

WEEK

8.

($p = \text{char}(k)$)

- Consequently:
 - G_0/G_1 is cyclic of prime- $b-p$ order.
 - G_i/G_{i+1} elementary abelian p -group.
($i > 0$) $\cong \mathbb{F}_p \oplus \dots \oplus \mathbb{F}_p$.

Follows that G_1 is the Sylow p -subgroup of G_0 .

notation switch: \langle normal in G \rangle "wild inertia".
 $E = K$.

Theorem. (1) $\mathcal{O}_K^\times / U_K^{(1)} \xrightarrow{\text{can.}} k^\times \quad \text{"elev. ab. } p\text{-gp."}$

(2) $U_K^{(i)} / U_K^{(i+1)} \xrightarrow{\text{non-can.}} k \cong \mathbb{F}_p \oplus \dots \oplus \mathbb{F}_p$.
 with $i > 0$.
 [$k : \mathbb{F}_p$] copies.

PROOF. Recall.

(1) $0 \rightarrow U_K^{(1)} \rightarrow \mathcal{O}_K^\times \xrightarrow{\text{splits, canonically}} k^\times \rightarrow 0$
 [even: $\mathcal{O}_K^\times \cong k^\times \times U_K^{(1)}$.]
 even: $\mathcal{O}_K^\times \cong k^\times \times U_K^{(1)}$. can.

(2) Def. $\varphi: U_K^{(i)} \longrightarrow k$, $\varphi(u) = \varphi(1 + \pi^i x) = \bar{x}$.
 dep. π ., surjective \checkmark .

Homomorphism:

- $\varphi(u) + \varphi(v) = \varphi(1 + \pi^i x) + \varphi(1 + \pi^i y) = \bar{x} + \bar{y}$.
- $\varphi(uv) = \varphi((1 + \pi^i x)(1 + \pi^i y)) = \varphi(1 + \pi^i x + \pi^i y + \pi^{2i} xy) = \varphi(1 + \pi^i(x+y+\pi^i xy)) = \frac{x+y+\pi^i xy}{\pi^i} = \bar{x} + \bar{y}$ \checkmark

$\ker(\varphi) = U_K^{(i+1)}$ \checkmark \square

Local unit theorem: K/\mathbb{Q}_p finite. Then,

$$U_K^{(1)} \cong M_{p,\infty}(K) \times \mathbb{Z}_p^{[K:\mathbb{Q}_p]} \quad (\text{non-can.})$$

Note: Fails if
 $\text{char}(K) = p$:

$$U_K^{(1)} \cong \mathbb{Z}_p \times \mathbb{Z}_p \times \dots = \mathbb{Z}_p^N.$$

Corollary:
 $(\text{char}(K) = 0)$

$$\begin{aligned} K^\times &\cong M_{q-1}(K) \times U_K^{(1)} \\ &\cong M_{q-1}(K) \times M_{p,\infty}(K) \times \mathbb{Z}_p^n \\ &\cong M_{p-}(K) \times M_{p,\infty}(K) \times \mathbb{Z}_p^n \\ &\cong M_\infty(K) \times \mathbb{Z}_p^{[K:\mathbb{Q}_p]}. \end{aligned}$$

and $K^\times \cong \mathbb{Z} \times M_\infty(K) \times \mathbb{Z}_p^n$.

— in particular:

$$K^\times / K^{\times m} \cong \mathbb{Z}/m\mathbb{Z} \times M_\infty / M_\infty^m \times (\mathbb{Z}_p/m\mathbb{Z}_p)^n$$

is finite,

of order:

$$|K^\times / K^{\times m}| = \frac{m}{\|m\|_K} \cdot |M_m(K)|$$

— why? \rightarrow

From \cong , putting $w := |\mu_m(K)|$,

$$|K^\times/K^{\times m}| = m \cdot \text{GCD}(m, w) \cdot p^{v_p(m)n}.$$

Simplified: $\mu_m = \langle \gamma \rangle$. Then

$$(\gamma^r)^m = 1 \iff w \mid rm \iff \frac{w}{\text{GCD}} \mid r \cdot \frac{m}{\text{GCD}} \iff \frac{w}{\text{GCD}} \mid r.$$

Shows $|\mu_m(K)| = \text{GCD}(m, w)$.

— Moreover, $\|p\|_K = p^{-n}$ so $\|m\|_K^{-1} = p^{v_p(m)n}$.
 $(n = ef,$
 $q = p^f$
 $p \sim \pi^e)$

Ex: $|\mathbb{Q}_p^\times/\mathbb{Q}_p^{\times 2}| = \frac{2}{1} \cdot 2 = 4$.
 $p > 2$. three quadratic extns.: $\begin{matrix} \text{prk} \\ u \not\equiv x^2 \pmod{p} \end{matrix}$

$$\mathbb{Q}_p(\sqrt{u}) = \mathbb{Q}_{p^2}$$

$$\begin{matrix} \mathbb{Q}_p(\sqrt{p}) \\ \mathbb{Q}_p(\sqrt{up}) \end{matrix} \quad \begin{matrix} \text{tot. \& tamely ram.} \\ \end{matrix}$$

- say $K = \mathbb{F}((t))$

- ★) Obs: When $\text{char}(K) = p$, $|K^\times/K^{\times p}| = \infty$.
- Otherwise also $\mathcal{O}_K^\times/\mathcal{O}_K^{\times p}$ is finite.

$$\begin{array}{ccc} \mathcal{O}_K^\times & \xrightarrow{\text{cont.}} & \mathcal{O}_K^\times \\ a & \mapsto & a^p \end{array}$$

\mathcal{O}_K^\times compact $\Rightarrow \mathcal{O}_K^{\times p}$ compact, closed.

By assumption $\mathcal{O}_K^{\times p}$ has finite index, so in fact must be open in \mathcal{O}_K^\times . Thus, $1+t^N \in \mathcal{O}_K^{\times p}$ for all $N \gg 0$.

However, since

contradiction if $p \nmid N$.

FACT: Formula for $|K^\times/K^{\times m}|$ holds for $K = \mathbb{F}((t))$

w. convention that $\|m\|_K = 0$ when $p|m$.

(i.e., $m = 0$ in K)

- Analogous arguments show:

o $K^{\times m}$ open subgroup of finite index in K^\times ,

[consider image of cont.
 m -power map]

~~provided $m \neq 0$ in K .~~
~~(automatic if $K \not\subset \mathbb{Q}_p$).~~

$$(\cdot)^m: \mathcal{O}_K^\times \rightarrow \mathcal{O}_K^\times]$$

o If E/K finite, the norm group $N_{E/K}(E^\times)$

is open of finite index in K^\times , provided

$$[K^{\times n} \subseteq N(E^\times)]$$

$n = [E:K]$

~~$[E:K] \neq 0$ in K .~~

(for p -adic top.)

Remark: K^{x^m} do not form a neighborhood basis at $1 \in K^\times$.

($K^{x^m} = m\mathbb{Z} \times \mathcal{O}_K^{x^m}$ not in $\{0\} \times U_K^{(r)}$ for $m >> 0$)

but $\{\mathcal{O}_K^{x^m}\}$ does, since $\mathcal{O}_K^\times / U_K^{(r)}$ finite ($m = \text{index}$).

|
as index in K^\times
though.

| Prop. Let K/\mathbb{Q}_p finite extn. Then every

| finite index $H \leq K^\times$ is automatically open, provided $\forall K$:
index $\neq 0$ in K .

PF. Let $m = [K^\times : H]$ so that $K^{x^m} \leq H$.

Consider $u \in \mathcal{O}_K^\times$. Claim: If $u \in U_K^{(r)}$ for $r >> 0$,
"Newton's method" applies
to $f(x) = x^m - u$ and $x_0 = 1$.

Check: $|f(1)| = |1-u| < |f'(1)|^2 = |m|^2 \neq 0$.

NEWTON gives us an \nwarrow ok if $u \equiv 1 \pmod{m_K^r}$
and $r >> 0$.

$\alpha \in \mathcal{O}_K$ s.t. $\alpha \equiv 1 \pmod{m_K^r}$
and $f(\alpha) = \alpha^m - u = 0$.

I.e., $U_K^{(r)} \subseteq \mathcal{O}_K^{x^m}$ for $r >> 0$ dep. on m .
 $\subseteq H$. \square

When $K = \mathbb{F}((t))$ there are finite index $H \leq K^\times$
 - which are not open.

Construction: $V_K^{(1)} \cong \mathbb{Z}_p \times \mathbb{Z}_p \times \dots$

$$-\infty \quad K^\times \cong \mathbb{Z} \times M_{q-1}(K) \times \mathbb{Z}_p \times \mathbb{Z}_p \times \dots$$

Consider projection $\varphi: K^\times \rightarrow \mathbb{F}_p \times \mathbb{F}_p \times \dots$

(cont., open, gp. hom.)

$\rightarrow V$ dense.

$$\mathbb{F}_p \oplus \mathbb{F}_p \oplus \dots$$

no proper closed
intermediate subgroup.

Pick any (proper) intermediate subgroup of finite index, V .

\hookrightarrow cannot be closed.

Then $\varphi^{-1}(V) \leq K^\times$
finite index,
not open:

- otherwise

$V = \varphi(\varphi^{-1}(V))$ would be
open.

$$\text{e.g. } V = \ker(\lambda)$$

$$\mathbb{T}\mathbb{F}_p \xrightarrow{\lambda} \mathbb{F}_p$$

$$\oplus \mathbb{F}_p$$

(any functional),
on uncountable
 $\mathbb{T}\mathbb{F}_p$ -vs.

(pick basis, take a
coordinate)

Exponential map: $\exp(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!}$ convergent iff
 K/\mathbb{Q}_p finite.
 $(\text{char}(K) = 0)$
 $\infty \frac{1}{n!} \in K$.

$$\frac{|x|^n}{|n!|} \longrightarrow 0.$$

i.e.) $v_K(x)n - v_K(n!) \rightarrow \infty$.

Exc (de Polignac)

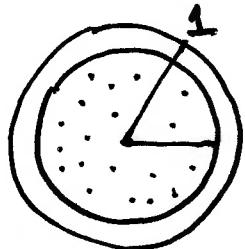
$$v_p(n!) = \sum_{r=1}^{\infty} \left[\frac{n}{p^r} \right] = \frac{n-s}{p-1}, \quad s = \text{sum of } p\text{-adic digits of } n.$$

In particular $v_p(n!) < \frac{n}{p-1}$ for $n \geq 1$.

Therefore, noting $v_K(x) = e \cdot v_p(x)$, $e = e(K/\mathbb{Q}_p)$:

$$v_K(x)n - v_K(n!) > v_K(x)n - \frac{en}{p-1} = n \left(v_K(x) - \frac{e}{p-1} \right).$$

Shows $\exp(x)$ converges for $v_K(x) > \frac{e}{p-1}$.



→ i.e.) in the disk: $|x| < q^{-e/(p-1)}$.
 $(\subseteq \text{unit disk})$ (normalized)
 abs. val.

(*) Summary: $\exp(x)$ defined for $x \in \mathbb{C}_p$ with $|x|_p < p^{-1/(p-1)}$.

Note: The normalized $|\cdot|_K$ is not the one ext. $|\cdot|_p$ on \mathbb{Q}_p !

$$|p|_K = q^{-e} = p^{-n}, \quad n = ef = [K:\mathbb{Q}_p].$$

I.e., $|x|_K = |x|_p^n$ for all $x \in K$.

Furthermore (by exc.): $v_p(n!) \leq \frac{n-1}{p-1}$ for $n \geq 1$.

So, for such n ,

$$v_K\left(\frac{x^n}{n!}\right) = v_K(x)n - v_K(n!) \geq v_K(x)n - e \cdot \frac{n-1}{p-1} = \\ n\left(v_K(x) - \frac{e}{p-1}\right) + \frac{e}{p-1} > v_K(x)$$

[just check $n(\square) > \square$] as long as $\square > 0$ and $n > 1$.

$$\Rightarrow v_K(\exp(x) - (1+x)) > v_K(x)$$

$$\Rightarrow v_K(\exp(x) - 1) = \min_{m \in M} \{ \dots \} = v_K(x).$$

I.e., for $v_K(x) > \frac{e}{p-1}$, $\exp(x) \in 1 + m_K^{v_K(x)}$.

Proposition. Fix an integer $r > \frac{e}{p-1}$. Then

$$\exp: M_K^r \longrightarrow 1 + m_K^r = \cup_K^{(r)}$$

is a continuous homomorphism.

(vary r) \rightarrow Moreover, $|\exp(x) - 1| = |x|$.

Logarithm: $\log(x) = \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n} (x-1)^n$ convergent iff
 $(K/\mathbb{Q}_p \text{ finite})$
 $\frac{1}{n} \in K$.

$$\frac{|x-1|^n}{|n|} \rightarrow 0.$$

i.e.,

Now, as $v_K(n) = e \cdot v_p(n)$, $v_K(x-1)n - v_K(n) \rightarrow \infty$.

$$v_K(x-1)n - v_K(n) \geq v_K(x-1)n - \frac{e}{\log(p)} \cdot \log(n) \quad (*)$$



~ why is $v_p(n) \leq \frac{\log(n)}{\log(p)}$? : [Factor $n = p^{v_p(n)} m \geq p^{v_p(n)}$
 take log.]

(*) $\rightarrow \infty$ as $n \rightarrow \infty$,

provided $v_K(x-1) > 0$, so $\log(x)$ is defined in

the disk $|x-1| < \frac{1}{p}$.

(i.e. for $x \in 1 + \mathfrak{m}_K = \cup_{K'}^{(1)}$)

~ even:
 $(x \in \mathbb{C}_p)$

Furthermore, for $n \geq 1$,

$$\begin{aligned} v_K\left(\frac{1}{n}(x-1)^n\right) &= nv_K(x-1) - v_K(n) \\ &= \underbrace{nv_K(x-1)}_{\geq -e \frac{n-1}{p-1}} - \underbrace{v_K(n!)}_{\geq 0} + v_K((n-1)!) \\ &\geq n v_K(x-1) - e \frac{n-1}{p-1} \end{aligned}$$

$$= n \left(v_K(x-1) - \frac{e}{p-1} \right) + \frac{e}{p-1} > v_K(x-1)$$

[of the form $n(\odot) > \odot$]

• provided $n \geq 2$ and

$$v_K(x-1) - \frac{e}{p-1} > 0.$$

~ Under these assumptions,

$$v_K(\log(x) - (x-1)) > v_K(x-1) \Rightarrow$$

$$v_K(\log(x)) = \min \{ \dots \} = v_K(x-1).$$

I.e., for $v_K(x-1) > \frac{e}{p-1}$, $\log(x) \in m_K^{v_K(x-1)}$.

Prop. Fix an integer $r > \frac{e}{p-1}$. Then

$$\log: U_K^{(r)} = 1 + m_K^r \rightarrow m_K^r$$

is a continuous homomorphism. Moreover, $|\log(x)| = |x-1|$.

Combined: $\forall r > \frac{e}{p-1}$,

$$m_K^r \xrightleftharpoons[\log]{\exp} U_K^{(r)}$$

mutually inverse \cong
of top. groups.

o p-powers: $\forall u = 1 + \pi^r x \in U_K^{(r)}$,

$$u^p = \sum_{s=0}^p \binom{p}{s} \pi^{rs} x^s = 1 + \sum_{s=1}^p (\dots)$$

For $s > 0$, $\pi^{rs} \in M_K^r$ and $\binom{p}{s} \in (p) = M_K^e$
 The p^{th} term ($s=p$): provided $0 < s < p$.

$\pi^{rp} x^p$ also lies in M_K^{r+e} since $rp \geq r+e$

Conclude $u^p \in U_K^{(r+e)}$. (as $r > \frac{e}{p-1}$)

Corollary: For $r > \frac{e}{p-1}$, $u \mapsto u^p$ gives

an isomorphism
 (top. gp's.)

$$U_K^{(r)} \xrightarrow{\sim} U_K^{(r+e)}$$

PROOF.

$$\begin{array}{ccc} U_K^{(r)} & \xrightarrow{u \mapsto u^p} & U_K^{(r+e)} \\ \downarrow \log \exp & & \uparrow \exp \\ M_K^r & \xrightarrow{x \mapsto p^r x} & M_K^{r+e} \end{array}$$

□

Sketch

Corollary $\log: U_K^{(1)} \rightarrow K$ has kernel $\mu_{p\infty}(K)$.

[note: $\mu_{p\infty}(K) \leq U_K^{(1)}$ since $K^\times = \mathbb{Z} \times \underbrace{\mu_{q-1}(K)}_{\mu_p(K)} \times U_K^{(1)}$.]
— more precisely $\mu_{p\infty}(K) = \mu_\infty(K) \cap U_K^{(1)}$.

PROOF. First suppose $u \in U_K^{(1)}$ and $u^N = 1$, some $N \geq 1$. Then,
 $N \cdot \log(u) = 0$, so $\log(u) = 0$.

— Second, suppose $u \in U_K^{(1)}$ and $\log(u) = 0$.

$m := [U_K^{(1)} : U_K^{(r)}]$ for some choice of $r > \frac{e}{p-1}$.
(p -power).

Since $u^m \in U_K^{(r)}$ and $\log(u^m) = m \log(u) = 0$,
bijectivity of \log for such r tells us $u^m = 1$. \square

— Argument also shows: $\mu_{p\infty}(K) = \mu_{pr}(K)$ with $r > \frac{e}{p-1}$ (minimal).

K/\mathbb{Q}_p .

Note: $U_K^{(r)}$ is a (multiplicative) \mathbb{Z}_p -module, via
 $w^s = (1 + \pi x)^s = \sum_{t=0}^{\infty} \binom{s}{t} \pi^{rs} x^s$
for $s \in \mathbb{Z}$. Here $\binom{s}{t} = \frac{1}{t!} s(s-1)\dots(s-t+1) \in \mathbb{Z}_p$.
 \Rightarrow convergent.

find $s_n \rightarrow s$
with $s_n \in \mathbb{N}$

Local Unit Thm: $U_K^{(1)} \cong M_{p^\infty}(K) \times \mathbb{Z}_p^{[K:\mathbb{Q}_p]}$

PROOF. Found

finite cyclic
p-gp.

$$\text{log: } U_K^{(1)} / M_{p^\infty}(K) \hookrightarrow m_K \cong \mathcal{O}_K \cong \mathbb{Z}_p^{[K:\mathbb{Q}_p]}.$$

(\mathbb{Z}_p -linear) ~ Since \mathbb{Z}_p is PID, $U_K^{(1)} / M_{p^\infty}(K)$ is free

On the other hand, of rank $\leq [K:\mathbb{Q}_p]$ over \mathbb{Z}_p .

$$U_K^{(r)} \hookrightarrow U_K^{(1)} / M_{p^\infty}(K)$$

for $r > \frac{e}{i-1}$ [in this range $U_K^{(r)} \cong m_K^r = \pi^r \mathcal{O}_K \cong \mathcal{O}_K$ free]
of rank $[K:\mathbb{Q}_p]$.

In conjunction,

$U_K^{(1)} / M_{p^\infty}(K)$ is free/ \mathbb{Z}_p of rank $[K:\mathbb{Q}_p]$.

From structure thm. for f.g. \mathbb{Z}_p -mod.,

$$U_K^{(1)} \cong \underbrace{(\text{finite p-gp.})}_{M_{p^\infty}(K)} \times \mathbb{Z}_p^{[K:\mathbb{Q}_p]} \quad \square$$

~ Found $U_K^{(r)} \cong m_K^r \cong \mathcal{O}_K \cong \mathbb{Z}_p^{[K:\mathbb{Q}_p]}$ for $r > \frac{e}{p-1}$.
 basis?

Recall: $U_K^{(r)} \xrightarrow{\sim} U_K^{(r+e)}$

with

$$\dim_{\mathbb{F}_p} \frac{U_K^{(r)}}{U_K^{(r+e)}} = n = [K:\mathbb{Q}_p].$$

Choose \mathbb{F}_p -basis $\{\bar{u}_1, \dots, \bar{u}_n\}$,
 where all $u_i \in U_K^{(r)}$.

in particular

$U_K^{(r)}/U_K^{(r+e)}$ is an
 \mathbb{F}_p -vector space
 (mult.)

of cardinality $q^e = p^n$
 ($n = e = [K:\mathbb{Q}_p]$)

Thm. $\{u_1, \dots, u_n\}$ is a \mathbb{Z}_p -basis for $U_K^{(r)}$.

(i.e., $u = u_1^{s_1} \dots u_n^{s_n}$ uniquely, $s_i \in \mathbb{Z}_p$).

PROOF. Introduce notation $M := U_K^{(r)}$, $N := \mathbb{Z}^n$
 $f: N \rightarrow M$ additive

$$(s_1, \dots, s_n) \mapsto u_1^{s_1} \dots u_n^{s_n} = \sum_{i=1}^n s_i u_i$$

isomorphism?

Note: $M/pM = U_K^{(r)}/U_K^{(r+e)}$ since $r > \frac{e}{p-1}$.

Claim: $N/p^i N \longrightarrow M/p^i M$ isomorphism $\forall i > 0$.

($i=1$: $\mathbb{F}_p^n \xrightarrow{\sim} M/pM$ since $\{\bar{u}_i\}$ basis)

Induction: Apply "snake lemma" to the diagram

$$\begin{array}{ccccccc}
 0 & \rightarrow & N/p^iN & \xrightarrow{p^i} & N/p^{i+1}N & \rightarrow & N/p^iN \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & M/p^iM & \xrightarrow{p^i} & M/p^{i+1}M & \rightarrow & M/p^iM \rightarrow 0
 \end{array}$$

(know M free/ \mathbb{Z}_p).

Finally pass to completions,

$$f: M = \varprojlim M/p^iM \xrightarrow{\sim} N = \varprojlim N/p^iN \quad \square$$

Ex. $K = \mathbb{Q}_p$ with $p > 2$. (Here $\frac{e}{p-1} = \frac{1}{p-1} < 1$ so $r = 1$ works)
 \mathbb{F}_p -vs., $U_{\mathbb{Q}_p}^{(1)}/U_{\mathbb{Q}_p}^{(2)} \xrightarrow{\sim} \mathbb{F}_p$. — no M_p as in \mathbb{Q}_p .
 $[1+p^r x] \mapsto \bar{x}$

may take $u = 1+p$, \mathbb{Z}_p -basis for $U_{\mathbb{Q}_p}^{(1)}$.

Thus,

$$\mathbb{Q}_p^\times = p^\mathbb{Z} \times \mu_{p-1}(\mathbb{Q}_p) \times (1+p)^{\mathbb{Z}_p}.$$

[When $p=2$, $\frac{e}{p-1}=1$ so need $r=2$. As above]

$U_{\mathbb{Q}_2}^{(2)}/U_{\mathbb{Q}_2}^{(3)} \cong \mathbb{F}_2$. Get \mathbb{Z}_2 -basis $u = 1+2^2=5$ for $U_{\mathbb{Q}_2}^{(2)}$.
 $[1+2^2 x] \mapsto \bar{x}$

Leopoldt Conjecture: F/\mathbb{Q} number field, $p = \text{prime}$

$\forall \beta \mid p$ consider $\cup_{F_\beta}^{(1)}$.

- embed global units:

$$\phi: \mathcal{O}_F^\times \xrightarrow{\text{diag}} \prod_{\beta \mid p} \mathcal{O}_{F_\beta}^\times.$$

Let

$$G = \phi^{-1}\left(\prod_{\beta \mid p} \cup_{F_\beta}^{(1)}\right). \quad \text{finite index} \leq \mathcal{O}_F^\times,$$

closure:

so f.g. of rank
 $r_1 + r_2 - 1$.

$$\overline{\phi(G)} \leq \prod_{\beta \mid p} \cup_{F_\beta}^{(1)}$$

\mathbb{Z}_p — submodule.

$$\text{Conj(Leopoldt): } \text{rank}_{\mathbb{Z}_p} \overline{\phi(G)} = r_1 + r_2 - 1.$$

(ok for abelian ext. F/\mathbb{Q})