally analytic  $G$ -representation  $\hat{S}(K^p, E)_m^{\text{an}}$  which we feed into the Jacquet functor  $J_B$  defined in [Em06b, Def. 3.4.5]. By Theorem 0.5 of loc. cit. this yields an *essentially* admissible locally analytic  $T$ -representation  $J_B(\hat{S}(K^p, E)_m^{\text{an}})$ . See [Em11a, Def. 6.4.9] for the notion of essentially admissible (the difference with admissibility lies in incorporating the action of the center  $Z$ , or rather viewing the strong dual as a module over  $\mathcal{O}(\hat{Z}) \hat{\otimes} D(G, E)$ ).

We recall [Em06a, Prop. 2.3.2]: If  $\mathcal{F}$  is a coherent sheaf on  $\hat{T}$ , cf. [BGR84, Def. 9.4.3/1], its global sections  $\Gamma(\hat{T}, \mathcal{F})$  is a coadmissible  $\mathcal{O}(\hat{T})$ -module. Moreover, the functor  $\mathcal{F} \rightsquigarrow \Gamma(\hat{T}, \mathcal{F})$  is an equivalence of categories (since  $\hat{T}$  is quasi-Stein). Note that  $\Gamma(\hat{T}, \mathcal{F})$  and its strong dual both acquire a  $T$ -action via  $\delta^{\text{univ}}$ . Altogether the functor  $\mathcal{F} \rightsquigarrow \Gamma(\hat{T}, \mathcal{F})'$  sets up an anti-equivalence of categories between coherent sheaves on  $\hat{T}$  and essentially admissible locally analytic  $T$ -representations (over  $E$ ).

As pointed out at the end of section 2.5,  $\hat{S}(K^p, E)_m$  is an  $R_{\bar{r}}$ -module via  $\hat{\psi}$ , and the  $G$ -action is clearly  $R_{\bar{r}}$ -linear. Thus  $J_B(\hat{S}(K^p, E)_m^{\text{an}})$  inherits an  $R_{\bar{r}}$ -module structure. By suitably modifying the remarks of the preceding paragraph (as in section 3.1 of [BHS17] where they define and study locally  $R_{\bar{r}}$ -analytic

vectors, cf. Def. 3.2 in loc. cit.) one finds that there is a coherent sheaf  $\mathcal{M} = \mathcal{M}_{K^p}$  on  $X_{\bar{r}} \times \hat{T}$  for which

$$J_B(\hat{S}(K^p, E)_{\mathfrak{m}}^{\text{an}}) \simeq \Gamma(X_{\bar{r}} \times \hat{T}, \mathcal{M}).$$

The *eigenvariety* is then defined as the (schematic) support of  $\mathcal{M}$ , cf. [BGR84, Prop. 9.5.2/4]. I.e.,

$$Y(K^p, \bar{r}) := \text{supp}(\mathcal{M}) = \{y = (x, \delta) : \mathcal{M}_y \neq 0\} \subset X_{\bar{r}} \times \hat{T}.$$

Thus  $Y(K^p, \bar{r})$  is an analytic subset of  $X_{\bar{r}} \times \hat{T}$  with structure sheaf  $\mathcal{O}_{X_{\bar{r}} \times \hat{T}}/\mathcal{I}$ , where  $\mathcal{I}$  is the ideal sheaf of annihilators of  $\mathcal{M}$ . That is  $\mathcal{I}(U) = \text{Ann}_{\mathcal{O}(U)}\Gamma(U, \mathcal{M})$  for admissible open  $U$ . One can show that  $Y(K^p, \bar{r})$  is reduced, cf. part (3) of Lemma 7.7 below for precise references.

The fiber  $\mathcal{M}_y = (\varinjlim_{U \ni y} \Gamma(U, \mathcal{M})) \otimes_{\mathcal{O}_{Y(K^p, \bar{r}), y}} \kappa(y)$  is finite-dimensional over  $\kappa(y)$ . Suppose  $\kappa(y) \simeq E$  solely to simplify the notation. Then the full  $E$ -linear dual  $\mathcal{M}'_y = \text{Hom}_E(\mathcal{M}_y, E)$  has the following useful description.

**Lemma 3.1.** *Let  $y = (x, \delta) \in (X_{\bar{r}} \times \hat{T})(E)$  be an  $E$ -rational point. Then there is an isomorphism*

$$(3.2) \quad \mathcal{M}'_y \simeq J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}}).$$

(Here  $J_B^\delta$  means the  $\delta$ -eigenspace of  $J_B$ , and  $[\mathfrak{p}_x]$  means taking  $\mathfrak{p}_x$ -torsion.)

*Proof.* First, since  $X_{\bar{r}} \times \hat{T}$  is quasi-Stein,  $\mathcal{M}_y$  is the largest quotient of  $\Gamma(X_{\bar{r}} \times \hat{T}, \mathcal{M})$  which is annihilated by  $\mathfrak{p}_x$  and on which  $T$  acts via  $\delta$ , cf. [BHS17, 5.4]. Thus  $\mathcal{M}'_y$  is the largest subspace of  $J_B(\hat{S}(K^p, E)_{\mathfrak{m}}^{\text{an}})$  with the same properties, i.e.  $J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}^{\text{an}})[\mathfrak{p}_x]$ , as observed in Proposition 2.3.3 (iii) of [Em06a]. Now,

$$J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}^{\text{an}})[\mathfrak{p}_x] = J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}})$$

as follows easily from the exactness of  $(-)^{\text{an}}$  and the left-exactness of  $J_B$  (using that  $\mathfrak{p}_x$  is finitely generated to reduce to the principal case by induction on the number of generators), cf. the proof of [BHS17, Prop. 3.7].  $\square$

The space in (3.2) can be made more explicit: Choose a compact open subgroup  $N_0 \subset N$  and introduce the monoid  $T^+ = \{t \in T : tN_0t^{-1} \subset N_0\}$ . Then by [Em06b, Prop. 3.4.9],

$$J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}}) \simeq (\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}})^{N_0, T^+=\delta}$$

where  $T^+$  acts by double coset operators  $[N_0tN_0]$  on the space on the right. Observe that  $y$  lies on the eigenvariety  $Y(K^p, \bar{r})$  precisely when the above space  $\mathcal{M}'_y$  is nonzero.

Note that the Hecke algebra  $\mathcal{H}(K_{\Sigma_0})$  acts on  $J_B(\hat{S}(K^p, E)_{\mathfrak{m}}^{\text{an}})$ , and therefore on  $\mathcal{M}$  and its fibers  $\mathcal{M}_y$  (on the *right* since we are taking duals). The isomorphism (3.2) is  $\mathcal{H}(K_{\Sigma_0})$ -equivariant, and our first goal is to describe  $\mathcal{M}'_y$  as a  $\mathcal{H}(K_{\Sigma_0})$ -module.

**3.5. Classical points.** We say that a point  $y = (x, \delta) \in Y(K^p, \bar{r})(E)$  is *classical* (of weight  $V$ ) if the following conditions hold (cf. [BHS17, Def. 3.14] or the paragraph before [Em06a, Def. 0.6]):

- (1)  $\delta = \delta_{\text{alg}}\delta_{\text{sm}}$ , where  $\delta_{\text{alg}}$  is an algebraic character which is dominant relative to  $B$  (i.e., obtained from an element of  $X^*(T \times_{\mathbb{Q}} E)^+$  by restriction to  $T$ ), and  $\delta_{\text{sm}}$  is a smooth character of  $T$ . In this case let  $V$  denote the irreducible algebraic representation of  $G \times_{\mathbb{Q}} E$  of highest weight  $\delta_{\text{alg}}$ .
- (2) There exists an automorphic representation  $\pi$  of  $G(\mathbb{A})$  such that
  - (a)  $(\pi_f^p)^{K^p} \neq 0$  and the  $\mathcal{H}_s(K^\Sigma)$ -action on this space is given by the eigensystem  $\iota \circ \theta_{r_x}$ ,
  - (b)  $\pi_\infty \simeq V \otimes_{E, \iota} \mathbb{C}$ ,

(c)  $\pi_p$  is a quotient of  $\text{Ind}_B^G(\delta_{\text{sm}}\delta_B^{-1})$ .

These points comprise the subset  $Y(K^p, \bar{r})_{\text{cl}}$ . Note that condition (a) is equivalent to the isomorphism  $r_x \simeq r_{\pi, \iota}$  (both sides are irreducible since  $r_x$  is a lift of  $\bar{r}$ ). In (c)  $\delta_B$  denotes the modulus character of  $B$ ; the reason we include it in condition (c) will become apparent in the proof of Prop. 4.2 below.

**Lemma 3.3.** *There is at most one automorphic  $\pi$  satisfying (a)–(c) above; and  $m_G(\pi) = 1$ .*

*Proof.* Let  $\Pi = \text{BC}_{F/F^+}(\pi)$  be a (strong) base change of  $\pi$  to  $\text{GL}_n(\mathbb{A}_F)$ , where we view  $\pi$  as a representation of  $U(\mathbb{A}_{F^+}) = G(\mathbb{A})$ . For its existence see [Lab11, 5.3]. Note that  $\Pi$  is cuspidal since  $r_{\pi, \iota}$  is irreducible. In particular  $\Pi$  is globally generic, hence locally generic. By local-global compatibility, cf. [BGGT1], [BGGT2], and [Car14] for places  $w|p$ ; [TY07] and [Shi11] for places  $w \nmid p$ ,

$$\iota\text{WD}(r_{\pi, \iota}|_{\text{Gal}_{F_w}})^{F-ss} \simeq \text{rec}(\Pi_w \otimes |\det|^{(1-n)/2})$$

for *all* finite places  $w$  of  $F$ , with the local Langlands correspondence  $\text{rec}(\cdot)$  normalized as in [HT01]. This shows that  $\Pi_w$  is completely determined by  $r_x$  at all finite places  $w$ . Moreover, we have  $\Pi_w = \text{BC}_{w|v}(\pi_v)$  whenever the local base change on the right is defined, i.e. when either  $v$  splits or  $\pi_v$  is unramified. Our assumption that  $\Sigma$  consists of split places guarantees that  $\text{BC}_{w|v}(\pi_v)$  makes sense locally everywhere. Furthermore, unramified local base change is injective according to [Min11, Cor. 4.2]. We conclude that  $\pi_f$  is determined by  $r_x$ , and  $\pi_\infty \simeq V \otimes_{E, \iota} \mathbb{C}$ . Thus  $\pi$  is unique. Multiplicity one was noted earlier at the end of section 2.2 above, cf. Remark 2.3.  $\square$

#### 4. THE CASE OF CLASSICAL POINTS OF NON-CRITICAL SLOPE

Each point  $x \in X_{\bar{r}}$  carries a Galois representation  $r_x : \text{Gal}_F \rightarrow \text{GL}_n(\kappa(x))$  which we restrict to the various decomposition groups  $\text{Gal}_{F_{\bar{v}}}$  for  $v \in \Sigma$ . When  $v \in \Sigma_0$  there is a corresponding Weil-Deligne representation, cf. section (4.2) in [Tat79], and we let  $\pi_{x, v}$  be the representation of  $U(F_v^+)$  (over  $\kappa(x)$ ) such that

$$(4.1) \quad \text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})^{F-ss} \simeq \text{rec}(\text{BC}_{\bar{v}|v}(\pi_{x, v}) \otimes |\det|^{(1-n)/2})$$

Note that the local base change  $\text{BC}_{\bar{v}|v}(\pi_{x, v})$  is just  $\pi_{x, v}$  thought of as a representation of  $\text{GL}_n(F_{\bar{v}})$  via the isomorphism  $i_{\bar{v}} : U(F_v^+) \xrightarrow{\sim} \text{GL}_n(F_{\bar{v}})$ . We emphasize that  $\pi_{x, v}$  is defined even for *non*-classical points on the eigenvariety. If  $y = (x, \delta)$  happens to be classical,  $\pi_{x, v} \otimes_{E, \iota} \mathbb{C} \simeq \pi_v$  where  $\pi$  is the automorphic representation in Lemma 3.3. Below we relate  $\otimes_{v \in \Sigma_0} \pi_{x, v}$  to the fiber  $\mathcal{M}'_y$ .

**Proposition 4.2.** *Let  $y = (x, \delta) \in Y(K^p, \bar{r})(E)$  be a classical point. Then there exists an embedding of  $\mathcal{H}(K_{\Sigma_0})$ -modules  $\otimes_{v \in \Sigma_0} \pi_{x, v}^{K_v} \hookrightarrow \mathcal{M}'_y$  which is an isomorphism if  $\delta$  is of non-critical slope, cf. [Em06b, Def. 4.4.3] (which is summarized below).*

*Proof.* According to (0.14) in [Em06b] there is a closed embedding

$$J_B((\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}})^{V-\text{alg}}) \hookrightarrow J_B(\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}})^{V^N-\text{alg}}.$$

Note that  $V^N \simeq \delta_{\text{alg}}$  so after passing to  $\delta$ -eigenspaces we get a closed embedding

$$(4.3) \quad J_B^\delta((\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}})^{V-\text{alg}}) \hookrightarrow J_B^\delta(\hat{S}(K^p, E)_{\mathfrak{m}}[\mathfrak{p}_x]^{\text{an}}).$$

The target is exactly  $\mathcal{M}'_y$  by (3.2). On the other hand

$$(\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]^{\text{an}}})^{V\text{-alg}} \simeq \bigoplus_{\pi} (V \otimes_E \pi_p) \otimes_E (\pi_f^p)^{K^p}$$

with  $\pi$  running over automorphic representations of  $G(\mathbb{A})$  over  $E$  with  $\pi_{\infty} \simeq V$  and such that  $\theta_{r_x}$  gives the action of  $\mathcal{H}_s(K^{\Sigma})$  on  $(\pi_f^p)^{K^p}$ . As noted in Lemma 3.3 there is precisely one such  $\pi$  which we will denote by  $\pi_x$  throughout this proof (consistent with the notation  $\pi_{x,v}$  introduced above). Note that  $\otimes_{v \notin \Sigma} \pi_{x,v}^{K_v}$  is a line so

$$(\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]^{\text{an}}})^{V\text{-alg}} \simeq (V \otimes_E \pi_{x,p}) \otimes_E \left( \bigotimes_{v \in \Sigma_0} \pi_{x,v}^{K_v} \right).$$

Since  $J_B$  is compatible with the classical Jacquet functor, cf. [Em06b, Prop. 4.3.6], we identify the source of (4.3) with

$$(V^N \otimes_E (\pi_{x,p})_N)^{T=\delta} \otimes_E \left( \bigotimes_{v \in \Sigma_0} \pi_{x,v}^{K_v} \right).$$

Now  $V^N \simeq \delta_{\text{alg}}$  is one-dimensional, and so is  $(\pi_{x,p})_N^{T=\delta_{\text{sm}}}$ . Indeed, by Bernstein second adjointness,

$$(\pi_{x,p})_N^{T=\delta_{\text{sm}}} \simeq \text{Hom}_G(\text{Ind}_B^G(\delta_{\text{sm}} \delta_B^{-1}), \pi_{x,p}).$$

The right-hand side is nonzero by condition (c) above, and in fact it is a line since  $\text{Ind}_B^G(\delta_{\text{sm}} \delta_B^{-1})$  has a unique generic constituent (namely  $\pi_{x,p}$ , cf. the proof of Lemma 3.3) which occurs with multiplicity one; this follows from the theory of derivatives [BZ77, Ch. 4]. From this observation we immediately infer that  $\text{Hom}_G(\tilde{\pi}_{x,p}, \text{Ind}_B^G(\delta_{\text{sm}}^{-1} \delta_B))$  is one-dimensional. To summarize, (4.3) is an embedding  $\bigotimes_{v \in \Sigma_0} \pi_{x,v}^{K_v} \hookrightarrow \mathcal{M}'_y$ . Finally, since  $\hat{S}(K^p, E)_{\mathfrak{m}[\mathfrak{p}_x]^{\text{an}}}$  clearly admits a  $G$ -invariant norm (the sup norm), Theorem 4.4.5 in [Em06b] tells us that (4.3) is an isomorphism if  $\delta$  is of non-critical slope.  $\square$

To aid the reader we briefly recall the notion of non-critical slope: To each  $\delta \in \hat{T}(E)$  we assign the element  $\text{slp}(\delta) \in X^*(T \times_{\mathbb{Q}} E)$  defined as follows, cf. [Em06b, Def. 1.4.2]. First note that there is a natural surjection  $T(E) \rightarrow X_*(T \times_{\mathbb{Q}} E)$ ; the cocharacter  $\mu_t \in X_*(T \times_{\mathbb{Q}} E)$  associated with  $t \in T(E)$  is given by  $\langle \chi, \mu_t \rangle = \text{ord}_E \chi(t)$  for all algebraic characters  $\chi$  (here  $\text{ord}_E$  is the valuation on  $E$  normalized such that  $\text{ord}_E(\varpi_E) = 1$ ). Then the slope of  $\delta$  is the algebraic character  $\text{slp}(\delta)$  satisfying  $\langle \text{slp}(\delta), \mu_t \rangle = \text{ord}_E \delta(t)$  for all  $t \in T$ .

**Definition 4.4.** Let  $\varrho = \frac{1}{2} \sum_{\alpha > 0} \alpha$ . We say that  $\delta = \delta_{\text{alg}} \delta_{\text{sm}}$  is of non-critical slope if there is *no* simple root  $\alpha$  for which the element  $s_{\alpha}(\delta_{\text{alg}} + \varrho) + \text{slp}(\delta_{\text{sm}}) + \varrho$  lies in the  $\mathbb{Q}_{\geq 0}$ -cone generated by all simple roots.

## 5. INTERPOLATION OF THE WEIL-DELIGNE REPRESENTATIONS

Our goal in this section is to interpolate the Weil-Deligne representations  $\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})$  across deformation space  $X_{\bar{r}}$ , for a fixed  $v \in \Sigma_0$ . More precisely, for any affinoid subvariety  $\text{Sp}(A) \subset X_{\bar{r}}$  we will define a rank  $n$  Weil-Deligne representation  $\text{WD}_{\bar{r}, \bar{v}}$  over  $A$  such that

$$(5.1) \quad \text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}}) \simeq \text{WD}_{\bar{r}, \bar{v}} \otimes_{A,x} \kappa(x)$$

for all points  $x \in \text{Sp}(A)$ . The usual proof of Grothendieck's monodromy theorem (cf. [Tat79, Cor. 4.2.2]) adapts easily to this setting, and this has already been observed by other authors. See for example [BC09, 7.8.3–7.8.14], [Pau11, 5.2], and [EH14, 4.1.6]. To make our article more self-contained (and to point out the 'usual' assumption that  $A$  is reduced is unnecessary) we give the details for the convenience of the reader.

**Proposition 5.2.** *Let  $w$  be a place of  $F$  not dividing  $p$ , and let  $A$  be an affinoid  $E$ -algebra. For any continuous representation  $\rho : \text{Gal}_{F_w} \rightarrow \text{GL}_n(A)$  there is a unique nilpotent  $N \in M_n(A)$  such that the equality  $\rho(\gamma) = \exp(t_p(\gamma)N)$  holds for all  $\gamma$  in an open subgroup  $J \subset I_{F_w}$ . (Here  $t_p : I_{F_w} \rightarrow \mathbb{Z}_p$  is a choice of homomorphism as in section (4.2) of [Tat79].)*

*Proof.* Choose a submultiplicative norm  $\|\cdot\|$  on  $A$  relative to which  $A$  is complete (if  $A$  is reduced one can take the spectral norm, cf. [BGR84, 6.2.4]). Let  $A^\circ$  be the (closed) unit ball. Then  $I + p^i M_n(A^\circ)$  is an open (normal) subgroup of  $\text{GL}_n(A^\circ)$  for  $i > 0$ , so its inverse image  $\rho^{-1}(I + p^i M_n(A^\circ)) = \text{Gal}_{F_i}$  for some finite extension  $F_i$  of  $F_w$ . Note that  $F_{i+1}/F_i$  is a Galois extension whose Galois group is killed by  $p$ . Let us fix an  $i > 0$  and work with the restriction  $\rho|_{\text{Gal}_{F_i}}$ . Recall that wild inertia  $P_{F_i} \subset I_{F_i}$  is the Sylow pro- $\ell$  subgroup where  $w|\ell$ . Since  $\ell \neq p$  we deduce that  $P_{F_i} \subset \text{Gal}_{F_j}$  for all  $j \geq i$ . That is  $\rho$  factors through the tame quotient  $I_{F_i}/P_{F_i} \simeq \prod_{q \neq \ell} \mathbb{Z}_q$ . For the same reason  $\rho$  factors further through  $t_p : I_{F_i} \rightarrow \mathbb{Z}_p$ . Therefore we find an element  $\alpha \in I + p^i M_n(A^\circ)$  (the image of  $1 \in \mathbb{Z}_p$  under  $\rho$ ) such that  $\rho(\gamma) = \alpha^{t_p(\gamma)}$  for all  $\gamma \in I_{F_i}$ . We let  $N := \log(\alpha)$ . If we choose  $i$  large enough ( $i > 1$  suffices, cf. the discussion in [Sch11, p. 220]) all power series converge and we arrive at  $\rho(\gamma) = \exp(t_p(\gamma)N)$  for  $\gamma \in I_{F_i}$ . We conclude that we may take  $J := I_{F_2}$ . (The uniqueness of  $N$  follows by taking log on both sides.)

To see that  $N$  is nilpotent note the standard relation  $\rho(w)N\rho(w)^{-1} = \|w\|N$  for  $w \in W_{F_i}$ . If we take  $w$  to be a (geometric) Frobenius this shows that all specializations of  $N^n$  at points  $x \in \text{Sp}(A)$  are 0 (by considering the eigenvalues in  $\overline{\kappa(x)}$  as usual). Thus all matrix entries of  $N^n$  are nilpotent (by the maximum modulus principle [BGR84, 6.2.1]). Therefore  $N$  itself is nilpotent since  $A$  is Noetherian.  $\square$

If we choose a geometric Frobenius  $\Phi$  from  $W_{F_w}$  (keeping the notation of the previous Proposition) we can thus define a Weil-Deligne representation  $(\tilde{\rho}, N)$  on  $A^n$  by the usual formula ([Tat79, 4.2.1]):

$$\rho(\Phi^s \gamma) = \tilde{\rho}(\Phi^s \gamma) \exp(t_p(\gamma)N)$$

where  $s \in \mathbb{Z}$  and  $\gamma \in I_{F_w}$ . With this definition  $\tilde{\rho} : W_{F_w} \rightarrow \text{GL}_n(A)$  is a representation which is trivial on the open subgroup  $J \subset W_{F_w}$  (so continuous for the discrete topology on  $A$ ).

As already hinted at above we apply this construction to  $r^{univ}|_{\text{Gal}_{F_{\bar{v}}}}$  for a fixed place  $v \in \Sigma_0$ , and an affinoid  $\text{Sp}(A) \subset X_{\bar{r}}$ . We view the universal deformation  $r^{univ} : \text{Gal}_F \rightarrow \text{GL}_n(R_{\bar{r}})$  as a representation on  $A^n$  by composing with  $R_{\bar{r}} \rightarrow \mathcal{O}(X_{\bar{r}}) \rightarrow A$ . This gives a Weil-Deligne representation  $\text{WD}_{\bar{r}, \bar{v}}$  over  $A$  with the interpolative property (5.1).

## 6. THE LOCAL LANGLANDS CORRESPONDENCE FOR $\text{GL}_n$ AFTER SCHOLZE

Scholze gave a new purely local characterization of the local Langlands correspondence in [Sc13b]. His trace identity (cf. Theorem 1.2 in loc. cit.) takes the following form. Let  $\Pi$  be an irreducible smooth representation of  $\text{GL}_n(F_w)$ , where  $w$  is an arbitrary finite place of  $F$ . Suppose we are given  $\tau = \Phi^s \gamma$  with  $\gamma \in I_{F_w}$  and  $s \in \mathbb{Z}_{>0}$ , together with a  $\mathbb{Q}$ -valued 'cut-off' function  $h \in \mathcal{C}_c^\infty(\text{GL}_n(\mathcal{O}_{F_w}))$ . First Scholze associates a  $\mathbb{Q}$ -valued function  $\phi_{\tau, h} \in \mathcal{C}_c^\infty(\text{GL}_n(F_{w, s}))$ , where  $F_{w, s}$  denotes the unramified degree  $s$  extension of  $F_w$ . The function  $\phi_{\tau, h}$  is defined by taking the trace of  $\tau \times h^\vee$  on (alternating sums of) certain formal nearby cycle sheaves à la Berkovich on deformation spaces of  $\varpi$ -divisible  $\mathcal{O}_{F_w}$ -modules; and  $h^\vee(g) = h({}^t g^{-1})$ . See the discussion leading up to [Sc13b, Thm. 2.6] for more details. Next one selects a function  $f_{\tau, h} \in \mathcal{C}_c^\infty(\text{GL}_n(F_w))$  which is *associated* with  $\phi_{\tau, h}$  in the sense that their (twisted) orbital integrals match. More precisely, with suitable normalizations one has the identity  $TO_\delta(\phi_{\tau, h}) = O_\gamma(f_{\tau, h})$

for regular  $\gamma = \mathcal{N}\delta$ , cf. [Clo87, Thm. 2.1]. With our normalization of  $\text{rec}(\cdot)$  Scholze's trace identity reads

$$\text{tr}(f_{\tau,h}|\Pi) = \text{tr}(\tau|\text{rec}(\Pi \otimes |\det|^{(1-n)/2})) \cdot \text{tr}(h|\Pi).$$

We will make use of a variant of  $f_{\tau,h}$  which lives in the Bernstein center of  $\text{GL}_n(F_w)$ . We refer to section 3 of [Hai11] for a succinct review of the basic properties and different characterizations of the Bernstein center. This variant  $f_{\tau}$  has the property that  $\text{tr}(f_{\tau,h}|\Pi) = \text{tr}(f_{\tau} * h|\Pi)$  and is defined for all  $\tau \in W_{F_w}$  by decreeing that  $f_{\tau}$  acts on any irreducible smooth representation  $\Pi$  via scaling by

$$f_{\tau}(\Pi) = \text{tr}(\tau|\text{rec}(\Pi \otimes |\det|^{(1-n)/2})).$$

For the existence of  $f_{\tau}$  see the proofs of [Sc13b, Lem. 3.2], [Sc13a, Lem. 6.1], and/or [Sc11, Lem. 9.1].

We apply this construction to each of the places  $\tilde{v}$  with  $v \in \Sigma_0$ . Now  $\tau = (\tau_{\tilde{v}})$  denotes a tuple of Weil elements  $\tau_{\tilde{v}} \in W_{F_{\tilde{v}}}$ . Via our isomorphisms  $i_{\tilde{v}}$  we view  $f_{\tau_{\tilde{v}}}$  as an element of the Bernstein center of  $U(F_v^+)$ , and consider  $f_{\tau} := \otimes_{v \in \Sigma_0} f_{\tau_{\tilde{v}}}$ .

**Lemma 6.1.** *Let  $x \in X_{\bar{r}}$  be arbitrary. Then  $f_{\tau}$  acts on  $\otimes_{v \in \Sigma_0} \pi_{x,v}$  via scaling by*

$$f_{\tau}(\otimes_{v \in \Sigma_0} \pi_{x,v}) = \prod_{v \in \Sigma_0} \text{tr}(\tau_{\tilde{v}} | \text{WD}(r_x | \text{Gal}_{F_{\tilde{v}}})) .$$

*Proof.* If  $\{\pi_v\}_{v \in \Sigma_0}$  is a family of irreducible smooth representations,  $f_{\tau}$  acts on  $\otimes_{v \in \Sigma_0} \pi_v$  via scaling by

$$f_{\tau}(\otimes_{v \in \Sigma_0} \pi_v) = \prod_{v \in \Sigma_0} \text{tr}(\tau_{\tilde{v}} | \text{rec}(\text{BC}_{\tilde{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2})).$$

Now use the defining property (4.1) of the representations  $\pi_{x,v}$  attached to the point  $x$ . □

## 7. INTERPOLATION OF TRACES

Let  $\mathfrak{Z}(U(F_v^+))$  denote the Bernstein center of  $U(F_v^+)$ , and let  $\mathcal{Z}(U(F_v^+), K_v)$  be the center of the Hecke algebra  $\mathcal{H}(U(F_v^+), K_v)$ . There is a canonical homomorphism  $\mathfrak{Z}(U(F_v^+)) \rightarrow \mathcal{Z}(U(F_v^+), K_v)$  obtained by letting the Bernstein center act on  $\mathcal{C}_c^\infty(K_v \backslash U(F_v^+))$ , cf. [Hai11, 3.2]. We let  $f_{\tau_{\tilde{v}}}^{K_v}$  be the image of  $f_{\tau_{\tilde{v}}}$  under this map, and consider  $f_{\tau}^{K_{\Sigma_0}} := \otimes_{v \in \Sigma_0} f_{\tau_{\tilde{v}}}^{K_v}$  belonging to  $\mathcal{Z}(K_{\Sigma_0}) := \otimes_{v \in \Sigma_0} \mathcal{Z}(U(F_v^+), K_v)$  which is the center of  $\mathcal{H}(K_{\Sigma_0})$ . In particular this operator  $f_{\tau}^{K_{\Sigma_0}}$  acts on the sheaf  $\mathcal{M}$  and its fibers  $\mathcal{M}_y$ .

If  $y = (x, \delta) \in Y(K^p, \bar{r})(E)$  is a classical point of non-critical slope, and we combine Proposition 4.2 and Lemma 6.1, we deduce that  $f_{\tau}^{K_{\Sigma_0}}$  acts on  $\mathcal{M}'_y \simeq \otimes_{v \in \Sigma_0} \pi_{x,v}^{K_v}$  via scaling by

$$\prod_{v \in \Sigma_0} \text{tr}(\tau_{\tilde{v}} | \text{WD}(r_x | \text{Gal}_{F_{\tilde{v}}})) .$$

The goal of this section is to extrapolate this property to *all* points  $y$ . As a first observation we note that the above factor can be interpolated across deformation space  $X_{\bar{r}}$ . Indeed, let  $\text{Sp}(A) \subset X_{\bar{r}}$  be an affinoid subvariety and let  $\text{WD}_{\bar{r}, \tilde{v}}$  be the Weil-Deligne representation on  $A^n$  constructed after Proposition 5.2.

**Lemma 7.1.** *For each tuple  $\tau = (\tau_{\tilde{v}}) \in \prod_{v \in \Sigma_0} W_{F_{\tilde{v}}}$  the element  $a_{\tau} := \prod_{v \in \Sigma_0} \text{tr}(\tau_{\tilde{v}} | \text{WD}_{\bar{r}, \tilde{v}}) \in A$  satisfies the following interpolative property: For every point  $x \in \text{Sp}(A)$  the function  $a_{\tau}$  specializes to*

$$a_{\tau}(x) = \prod_{v \in \Sigma_0} \text{tr}(\tau_{\tilde{v}} | \text{WD}(r_x | \text{Gal}_{F_{\tilde{v}}})) \in \kappa(x).$$

*Proof.* This is clear from the interpolative property of  $\text{WD}_{\bar{r}, \tilde{v}}$  by taking traces in (5.1). □

Our main result in this section (Proposition 7.8 below) shows that  $a_\tau$  extends naturally to a function defined on the whole eigenvariety  $Y(K^p, \bar{r})$  in such a way that  $f_\tau^{K^{\Sigma_0}} : \mathcal{M} \rightarrow \mathcal{M}$  is multiplication by  $a_\tau$ .

First we need to recall a couple of well-known facts from rigid analytic geometry.

**Lemma 7.2.** *Let  $X$  be an irreducible rigid analytic space (over some unspecified non-archimedean field) and let  $Y \subset X$  be a non-empty Zariski open subset (cf. [BGR84, Def. 9.5.2/1]). Then  $Y$  is irreducible.*

*Proof.* Let  $\tilde{X} \rightarrow X$  be the (irreducible) normalization of  $X$ . The pullback of  $Y$  to  $\tilde{X}$  is a normalization  $\tilde{Y} \rightarrow Y$  and it suffices to show that the Zariski open subset  $\tilde{Y} \subset \tilde{X}$  is connected (cf. [Con99, Def. 2.2.2]). Suppose  $\tilde{Y} = U \amalg V$  is an admissible covering with  $U, V$  proper admissible open subsets of  $\tilde{Y}$ . By Bartenwerfer's Hebbarkeitssatz [Bar76, p. 159] the idempotent function on  $\tilde{Y}$  which is 1 on  $U$  and 0 on  $V$  extends to an analytic function on  $\tilde{X}$ , which is necessarily a non-trivial idempotent by the uniqueness in Bartenwerfer's Theorem "Riemann I". This contradicts the irreducibility of  $\tilde{X}$  (by [Con99, Lem. 2.2.3]), so  $\tilde{Y}$  must be connected.  $\square$

**Definition 7.3.** A Zariski dense subset  $Z$  of a rigid space  $X$  is called *very Zariski dense* (or *Zariski dense and accumulation*, see [Che11, Prop. 2.6]) if for  $z \in Z$  and an affinoid open neighbourhood  $z \in U \subset X$ , there is an affinoid open neighbourhood  $z \in V \subset U$  such that  $Z \cap V$  is Zariski dense in  $V$ .

**Lemma 7.4.** *Let  $X$  be a rigid space and let  $Z \subset X$  be a very Zariski dense subset. Let  $Y \subset X$  be a Zariski open subset which is Zariski dense. Then  $Y \cap Z$  is very Zariski dense in  $Y$ .*

*Proof.* We first note that it suffices to prove that  $Y \cap Z$  is Zariski dense in  $Y$ . Very Zariski density then follows immediately from very Zariski density of  $Z$  in  $X$ . We show that  $Z$  is Zariski dense in every irreducible component of  $Y$ . By [Con99, Cor. 2.2.9] these irreducible components are given by the subsets  $Y \cap C$  where  $C$  is an irreducible component of  $X$ . Denote by  $C^\circ$  the Zariski open subset of  $X$  given by removing the intersections with all other irreducible components from  $C$ . Then  $Y \cap C^\circ$  is irreducible by Lemma 7.2 and meets  $Z$  since it is Zariski open in  $X$ . It follows from very Zariski density of  $Z$  in  $X$  that  $Z$  is Zariski dense in  $Y \cap C^\circ$ . We deduce that  $Z$  is Zariski dense in  $Y \cap C$ , as desired.  $\square$

In order to deal with the *non-étale* points below, the following generic freeness lemma will be crucial.

**Lemma 7.5.** *Let  $X$  be a reduced rigid space and let  $\mathcal{M}$  be a coherent  $\mathcal{O}_X$ -module. Then there is a Zariski open and dense subset  $X_{\mathcal{M}} \subset X$  over which  $\mathcal{M}$  is locally free.*

*Proof.* We follow an argument from the proof of [Han17, Thm. 5.1.2]: The regular locus  $X^{reg}$  of  $X$  is Zariski open and dense, by the excellence of affinoid algebras. If  $U \subset X$  is an affinoid open  $\mathcal{M}$  is locally free at a regular point  $x \in U$  if and only if  $x$  is not in the support of  $\bigoplus_{i=1}^{\dim U} \text{Ext}_{\mathcal{O}(U)}^i(\mathcal{M}(U), \mathcal{O}(U))$ . This shows that  $\mathcal{M}$  is locally free over a Zariski open subset  $X_{\mathcal{M}}$  which is the intersection of  $X^{reg}$  and another Zariski open subset of  $X$  – the complement of the support. Namely, if  $U \subset X^{reg}$  is a connected affinoid open (so  $\mathcal{O}(U)$  is a regular domain) then the support of  $\bigoplus_{i=1}^{\dim U} \text{Ext}_{\mathcal{O}(U)}^i(\mathcal{M}(U), \mathcal{O}(U))$  in  $\text{Spec}(\mathcal{O}(U))$  has dimension  $< \dim(U)$ , by [BrH93, Cor. 3.5.11(c)] and therefore its complement is dense. We deduce that  $X_{\mathcal{M}}$  is dense in  $X$ .  $\square$

The following observation lies at the heart of our interpolation argument.

**Lemma 7.6.** *Let  $w : X \rightarrow W$  be a map of reduced equidimensional rigid spaces and let  $\mathcal{M}$  be a coherent  $\mathcal{O}_X$ -module. We assume that  $X$  admits a covering by affinoid opens  $V$  such that*

- (1)  $w(V) \subset W$  is affinoid open,
- (2) The restriction  $w|_V : V \rightarrow w(V)$  is finite,
- (3)  $\mathcal{M}(V)$  is a finite projective  $\mathcal{O}(w(V))$ -module.

Let  $Z \subset X$  be a very Zariski dense subset, and suppose  $\phi \in \text{End}_{\mathcal{O}_X}(\mathcal{M})$  induces the zero map  $\phi_z = 0$  on the fibers  $\mathcal{M}_z = \mathcal{M} \otimes_{\mathcal{O}_X} \kappa(z)$  for all  $z \in Z$ . Then  $\phi = 0$ .

*Proof.* First we restrict to the Zariski open and dense set  $X_{\mathcal{M}}$  from Lemma 7.5. Since  $\mathcal{M}$  is locally free over  $X_{\mathcal{M}}$ , the locus in  $X_{\mathcal{M}}$  where  $\phi$  vanishes is a Zariski closed subset. By Lemma 7.4, this locus also contains a Zariski dense set of points (namely  $Z \cap X_{\mathcal{M}}$ ) so we infer that  $\phi|_{X_{\mathcal{M}}} = 0$ .

Now we let  $V \subset X$  be an affinoid open forming part of the cover described in the statement. Let  $w(V)_0 \subset w(V)$  be the (Zariski open and dense – since  $W$  is reduced) locus where the map  $V \rightarrow w(V)$  is finite étale.

Since  $X \setminus X_{\mathcal{M}} \subset X$  is a Zariski closed subset of dimension  $< \dim X$ , the set  $W_1 := w(V \cap (X \setminus X_{\mathcal{M}}))$  is a Zariski closed subset of  $w(V)$  with dimension  $< \dim X = \dim W$ . So  $w(V) \setminus W_1$  is Zariski open and dense in  $w(V)$ .

We deduce that  $w(V)_0 \cap (w(V) \setminus W_1)$  is a Zariski dense subset of  $w(V)$ . Moreover,  $\phi$  induces the zero map on the fibers  $\mathcal{M}(V) \otimes_{\mathcal{O}(w(V))} \kappa(y)$  for all  $y$  in this dense intersection: Use that  $w|_V$  is étale at  $y$ , so if  $x_1, \dots, x_r$  are the preimages of  $y$  in  $V$ , then

$$\mathcal{M}(V) \otimes_{\mathcal{O}(w(V))} \kappa(y) \simeq \bigoplus_{i=1}^r \mathcal{M}(V) \otimes_{\mathcal{O}(V)} \kappa(x_i)$$

and we know that  $\phi$  acts as zero on each  $\mathcal{M}(V) \otimes_{\mathcal{O}(V)} \kappa(x_i)$  since  $x_i \in X_{\mathcal{M}}$  (otherwise  $y = w(x_i) \in W_1$ ), as observed in the first paragraph of the proof. We conclude that  $\phi = 0$  on  $\mathcal{M}(V)$ : Indeed  $\mathcal{M}(V)$  is a finite projective  $\mathcal{O}(w(V))$ -module so the points  $y \in w(V)$  where  $\phi$  vanishes on the fiber form a Zariski closed subset which contains  $w(V)_0 \cap (w(V) \setminus W_1)$ . Since  $W$  is reduced  $\phi_{\mathcal{M}(V)} = 0$ . Since  $V$  was arbitrary, we must have  $\phi = 0$  on  $\mathcal{M}$  as desired.  $\square$

We now return to the notation of section 3. We have defined the eigenvariety  $Y(K^p, \bar{r})$  to be the (scheme-theoretic) support of the coherent sheaf  $\mathcal{M}$  over  $X_{\bar{r}} \times \hat{T}$ . It comes equipped with a natural weight morphism  $\omega : Y(K^p, \bar{r}) \rightarrow \mathcal{W}$  defined as the composition of maps

$$Y(K^p, \bar{r}) \hookrightarrow X_{\bar{r}} \times \hat{T} \xrightarrow{\text{pr}} \hat{T} \xrightarrow{\text{can}} \mathcal{W}.$$

The following Proposition summarises some important facts about  $Y(K^p, \bar{r})$  and  $\omega$ .

**Lemma 7.7.** *The eigenvariety  $Y(K^p, \bar{r})$  satisfies the following properties.*

- (1)  $Y(K^p, \bar{r})$  has an admissible cover by open affinoids  $(U_i)_{i \in I}$  such that for all  $i$  there exists an open affinoid  $W_i \subset \mathcal{W}$  which fulfills (a) and (b) below;
  - (a) The weight morphism  $\omega : Y(K^p, \bar{r}) \rightarrow \mathcal{W}$  induces, upon restriction to each irreducible component  $C \subset U_i$ , a finite surjective map  $C \rightarrow W_i$ .
  - (b) Each  $\mathcal{O}(U_i)$  is isomorphic to an  $\mathcal{O}(W_i)$ -subalgebra of  $\text{End}_{\mathcal{O}(W_i)}(P_i)$  for some finite projective  $\mathcal{O}(W_i)$ -module  $P_i$ .
- (2) The classical points of non-critical slope are very Zariski dense in  $Y(K^p, \bar{r})$ .
- (3)  $Y(K^p, \bar{r})$  is reduced.

*Proof.* These can be proved in a similar way to the analogous statements in [BHS17]. More precisely, we refer to Prop. 3.11, Thm. 3.19 and Cor. 3.20 of that paper. (Note that in the proof of Cor. 3.20 we can, in our setting, replace the reference to [CEG<sup>+</sup>16] with the well-known assertion that the Hecke operators at good places act semisimply on spaces of cuspidal automorphic forms.)  $\square$

Since  $Y(K^p, \bar{r})$  projects to  $X_{\bar{r}}$ , its ring of functions  $\mathcal{O}(Y(K^p, \bar{r}))$  becomes an  $R_{\bar{r}}$ -algebra via the natural map  $R_{\bar{r}} \rightarrow \mathcal{O}^0(X_{\bar{r}})$ . Pushing forward the universal deformation of  $\bar{r}$  (with a fixed choice of basis) then yields a continuous representation

$$r : \text{Gal}_F \rightarrow \text{GL}_n(\mathcal{O}(Y(K^p, \bar{r}))).$$

In particular, for every open affinoid  $U \subset Y(K^p, \bar{r})$  we may specialize  $r$  further and arrive at a continuous representation  $r : \text{Gal}_F \rightarrow \text{GL}_n(\mathcal{O}(U))$ . We may in fact take  $\mathcal{O}^0(U)$  here (the functions bounded by one), but we will not need that.

It follows from Proposition 5.2 that for  $v \in \Sigma_0$ , an open affinoid  $U \subset Y(K^p, \bar{r})$ , and a fixed choice of lift of geometric Frobenius  $\Phi = \Phi_{\bar{v}}$  in  $W_{F_{\bar{v}}}$ , we obtain a Weil–Deligne representation  $\text{WD}_{\bar{r}, \bar{v}}(U)$  over  $\mathcal{O}(U)$ . Moreover, this construction is obviously compatible as we vary  $U$  in the sense that if  $U' \subset U$ , then  $\text{WD}_{\bar{r}, \bar{v}}(U)$  pulls back to  $\text{WD}_{\bar{r}, \bar{v}}(U')$  over  $U'$  (by the uniqueness in Proposition 5.2). To be precise, there is a natural isomorphism of Weil–Deligne representations over  $\mathcal{O}(U')$ ,

$$\text{WD}_{\bar{r}, \bar{v}}(U') \simeq \text{WD}_{\bar{r}, \bar{v}}(U) \otimes_{\mathcal{O}(U)} \mathcal{O}(U').$$

Now, for a tuple of Weil elements  $\tau = (\tau_{\bar{v}}) \in \prod_{v \in \Sigma_0} W_{F_{\bar{v}}}$  we obtain functions

$$a_{\tau, U} := \prod_{v \in \Sigma_0} \text{tr}(\tau_{\bar{v}} | \text{WD}_{\bar{r}, \bar{v}}(U)) \in \mathcal{O}(U)$$

as defined above in Lemma 7.1. By the compatibility just mentioned,  $a_{\tau, U'} = \text{res}_{U, U'}(a_{\tau, U})$  when  $U' \subset U$ . It follows that we may glue the  $a_{\tau, U}$  and get a function  $a_{\tau} = a_{\tau, Y(K^p, \bar{r})}$  on the whole eigenvariety  $Y(K^p, \bar{r})$  with the interpolation property in Lemma 7.1.

**Proposition 7.8.** *The operator  $f_{\tau}^{K^{\Sigma_0}}$  acts on  $\mathcal{M}$  via scaling by  $a_{\tau}$ , for every  $\tau \in \prod_{v \in \Sigma_0} W_{F_{\bar{v}}}$ .*

*Proof.* We must show the endomorphism  $\phi := f_{\tau}^{K^{\Sigma_0}} - a_{\tau}$  of  $\mathcal{M}$  equals zero. By the discussion at the beginning of this section (just prior to 7.1) we know  $\phi$  induces the zero map on the fibres of  $\mathcal{M}$  at classical points of non-critical slope. We are now done by Lemma 7.6 (together with Lemma 7.7).  $\square$

By specialization at any point  $y = (x, \delta) \in Y(K^p, \bar{r})$  we immediately find that  $f_{\tau}^{K^{\Sigma_0}}$  acts on the fiber  $\mathcal{M}_y$  (and hence its dual  $\mathcal{M}'_y$ ) via scaling by  $a_{\tau}(x)$ . We summarize this below.

**Corollary 7.9.** *Let  $y \in Y(K^p, \bar{r})$  be an arbitrary point. Then  $f_{\tau}^{K^{\Sigma_0}}$  acts on  $\mathcal{M}'_y$  via scaling by*

$$\prod_{v \in \Sigma_0} \text{tr}(\tau_{\bar{v}} | \text{WD}(r_x |_{\text{Gal}_{F_{\bar{v}}}})).$$

*Proof.* This is an immediate consequence of Proposition 7.8.  $\square$

## 8. INTERPOLATION OF CENTRAL CHARACTERS

In this section we will reuse parts of the argument from the previous section 7 to interpolate the central characters  $\omega_{\pi_{x, v}}$  across the eigenvariety. We include it here mostly for future reference. It will only be used in this paper in the very last paragraph of Remark 9.6 below.

For  $v \in \Sigma_0$  we let  $Z(U(F_v^+))$  be the center of  $U(F_v^+)$  (recall that its *Bernstein center* is denoted by  $\mathfrak{Z}$ ). There is a natural homomorphism  $Z(U(F_v^+)) \rightarrow \mathcal{Z}(U(F_v^+), K_v)^\times$  which takes  $\xi_v$  to the double coset operator  $[K_v \xi_v K_v]$ . Taking the product over  $v \in \Sigma_0$  we get an analogous map  $Z(U(F_{\Sigma_0}^+)) \rightarrow \mathcal{Z}(K_{\Sigma_0})^\times$  which we will denote  $\xi = (\xi_v)_{v \in \Sigma_0} \mapsto h_\xi^{K_{\Sigma_0}} = \otimes_{v \in \Sigma_0} [K_v \xi_v K_v]$ . Thus  $h_\xi^{K_{\Sigma_0}}$  operates on  $\mathcal{M}$  and its fibers.

If  $y = (x, \delta) \in Y(K^p, \bar{r})(E)$  is a classical point of non-critical slope the action of  $h_\xi^{K_{\Sigma_0}}$  on  $\mathcal{M}'_y \simeq \otimes_{v \in \Sigma_0} \pi_{x,v}^{K_v}$  is clearly just multiplication by  $\prod_{v \in \Sigma_0} \omega_{\pi_{x,v}}(\xi_v)$ . This property extrapolates to *all* points  $y$  by mimicking the proof in section 7, as we will now explain.

For  $\mathrm{Sp}(A) \subset X_{\bar{r}}$  we have the Weil-Deligne representation  $\mathrm{WD}_{\bar{r}, \bar{v}}$  on  $A^n$ . Consider its determinant  $\det(\mathrm{WD}_{\bar{r}, \bar{v}})$  as a character  $F_{\bar{v}}^\times \rightarrow A^\times$  via local class field theory. Note that  $Z(U(F_v^+)) \simeq Z(\mathrm{GL}_n(F_{\bar{v}})) \simeq F_{\bar{v}}^\times$  which allows us to view the product  $\prod_{v \in \Sigma_0} \det(\mathrm{WD}_{\bar{r}, \bar{v}})$  as a character  $\omega : Z(U(F_{\Sigma_0}^+)) \rightarrow A^\times$ . Clearly the specialization of  $\omega$  at any  $x \in \mathrm{Sp}(A)$  is  $\omega_x = \otimes_{v \in \Sigma_0} \omega_{\pi_{x,v}} : Z(U(F_{\Sigma_0}^+)) \rightarrow \kappa(x)^\times$  by the interpolative property of  $\mathrm{WD}_{\bar{r}, \bar{v}}$ .

By copying the proof of Proposition 7.8 almost verbatim, one easily deduces the following.

**Proposition 8.1.** *There is a homomorphism  $\omega : Z(U(F_{\Sigma_0}^+)) \rightarrow \mathcal{O}(Y(K^p, \bar{r}))^\times$  such that  $h_\xi^{K_{\Sigma_0}} : \mathcal{M} \rightarrow \mathcal{M}$  is multiplication by  $\omega(\xi)$  for all  $\xi$ . In particular, for any point  $y = (x, \delta) \in Y(K^p, \bar{r})$ , the action of  $h_\xi^{K_{\Sigma_0}}$  on  $\mathcal{M}'_y$  is scaling by  $\prod_{v \in \Sigma_0} \omega_{\pi_{x,v}}(\xi_v)$ .*

## 9. PROOF OF THE MAIN RESULT

We now vary  $K_{\Sigma_0}$  and reinstate the notation  $\mathcal{M}_{K^p}$  (instead of just writing  $\mathcal{M}$ ) to stress the dependence on  $K^p = K_{\Sigma_0} K^\Sigma$ . Suppose  $K'_{\Sigma_0} \subset K_{\Sigma_0}$  is a compact open subgroup, and let  $K'^p = K'_{\Sigma_0} K^\Sigma$ . Recall that the global sections of  $\mathcal{M}_{K^p}$  is the dual of  $J_B(\hat{S}(K^p, E)_{\mathfrak{m}}^{\mathrm{an}})$ . Thus we find a natural transition map  $\mathcal{M}_{K'^p} \rightarrow \mathcal{M}_{K^p}$  of sheaves on  $X_{\bar{r}} \times \hat{T}$ . Taking their support we find that  $Y(K^p, \bar{r}) \hookrightarrow Y(K'^p, \bar{r})$ . Passing to the dual fibers at a point  $y \in Y(K^p, \bar{r})$  yields an embedding  $\mathcal{M}'_{K^p, y} \hookrightarrow \mathcal{M}'_{K'^p, y}$  which is equivariant for the Hecke action (i.e., compatible with the map  $\mathcal{H}(K'_{\Sigma_0}) \rightarrow \mathcal{H}(K_{\Sigma_0})$  given by  $e_{K_{\Sigma_0}} \star (\cdot) \star e_{K_{\Sigma_0}}$ ). The limit  $\lim_{\rightarrow K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  thus becomes an admissible representation of  $U(F_{\Sigma_0}^+) \xrightarrow{\sim} \prod_{v \in \Sigma_0} \mathrm{GL}_n(F_{\bar{v}})$  with coefficients in  $\kappa(y)$ . Subsequently we will use the next lemma to show it is of finite length.

**Lemma 9.1.** *Let  $y \in Y(K^p, \bar{r})$  be any point. Let  $\otimes_{v \in \Sigma_0} \pi_v$  be an arbitrary irreducible subquotient<sup>5</sup> of  $\lim_{\rightarrow K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$ . Then for all places  $v \in \Sigma_0$  we have an isomorphism*

$$\mathrm{WD}(r_x|_{\mathrm{Gal}_{F_{\bar{v}}}})^{ss} \simeq \mathrm{rec}(\mathrm{BC}_{\bar{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2})^{ss}.$$

(Here *ss* means semisimplification of the underlying representation  $\tilde{\rho}$  of  $W_{F_{\bar{v}}}$ , and setting  $N = 0$ .)

*Proof.* By Lemma 7.9 we know that  $f_\tau$  acts on  $\lim_{\rightarrow K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  via scaling by  $a_\tau(x)$ . On the other hand, by the proof of Lemma 6.1 we know what  $f_\tau(\otimes_{v \in \Sigma_0} \pi_v)$  is. By comparing the two expressions we find that

$$\prod_{v \in \Sigma_0} \mathrm{tr}(\tau_{\bar{v}} | \mathrm{WD}(r_x |_{\mathrm{Gal}_{F_{\bar{v}}}})) = \prod_{v \in \Sigma_0} \mathrm{tr}(\tau_{\bar{v}} | \mathrm{rec}(\mathrm{BC}_{\bar{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2}))$$

for all tuples  $\tau$ . This shows that  $\mathrm{WD}(r_x |_{\mathrm{Gal}_{F_{\bar{v}}}})$  and  $\mathrm{rec}(\mathrm{BC}_{\bar{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2})$  have the same semisimplification for all  $v \in \Sigma_0$  by 'linear independence of characters'.  $\square$

<sup>5</sup>Such exist by Zorn's lemma; any finitely generated subrepresentation admits an irreducible quotient.

We employ Lemma 9.1 to show  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  has finite length (which for an admissible representation is equivalent to being finitely generated by Howe's Theorem, cf. [BZ76, 4.1]).

**Lemma 9.2.** *The length of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  as a  $U(F_{\Sigma_0}^+)$ -representation is finite, and uniformly bounded in  $y$  on quasi-compact subvarieties of  $Y(K^p, \bar{r})$ .*

*Proof.* We first show finiteness. Suppose the direct limit is of infinite length, and choose an infinite proper chain of  $U(F_{\Sigma_0}^+)$ -invariant subspaces

$$\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p, y} = V_0 \supset V_1 \supset V_2 \supset V_3 \supset \cdots \quad V_i \neq V_{i+1}.$$

Taking  $K_{\Sigma_0}$ -invariants (which is exact as  $\text{char}_E = 0$ ) we find a decreasing chain of  $\mathcal{H}(K_{\Sigma_0})$ -submodules  $V_i^{K_{\Sigma_0}} \subset \mathcal{M}'_{K^p, y}$ . The fiber is finite-dimensional so this chain must become stationary. I.e.,  $V_i/V_{i+1}$  has no nonzero  $K_{\Sigma_0}$ -invariants for  $i$  large enough. If we can show that every irreducible subquotient  $\otimes_{v \in \Sigma_0} \pi_v$  of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  has nonzero  $K_{\Sigma_0}$ -invariants, we are done. We will show that we can find a small enough  $K_{\Sigma_0}$  with this last property.

The local Langlands correspondence preserves  $\epsilon$ -factors, and hence conductors. (See [JPSS] for the definition of conductors in the  $\text{GL}_n$ -case, and [Tat79, p. 21] for the Artin conductor of a Weil-Deligne representation.) Therefore, for every place  $v \in \Sigma_0$  we get a bound on the conductor of  $\text{BC}_{\bar{v}|v}(\pi_v)$ :

$$\begin{aligned} (9.3) \quad c(\pi_v) &:= c(\text{BC}_{\bar{v}|v}(\pi_v)) \\ &= c(\text{rec}(\text{BC}_{\bar{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2})) \\ &\leq c(\text{rec}(\text{BC}_{\bar{v}|v}(\pi_v) \otimes |\det|^{(1-n)/2})^{ss}) + n \\ &\stackrel{9.1}{=} c(\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})^{ss}) + n. \end{aligned}$$

(In the inequality we used the following general observation: If  $(\tilde{\rho}, \mathcal{N})$  is a Weil-Deligne representation on a vector space  $S$ , its conductor is  $c(\tilde{\rho}) + \dim S^I - \dim(\ker \mathcal{N})^I$ , where  $I$  is shorthand for inertia;  $c(\tilde{\rho})$  is the usual Artin conductor, which is clearly invariant under semisimplification:  $c(\tilde{\rho})$  only depends on  $\tilde{\rho}|_I$  which is semisimple because it has finite image.) This shows  $c(\pi_v)$  is bounded in terms of  $x$ . If we take  $K_{\Sigma_0}$  small enough, say  $K_{\Sigma_0} = \prod_{v \in \Sigma_0} K_v$  where

$$K_v = i_v^{-1} \{g \in \text{GL}_n(\mathcal{O}_{F_{\bar{v}}}) : (g_{n1}, \dots, g_{nn}) \equiv (0, \dots, 1) \pmod{\varpi_{F_{\bar{v}}}^N}\}$$

with  $N$  greater than the right-hand side of the inequality (9.3), then every constituent  $\otimes_{v \in \Sigma_0} \pi_v$  as above satisfies  $\pi_v^{K_v} \neq 0$  as desired. This shows the length is finite.

To get a uniform bound in  $K^p$  and  $\bar{r}$  we improve on the bound (9.3) using [Liv89, Prop. 1.1]: Since  $r_x|_{\text{Gal}_{F_{\bar{v}}}}$  is a lift of  $\bar{r}|_{\text{Gal}_{F_{\bar{v}}}}$  the aforementioned Proposition implies that

$$c(\text{WD}(r_x|_{\text{Gal}_{F_{\bar{v}}}})) \leq c(\bar{r}|_{\text{Gal}_{F_{\bar{v}}}}) + n.$$

(One can improve this bound but the point here is to get uniformity.) Taking  $K_{\Sigma_0}$  as above with  $N$  greater than  $c(\bar{r}|_{\text{Gal}_{F_{\bar{v}}}}) + 2n$  the above argument guarantees that the  $U(F_{\Sigma_0}^+)$ -length of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p, y}$  is the same as the  $\mathcal{H}(K_{\Sigma_0})$ -length of  $\mathcal{M}'_{K_{\Sigma_0} K^{\Sigma}, y}$  which is certainly at most  $\dim_E \mathcal{M}'_{K_{\Sigma_0} K^{\Sigma}, y}$ . This dimension is uniformly bounded when  $y$  is constrained to a quasi-compact subspace of  $Y(K^p, \bar{r})$ .  $\square$

**9.1. Strongly generic representations.** Recall the definition of  $\pi_{x, v}$  in (4.1). We call  $x$  a *generic* point if  $\pi_{x, v}$  is a generic representation (i.e., when it has a Whittaker model). For instance, all classical points

are generic (cf. the proof of Lemma 3.3). We will impose a stronger condition on  $r_x|_{\mathrm{Gal}_{F_{\bar{v}}}}$  which ensures that  $\pi_{x,v}$  is fully induced from a supercuspidal representation of a Levi subgroup (thus in particular is generic, cf. [BZ77]). This rules out that  $\pi_{x,v}$  is Steinberg for instance, and bypasses difficulties arising from having nonzero monodromy.

**Definition 9.4.** Decompose  $\mathrm{WD}(r_x|_{\mathrm{Gal}_{F_{\bar{v}}}})^{ss} \simeq \tilde{\rho}_1 \oplus \cdots \oplus \tilde{\rho}_t$  into a sum of irreducible representations  $\tilde{\rho}_i : W_{F_{\bar{v}}} \rightarrow \mathrm{GL}_{n_i}(\bar{\mathbb{Q}}_p)$ . We say  $r_x|_{\mathrm{Gal}_{F_{\bar{v}}}}$  is *strongly generic* if  $\tilde{\rho}_i \simeq \tilde{\rho}_j \otimes \epsilon$  for all  $i \neq j$ , where  $\epsilon : \mathrm{Gal}_{F_{\bar{v}}} \rightarrow \mathbb{Z}_p^\times$  is the cyclotomic character.

For the rest of this section we will assume  $r_x$  is strongly generic at each  $v \in \Sigma_0$ . In the notation of Definition 9.4, each  $\tilde{\rho}_i$  corresponds to a supercuspidal representation  $\tilde{\pi}_i$  of  $\mathrm{GL}_{n_i}(F_{\bar{v}})$  and

$$\pi_{x,v} \simeq \mathrm{Ind}_{P_{n_1, \dots, n_t}}^{\mathrm{GL}_n} (\tilde{\pi}_1 \otimes \cdots \otimes \tilde{\pi}_t)$$

since the induced representation is irreducible, cf. [BZ77]. Indeed  $\tilde{\pi}_i \simeq \tilde{\pi}_j(1)$  for all  $i \neq j$ . (The twiddles above  $\rho_i$  and  $\pi_i$  should not be confused with taking the contragredient.)

By Lemma 9.1 the factor  $\pi_v$  of any irreducible subquotient  $\otimes_{v \in \Sigma_0} \pi_v$  of  $\varinjlim_{K \Sigma_0} \mathcal{M}'_{K^p, y}$  has the same supercuspidal support as  $\pi_{x,v}$ . Since the latter is fully induced from  $P_{n_1, \dots, n_t}$  they must be isomorphic. In summary we have arrived at the result below.

**Corollary 9.5.** *Let  $y = (x, \delta) \in Y(K^p, \bar{r})$  be a point at which  $r_x$  is strongly generic at every  $v \in \Sigma_0$ . Then  $\varinjlim_{K \Sigma_0} \mathcal{M}'_{K^p, y}$  has finite length, and every irreducible subquotient is isomorphic to  $\otimes_{v \in \Sigma_0} \pi_{x,v}$ .*

Altogether this proves Theorem 1.1 in the Introduction.

*Remark 9.6.* Naively one might hope to remove the 'ss' in Theorem 1.1 by showing that  $\pi_{x,v}$  has no non-split self-extensions;  $\mathrm{Ext}_{\mathrm{GL}_n(F_{\bar{v}})}^1(\pi_{x,v}, \pi_{x,v}) = 0$ . However, this is false even if we assume  $\pi_{x,v} \simeq \mathrm{Ind}_P^{\mathrm{GL}_n}(\sigma)$  with  $\sigma = \otimes_{j=1}^t \tilde{\pi}_j$  supercuspidal (as above). Let us explain why. For simplicity we assume  $\sigma$  is regular, which means  $w\sigma \simeq \sigma \Rightarrow w = 1$  for all block-permutations  $w \in S_n$ . In other words  $\tilde{\pi}_i \not\simeq \tilde{\pi}_j$  for  $i \neq j$  with  $n_i = n_j$ . Under this assumption the 'geometric lemma' (cf. [Cas95, Prop. 6.4.1]) gives an actual direct sum decomposition of the  $N$ -coinvariants:

$$(\pi_{x,v})_N \simeq \bigoplus_w w\sigma$$

with  $w$  running over block-permutations as above. The usual adjointness property of  $(-)_N$  is easily checked to hold for  $\mathrm{Ext}^i$  (cf. [Pra13, Prop. 2.9]). Therefore

$$\mathrm{Ext}_{\mathrm{GL}_n}^1(\pi_{x,v}, \pi_{x,v}) \simeq \mathrm{Ext}_M^1((\pi_{x,v})_N, \sigma) \simeq \prod_w \mathrm{Ext}_M^1(w\sigma, \sigma) \simeq \mathrm{Ext}_M^1(\sigma, \sigma).$$

In the last step we used [Cas95, Cor. 5.4.4] to conclude that  $\mathrm{Ext}_M^1(w\sigma, \sigma) = 0$  for  $w \neq 1$ . However,  $\mathrm{Ext}_M^1(\sigma, \sigma)$  is always non-trivial. For example, consider the principal series case where  $P = B$  and  $\sigma$  is a smooth character of  $T$ . Here  $\mathrm{Ext}_T^1(\sigma, \sigma) \simeq \mathrm{Ext}_T^1(\mathbf{1}, \mathbf{1}) \simeq \mathrm{Hom}(T, E) \simeq E^n$ . In general, if  $\sigma$  is an irreducible representation of  $M$  with central character  $\omega$ , there is a short exact sequence

$$0 \longrightarrow \mathrm{Ext}_{M, \omega}^1(\sigma, \sigma) \longrightarrow \mathrm{Ext}_M^1(\sigma, \sigma) \longrightarrow \mathrm{Hom}(Z_M, E) \longrightarrow 0$$

(cf. [Pas10, Prop. 8.1] whose proof works verbatim with coefficients  $E$  instead of  $\bar{\mathbb{F}}_p$ ). If  $\sigma$  is supercuspidal it is projective and/or injective in the category of smooth  $M$ -representations with central character  $\omega$ , and vice versa (cf. [Cas95, Thm. 5.4.1] and [AR04]). In particular  $\dim_E \mathrm{Ext}_M^1(\sigma, \sigma) = \dim(Z_M)$ .

By Proposition 8.1 all the self-extensions of  $\pi_{x,v}$  arising from  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_{K^p,y}$  actually live in the full subcategory of smooth representations with central character  $\omega_{\pi_{x,v}}$ . As we just pointed out, supercuspidal is equivalent to being projective and/or injective in this category. Thus at least in the case where  $\otimes_{v \in \Sigma_0} \pi_{x,v}$  is supercuspidal we can remove the 'ss' in Theorem 1.1.

*Remark 9.7.* We comment on the multiplicity  $m_y$  in the analogous case of  $\mathrm{GL}(2)_{/\mathbb{Q}}$ . Replacing our unitary group  $U$  with  $\mathrm{GL}(2)_{/\mathbb{Q}}$ , and replacing  $\hat{S}(K^p, E)$  with the completed cohomology of modular curves  $\hat{H}^1(K^p)_E$  with tame level  $K^p \subset \mathrm{GL}_2(\mathbb{A}_f^p)$ , a statement analogous to Theorem 1.1 is a consequence of Emerton's local-global compatibility theorem [Em11b, Thm. 1.2.1], under the assumption that  $\bar{r}|_{\mathrm{Gal}_{\mathbb{Q}_p}}$  is not isomorphic to a twist of  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 1 & * \\ 0 & \epsilon \end{pmatrix}$ . With this assumption, the multiplicities  $m_y$  are (at least predicted to be) equal to 2 (coming from the two-dimensional Galois representation  $r_x$ ), and the representations of  $\mathrm{GL}_2(\mathbb{Q}_{\Sigma_0})$  which appear are semisimple.

Indeed, it follows from *loc. cit.* that we have  $m_y = 2 \dim_E J_B^\delta(\Pi(\varrho_x)^{\mathrm{an}})$  where  $\varrho_x := r_x|_{\mathrm{Gal}_{\mathbb{Q}_p}}$ . When  $\varrho_x$  is absolutely irreducible, it follows from [Dos14, Thm. 1.1, Thm. 1.2] (see also [Col14, Thm. 0.6]) that  $J_B^\delta(\Pi(\varrho_x)^{\mathrm{an}})$  has dimension at most 1. If  $\varrho_x$  is reducible, then [Em06d, Conj. 3.3.1(8), Lem. 4.1.4] predicts that  $J_B^\delta(\Pi(\varrho_x)^{\mathrm{an}})$  again has dimension at most 1, unless  $\varrho_x$  is of the form  $\eta \oplus \eta$  for some continuous character  $\eta : \mathrm{Gal}_{\mathbb{Q}_p} \rightarrow E^\times$ .

In the exceptional case with  $\varrho_x \simeq \eta \oplus \eta$  scalar, where [Em11b, Thm. 1.2.1 (2)] does not apply, we have  $\dim_E J_B^\delta(\Pi(\varrho_x)^{\mathrm{an}}) = 2$  when  $\delta = \eta \cdot |\cdot| \otimes \eta \epsilon \cdot |\cdot|^{-1}$  and therefore [Em11b, Conj. 1.1.1] predicts that we have  $m_y = 4$  for  $y = (x, \eta \cdot |\cdot| \otimes \eta \epsilon \cdot |\cdot|^{-1})$ . Again the representation of  $\mathrm{GL}_2(\mathbb{Q}_{\Sigma_0})$  which appears is predicted to be semisimple.

**9.2. The general case at Iwahori level.** In this section we assume  $\bar{r}$  is automorphic of tame level  $K^p = K_{\Sigma_0} K^\Sigma$  where  $K_{\Sigma_0} = \prod_{v \in \Sigma_0} K_v$  is a product of *Iwahori* subgroups. This can usually be achieved by a solvable base change; i.e. by replacing  $\bar{r}$  with its restriction  $\bar{r}|_{\mathrm{Gal}_{F'}}$  for some solvable Galois extension  $F'/F$  (cf. the 'Skinner-Wiles trick' [SW01]). We make this assumption to employ a genericity criterion of Barbasch-Moy [BM94], which was recently strengthened by Chan-Savin in [CSa17a] and [CSa17b].

**9.2.1. Genericity and Iwahori-invariants.** The setup of [CSa17a] is the following. Let  $G$  be a split group over a  $p$ -adic field  $F$ , with a choice of Borel subgroup  $B = TU$ . We assume these are defined over  $\mathcal{O} = \mathcal{O}_F$ , and let  $I \subset G(\mathcal{O})$  be the Iwahori subgroup (the inverse image of  $B$  over the residue field  $\mathbb{F}_q$ ). The Iwahori-Hecke algebra  $\mathcal{H}$  has basis  $T_w = [IwI]$  where  $w \in W_{\mathrm{ex}}$  runs over the extended affine Weyl group  $W_{\mathrm{ex}} = N_G(T)/T(\mathcal{O})$ . The basis vectors satisfy the usual relations

- $T_{w_1} T_{w_2} = T_{w_1 w_2}$  when  $\ell(w_1 w_2) = \ell(w_1) + \ell(w_2)$ ,
- $(T_s - q)(T_s + 1) = 0$  when  $\ell(s) = 1$ .

Here  $\ell : W_{\mathrm{ex}} \rightarrow \mathbb{Z}$  denotes the length function defined by  $q^{\ell(w)} = |IwI/I|$ . Inside of  $\mathcal{H}$  we have the subalgebra  $\mathcal{H}_W$  of functions supported on  $G(\mathcal{O})$ , which has basis  $\{T_w\}_{w \in W}$  where  $W$  is the (actual) Weyl group. The algebra  $\mathcal{H}_W$  carries a natural one-dimensional representation  $\mathrm{sgn} : \mathcal{H}_W \rightarrow \mathbb{C}$  which sends  $T_w \mapsto (-1)^{\ell(w)}$ , and we are interested in the  $\mathrm{sgn}$ -isotypic subspaces of  $\mathcal{H}$ -modules.

**Definition 9.8.** For a smooth  $G$ -representation  $\pi$  (over  $\mathbb{C}$ ) we introduce the following subspace of the Iwahori-invariants

$$\mathbb{S}(\pi) = \bigcap_{w \in W} (\pi^I)^{T_w = (-1)^{\ell(w)}}.$$

In other words the (possibly trivial) subspace of  $\pi^I$  where  $\mathcal{H}_W$  acts via the sgn-character.

Fix a non-trivial continuous unitary character  $\psi : F \rightarrow \mathbb{C}^\times$  and extend it to a character of  $U$  as in [CSa17a, Sect. 4]. For a smooth  $G$ -representation  $\pi$  we let  $\pi_{U,\psi}$  be the 'top derivative' of  $\psi$ -coinvariants (whose dual is exactly the space of  $\psi$ -Whittaker functionals on  $\pi$ ).

**Theorem 9.9.** (*Barbasch-Moy, Chan-Savin*). *Let  $\pi$  be a smooth  $G$ -representation which is generated by  $\pi^I$ . Then the natural map  $\mathbb{S}(\pi) \hookrightarrow \pi \rightarrow \pi_{U,\psi}$  is an isomorphism.*

*Proof.* This is [CSa17a, Cor. 4.5] which is a special case of [CSa17b, Thm. 3.5].  $\square$

In particular, an irreducible representation  $\pi$  with  $\pi^I \neq 0$  is *generic* if and only if  $\mathbb{S}(\pi) \neq 0$ , in which case  $\dim \mathbb{S}(\pi) = 1$ . This is the genericity criterion we will use below.

**9.2.2. The  $\mathbb{S}$ -part of the eigenvariety.** We continue with the usual setup and notation. We run the eigenvariety construction with  $\hat{S}(K^p, E)_\mathfrak{m}$  replaced by its  $\mathbb{S}$ -subspace. More precisely, for each  $v \in \Sigma_0$  we have the functor  $\mathbb{S}_v$  (Def. 9.8) taking smooth  $\mathrm{GL}_n(F_v)$ -representations to vector spaces over  $E$ . We apply their composition  $\mathbb{S} = \circ_{v \in \Sigma_0} \mathbb{S}_v$  to  $\varinjlim_{K_{\Sigma_0}} \hat{S}(K^p, E)_\mathfrak{m}$ . I.e., we take

$$\Pi := \bigcap_{v \in \Sigma_0} \bigcap_{w \in W_v} (\hat{S}(K^p, E)_\mathfrak{m})^{T_w = (-1)^{\ell(w)}}.$$

Clearly  $\Pi$  is a closed subspace of  $\hat{S}(K^p, E)_\mathfrak{m}$ , and therefore an admissible Banach representation of  $G = G(\mathbb{Q}_p)$ . As a result  $J_B(\Pi^{\mathrm{an}})'$  is coadmissible (cf. [BHS17, Prop. 3.4]) and hence the global sections  $\Gamma(X_{\bar{r}} \times \hat{T}, \mathcal{M}_\Pi)$  of a coherent sheaf  $\mathcal{M}_\Pi$  on  $X_{\bar{r}} \times \hat{T}$ . We let

$$Y_\Pi(K^p, \bar{r}) = \mathrm{supp}(\mathcal{M}_\Pi)$$

be its schematic support with the usual annihilator ideal sheaf. Mimicking the proof of Lemma 3.1 we obtain the following description of the dual fiber of  $\mathcal{M}_\Pi$  at a point  $y = (x, \delta) \in Y_\Pi(K^p, \bar{r})$ ;

$$\mathcal{M}'_{\Pi,y} \simeq J_B^\delta(\Pi[\mathfrak{p}_x]^{\mathrm{an}}) \simeq \bigcap_{v \in \Sigma_0} \bigcap_{w \in W_v} J_B^\delta(\hat{S}(K^p, E)_\mathfrak{m}[\mathfrak{p}_x]^{\mathrm{an}})^{T_w = (-1)^{\ell(w)}}.$$

This clearly shows  $Y_\Pi(K^p, \bar{r})$  is a closed subvariety of  $Y(K^p, \bar{r})$ . Our immediate goal is to show equality.

**Lemma 9.10.**  $Y_\Pi(K^p, \bar{r}) = Y(K^p, \bar{r})$ .

*Proof.* Since the classical points are Zariski dense in  $Y(K^p, \bar{r})$  we just have to show each classical  $y = (x, \delta)$  in fact lies in  $Y_\Pi(K^p, \bar{r})$ . Let  $\pi$  be an automorphic representation such that  $r_x \simeq r_{\pi,\iota}$ . This is an irreducible Galois representation (since  $\bar{r}$  is) and thus  $\mathrm{BC}_{F/F^+}(\pi)$  is a cuspidal and therefore generic automorphic representation of  $\mathrm{GL}_n(\mathbb{A}_F)$ . In particular the factors of  $\otimes_{v \in \Sigma_0} \pi_v$  are generic. Taking  $T_w$ -eigenspaces of the embedding  $\otimes_{v \in \Sigma_0} \pi_v^{K_v} \hookrightarrow \mathcal{M}'_y$  from Prop. 4.2 yields a map  $\otimes_{v \in \Sigma_0} \mathbb{S}_v(\pi_v) \hookrightarrow \mathcal{M}'_{\Pi,y}$ . Finally, by Theorem 9.9 we conclude that  $\otimes_{v \in \Sigma_0} \mathbb{S}_v(\pi_v) \neq 0$  so that  $\mathcal{M}'_{\Pi,y} \neq 0$ .  $\square$

**9.2.3. Conclusion.** Now let  $y \in Y(K^p, \bar{r})$  be an arbitrary point. By Lemma 9.10 we now know  $\mathcal{M}'_{\Pi,y} \neq 0$ . Note that  $\mathcal{M}'_{\Pi,y} = \mathbb{S}(\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y)$  and we immediately infer that  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  does have *some* generic constituent (by 9.9).

Suppose  $\otimes_{v \in \Sigma_0} \pi_v$  is *any* generic constituent of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$ . Lemma 9.1 tells us  $\pi_v$  and  $\pi_{x,v}$  have the same supercuspidal support. By the theory of Bernstein-Zelevinsky derivatives  $\mathrm{Ind}_{P_{n_1, \dots, n_t}}^{\mathrm{GL}_n}(\tilde{\pi}_1 \otimes \dots \otimes \tilde{\pi}_t)$

has a *unique* generic constituent (where the  $\tilde{\pi}_i$  are supercuspidals as before). Consequently, there is a unique generic representation  $\pi_{x,v}^{\text{gen}}$  with the same supercuspidal support as  $\pi_{x,v}$ , and  $\pi_v \simeq \pi_{x,v}^{\text{gen}}$ .

We summarize our findings below.

**Theorem 9.11.** *Let  $y = (x, \delta) \in Y(K^p, \bar{r})$  be an arbitrary point, where  $K_{\Sigma_0}$  is a product of Iwahori subgroups. Then the following holds:*

- (1)  $\otimes_{v \in \Sigma_0} \pi_{x,v}^{\text{gen}}$  occurs as a constituent of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  (possibly with multiplicity).
- (2) Every generic constituent of  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  is isomorphic to  $\otimes_{v \in \Sigma_0} \pi_{x,v}^{\text{gen}}$ .

Here  $\pi_{x,v}^{\text{gen}}$  is the generic representation of  $GL_n(F_{\bar{v}})$  with the same supercuspidal support as  $\pi_{x,v}$ .

It would be interesting to relax the assumption that  $K_v$  is Iwahori for  $v \in \Sigma_0$ . In [CSa17b] they consider more general  $\mathfrak{s}$  in the Bernstein spectrum of  $GL_{mr}$  (where the Levi is  $GL_r \times \cdots \times GL_r$  and the supercuspidal representation is  $\tau \otimes \cdots \otimes \tau$ ). For such an  $\mathfrak{s}$ -type  $(J, \rho)$  one can identify the Hecke algebra  $\mathcal{H}(J, \rho)$  with the Iwahori-Hecke algebra of  $GL_m$  – but over a possibly larger  $p$ -adic field. This is used to define the subalgebra  $\mathcal{H}_{S_m} \subset \mathcal{H}(J, \rho)$  which carries the sgn-character. If  $\pi \in \mathcal{R}^{\mathfrak{s}}(GL_{mr})$  is an admissible representation, [CSa17b, Thm. 3.5] shows that a certain adjunction map  $\mathbb{S}_{\rho}(\pi) \rightarrow \pi_{U, \psi}$  is an isomorphism, where  $\mathbb{S}_{\rho}(\pi)$  denotes the sgn-isotypic subspace of  $\text{Hom}_J(\rho, \pi)$ . (In the case  $r = 1$  and  $\tau = \mathbf{1}$  this recovers Theorem 9.9 above; the type is  $(I, \mathbf{1})$ .) Instead of considering  $\hat{S}(K_{\Sigma_0} K^{\Sigma}, E)_m$  in the eigenvariety construction one could take  $K_{\Sigma_0} = \prod_{v \in \Sigma_0} J_v$  and  $\rho = \otimes_{v \in \Sigma_0} \rho_v$  for certain types  $(J_v, \rho_v)$  and consider the space  $\text{Hom}_{K_{\Sigma_0}}(\rho, \hat{S}(K^{\Sigma}, E)_m)$  which would result in an eigenvariety  $Y_{\rho}(K_{\Sigma_0} K^{\Sigma}, \bar{r})$  which of course sits as a closed subvariety of  $Y(K'_{\Sigma_0} K^{\Sigma}, \bar{r})$  for  $K'_{\Sigma_0} \subset \ker(\rho)$ . If we take an arbitrary point  $y \in Y_{\rho}(K_{\Sigma_0} K^{\Sigma}, \bar{r})$  we know  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  lies in the  $\mathfrak{s}_v$ -component (for each  $v \in \Sigma_0$ ) and it is at least plausible the above arguments with  $\mathbb{S}$  replaced by  $\mathbb{S}_{\rho}$  would allow us to draw the same conclusion:  $\varinjlim_{K_{\Sigma_0}} \mathcal{M}'_y$  admits  $\otimes_{v \in \Sigma_0} \pi_{x,v}^{\text{gen}}$  as its unique generic irreducible subquotient (up to multiplicity). The inertial classes  $\mathfrak{s}$  considered in [CSa17b] are somewhat limited. However, Savin has communicated to us a more general (unpublished) genericity criterion – without restrictions on  $\mathfrak{s}$ .

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