

LECTURE 17  
(Wed. FEB. 19, 2020)

$X$  finite,  $X = \{x_1, x_2, \dots, x_n\}$ ,  $n = |X|$  its cardinality.

— Sending  $1 \mapsto x_1, \dots, n \mapsto x_n$

defines a bijection

$$f: \{1, 2, \dots, n\} \rightarrow X.$$

If  $Y$  finite, of the same cardinality, get a bijection

$$X \xrightarrow{f^{-1}} \{1, 2, \dots, n\} \xrightarrow{g} Y.$$

Conversely, if  $\exists$  bijection  $X \rightarrow Y$ , then  $|X| = |Y|$ .

(No bijection  $\{1, 2, \dots, m\} \rightarrow \{1, 2, \dots, n\}$  when  $m \neq n$ )

— more generally/precisely:

Theorem:  $X, Y$  finite sets.

(i) If there's an injection  $f: X \rightarrow Y$ , then  $|X| \leq |Y|$ .

(ii) If there's a surjection  $f: X \rightarrow Y$ , then  $|X| \geq |Y|$ .

FF. Let  $Z = f(X)$  be its range. Then  $X \xrightarrow{f} Z$

(i) is bijective. Therefore,

$$|X| = |Z| \leq |Y|, \text{ since } Z \subseteq Y.$$

(ii) If  $f$  is surjective it has a right inverse  
 ~ Such a  $g$  is (some  $g: Y \rightarrow X$ ,  $f \circ g = \text{Id}_Y$ ).  
 nec. injective, as it admits a left inverse —  
 By (i) applied to this  $g$ ,  $|Y| \leq |X|$ . □

Consequence of (i): If  $X, Y$  are finite,  $|X| > |Y|$ ,  
 then every function  $f: X \rightarrow Y$  fails to be  
 injective. I.e.,

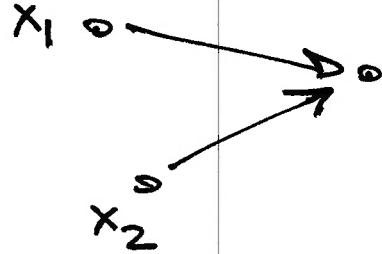
$$\exists x_1 \neq x_2 \text{ in } X \text{ s.t. } f(x_1) = f(x_2).$$

This is known as the  
 "pigeonhole principle"

$$X = \{\text{pigeons}\}$$

$$Y = \{\text{holes}\}.$$

(at least).



If "more pigeons than holes", two pigeons fly into the  
 same hole".

Ex Given  $n+1$  <sup>(distinct)</sup> integers

$$a_0, a_1, \dots, a_n$$

at least two of them are congruent mod  $n$ .

I.e.,  $\exists i \neq j: a_i \equiv a_j \pmod{n}$

• Why?  $X = \{a_0, a_1, \dots, a_n\} \subseteq \mathbb{Z}$ ,  $|X| = n+1$ .

$Y = \mathbb{Z}_n$ ,  $|Y| = n$ .

$f: X \rightarrow Y$  not injective,  $\Leftrightarrow \exists a, b \in X:$   
 $a \mapsto [a] = [b]$

i.e.,  $a \equiv b \pmod{n}$ .

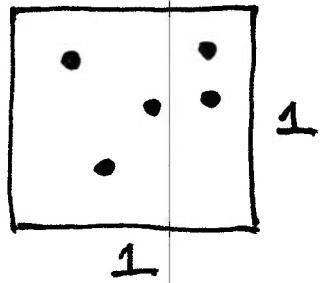
Ex. Given 5 points in

a unit square:  $x_1, x_2, x_3, x_4, x_5$

(possibly on boundary)

- At least two are within a distance  $\frac{1}{\sqrt{2}}$  of each other:

$$\exists i \neq j: \|x_i - x_j\| \leq \frac{1}{\sqrt{2}}.$$



My? Divide  $\square$  into 4 smaller squares  $\square\square$

$$X = \{x_1, \dots, x_5\}$$

$$Y = \{\text{smaller squares}\}$$

diameter  $\sqrt{2}$

Two lie in the same  
smaller square.

- Similarly: 9 points on a unit cube:

$$\|x_i - x_j\| \leq \frac{\sqrt{3}}{2}.$$



$$\text{diameter } \frac{\sqrt{2}}{2} = \frac{1}{\sqrt{2}}.$$

Ex  $S = \{1, 2, \dots, n\}$ .

$F$  collection of subsets  $A \subseteq S$ ,  
with property:  $X \cap Y \neq \emptyset$  for any two  $X, Y \in F$ .

(for instance take  $F$  to be all subsets  $Z \cup \{n\}$   
where  $Z \subseteq \{1, 2, \dots, n-1\}$  varies)

CLAIM: For any such collection  $F$ ,  $|F| \leq 2^{n-1}$ .

Partition  $\wp(S) = \bigcup \{A, \overline{A}\}$  by "pairing"  $A$  and  $\overline{A}$ .

$\nwarrow$  has  $2^{n-1}$  boxes.

If  $|F| > 2^{n-1}$ , some box contains two  $X, Y \in F$ .

i.e.,  $X = A$  and  $Y = \overline{A}$ , or vice versa.

But then  $X \cap Y = \emptyset$ .

App.: If  $|X|=|Y|$  finite,  $f: X \rightarrow Y$  is injective  $\Leftrightarrow$  surjective  $\Leftrightarrow$  bijective.

Why? If  $f$  injective,  $Z = f(X)$  range.

Then  $X \rightarrow Z$  bijective.  $|X|=|Z|$   
 $x \mapsto f(x)$

$Z \subseteq Y$ . Must have  $Z=Y$ .

$\uparrow \downarrow$   
same #  
elements.