

## Lemma 5.5 of Chapter 0:

Let  $h: (-\delta, \delta) \times U \rightarrow \mathbb{R}$  be a differentiable map where  $\delta > 0$  and  $U \subset M$  is open.

Suppose  $h(0, q) = 0 \quad \forall q \in U$ . Then  $\exists$  a differentiable map  $g: (-\delta, \delta) \times U \rightarrow \mathbb{R}$  s.t.  $h(t, q) = tg(t, q) \quad \forall (t, q) \in (-\delta, \delta) \times U$ .

In particular,  $g(0, q) = \frac{\partial h}{\partial t}(0, q)$ .

---

We will apply the lemma with  $U = \phi$ .

We can apply the lemma to  $J_1, \dots, J_{n-1}$ .

If  $t \neq 0$ , we can just define  $A_i = \frac{1}{t} J_i$ .

First extend the geodesic to

$$(-\delta, a] \rightarrow M$$

then restrict to  $(-\delta, \delta)$

Choose  $\delta$  small enough so that the image of  $(-\delta, \delta)$  is contained in a coordinate neighborhood  $U_{\gamma(0)}$  of  $\gamma(0)$ .

Then, we can write  $J_i = \sum_{j=1}^n \lambda_j \frac{\partial}{\partial x_j}$

apply Lemma 5.5 to  $\lambda_j \quad \forall i$  to obtain

$J_i = t A_i$  with  $A_i$  a differentiable vector field along  $\gamma$  s.t.

$$\left. \frac{D J_i}{dt} \right|_{t=0} = A_i(0).$$

So  $A_1(0), \dots, A_{n-1}(0)$  are linearly independent. Furthermore  $J_i(t) \perp \gamma'(t)$

$\forall i$ . So  $A_i(t) \perp \gamma'(t) \forall t \neq 0$ .

$\Rightarrow A_i(t) \perp \gamma'(t) \forall t$  by continuity.

So  $\{A_1(t), \dots, A_{n-1}(t)\}$  form a basis

of  $\gamma'(t)^\perp \forall t$  and we can write

$$v(t) = \sum_{i=1}^{n-1} g_i(t) A_i(t) \text{ where}$$

$g_i(t)$  are piecewise differentiable.

$$v(0) = 0 \Rightarrow g_i(0) = 0 \forall i$$

We can apply Lemma 5.5 of Chapter 0

to  $g_1, \dots, g_{n-1}$  to obtain  $f_1(t), \dots, f_{n-1}(t)$

s.t.  $g_i(t) = t f_i(t)$  and  $g_i'(0) = f_i(0)$ .

$$\text{So } V(t) = \sum_{i=1}^{n-1} f_i(t) A_i(t) \quad \forall t$$

$$V(t) = \sum_{i=1}^{n-1} f_i(t) J_i(t) \quad \forall t \in [0, a]$$

Now:

$$\left\langle \frac{DV}{dt}, \frac{DV}{dt} \right\rangle - \langle R(\delta', V)\delta', V \rangle =$$

$$\left\langle \sum_{i=1}^{n-1} f_i' J_i + \sum_{i=1}^{n-1} f_i \frac{DJ_i}{dt}, \sum_{j=1}^{n-1} f_j' J_j + \sum_{j=1}^{n-1} f_j \frac{DJ_j}{dt} \right\rangle$$

$$- \left\langle \sum_{i=1}^{n-1} f_i R(\delta, J_i)\delta', \sum_{j=1}^{n-1} f_j J_j \right\rangle$$

$$= \sum_{i,j} f_i' f_j' \langle J_i, J_j \rangle + \sum_{i,j} f_i f_j \left\langle \frac{DJ_i}{dt}, \frac{DJ_j}{dt} \right\rangle$$

$$+ \sum_{i,j} f_i f_j' \left\langle \frac{DJ_i}{dt}, J_j \right\rangle + \sum_{i,j} f_i' f_j \left\langle J_i, \frac{DJ_j}{dt} \right\rangle$$

$$+ \sum_{i,j} f_i f_j \left\langle \frac{D^2 J_i}{dt^2}, J_j \right\rangle$$

We are going to write the last line as part of a derivative. Since

$$f_i \frac{D^2 J_i}{dt^2} = \frac{D}{dt} \left( f_i \frac{DJ_i}{dt} \right) - f_i' \frac{DJ_i}{dt}, \text{ the}$$

only possibility is the derivative

$$\frac{d}{dt} \left\langle \sum f_i \frac{DJ_i}{dt}, \sum f_j J_j \right\rangle$$

Now we compute this derivative and compare with the previous sum.

$$\begin{aligned} &\rightarrow = \left\langle \frac{D}{dt} \sum f_i \frac{DJ_i}{dt}, \sum f_j J_j \right\rangle \\ &\quad + \left\langle \sum f_i \frac{DJ_i}{dt}, \frac{D}{dt} \sum f_j J_j \right\rangle \\ &= \left\langle \sum f_i' \frac{DJ_i}{dt} + \sum f_i \frac{D^2 J_i}{dt^2}, \sum f_j J_j \right\rangle \end{aligned}$$

$$+ \left\langle \sum f_i \frac{DJ_i}{dt}, \sum f_j' J_j + \sum f_j \frac{DJ_j}{dt} \right\rangle$$

These all appear in the previous sum

except  $\left\langle \sum f_i' \frac{DJ_i}{dt}, \sum f_j J_j \right\rangle$

instead we have  $\left\langle \sum f_i' J_i, \sum f_j \frac{DJ_j}{dt} \right\rangle$

the difference is

$$\sum f_i' f_j \left( \left\langle \frac{DJ_i}{dt}, J_j \right\rangle - \left\langle J_i, \frac{DJ_j}{dt} \right\rangle \right)$$

Put  $h_{ij}(t) := \left\langle \frac{DJ_i}{dt}, J_j \right\rangle - \left\langle J_i, \frac{DJ_j}{dt} \right\rangle$

we have  $h_{ij}(0) = 0$

$$h'_{ij} = \frac{d}{dt} \left( \left\langle \frac{DJ_i}{dt}, J_j \right\rangle - \left\langle J_i, \frac{DJ_j}{dt} \right\rangle \right)$$

$$= \left\langle \frac{D^2 J_i}{dt^2}, J_j \right\rangle - \left\langle J_i, \frac{D^2 J_j}{dt^2} \right\rangle$$

$$= - \left\langle R(\delta', J_i) \delta', J_j \right\rangle + \left\langle J_i, R(\delta', J_j) \delta' \right\rangle$$

by symmetry.

$\Rightarrow h_{ij}$  is constant

$\Rightarrow h_{ij} = 0$  because  $h_{ij}(0) = 0$

$$S_0 \left\langle \frac{DJ_i}{dt}, J_j \right\rangle = \left\langle J_i, \frac{DJ_j}{dt} \right\rangle \forall i, j$$

$$S_0 \left\langle \frac{DV}{dt}, \frac{DV}{dt} \right\rangle - \left\langle R(v', v) \delta', v \right\rangle =$$

$$= \frac{d}{dt} \left\langle \sum f_i \frac{DJ_i}{dt}, \sum f_j J_j \right\rangle + \sum f_i' f_j' \langle J_i, J_j \rangle$$

and

$$I_{t_0}(v, v) = \left\langle \sum f_i \frac{DJ_i}{dt}, \sum f_j J_j \right\rangle (t_0) + \int_0^{t_0} \left\langle \sum f_i' J_i, \sum f_j' J_j \right\rangle dt$$

$$I_{t_0}(J, J) = \left\langle \sum a_i \frac{DJ_i}{dt}(t_0), \sum a_j J_j(t_0) \right\rangle$$

$a_i = f_i(t_0)$  because  $v(t_0) = J(t_0)$

$$\begin{aligned} & \int_0^{t_0} I_{t_0}(V, V) - I_{t_0}(J, J) = \\ & = \int_0^{t_0} \langle \sum f'_i J_i, \sum f'_j J_j \rangle dt \\ & = \int_0^{t_0} \left| \sum f'_i J_i \right|^2 dt \geq 0 \end{aligned}$$

This is 0 iff  $\sum f'_i J_i \equiv 0$

$\Rightarrow f'_i \equiv 0 \quad \forall i \quad \Rightarrow f_i$  is constant  $\forall i$   
 $f_i(t) = f_i(t_0) = a_i$

$\Rightarrow V = J$  on  $[0, t_0]$  □

Rauch's theorem: Suppose  $M$  and  $\tilde{M}$  are Riemannian manifolds of dim.  $n$  &  $n+k$  resp.

Suppose given a geodesic  $\gamma: [0, a] \rightarrow M$  and a geodesic  $\tilde{\gamma}: [0, a] \rightarrow \tilde{M}$  s.t.  $|\dot{\gamma}(t)| = |\dot{\tilde{\gamma}}(t)|$ .

Let  $J$  and  $\tilde{J}$  be Jacobi fields along  $\gamma$  &  $\tilde{\gamma}$  resp. s.t.  $J(0) = \tilde{J}(0) = 0$ ,  $\left| \frac{DJ}{dt}(0) \right| = \left| \frac{D\tilde{J}}{dt}(0) \right|$

and  $\left\langle \frac{DJ}{dt}(0), \dot{\gamma}(0) \right\rangle = \left\langle \frac{D\tilde{J}}{dt}(0), \dot{\tilde{\gamma}}(0) \right\rangle$ .

Assume that  $\tilde{\gamma}$  has no conjugate points on  $(0, a]$  and  $\forall t, \forall x \in T_{\gamma(t)}M, \tilde{x} \in T_{\tilde{\gamma}(t)}\tilde{M}$

$\tilde{K}(\tilde{x}, \dot{\tilde{\gamma}}(t)) \geq K(x, \dot{\gamma}(t))$

where  $K$  and  $\tilde{K}$  are the sectional