

with $\tilde{J}_s(0) = 0$, $\frac{D\tilde{J}_s}{dt}(0) = (i_0 \bar{c})'(s)$.

Then $\left| \frac{DJ_s}{dt}(0) \right| = |\bar{c}'(s)| = |(i_0 \bar{c})'(s)| = \left| \frac{D\tilde{J}_s}{dt}(0) \right|$

$J_s(t)$ is a Jacobi field along the geodesic

$\gamma_s(t) = \exp_p(t \bar{c}(s))$ and $\tilde{J}_s(t)$ is a

Jacobi field along the geodesic

$\tilde{\gamma}_s(t) = \exp_{\tilde{p}}(t(i_0 \bar{c})(s))$

$$\left\langle \frac{DJ_s}{dt}(0), \gamma_s'(0) \right\rangle = \langle \bar{c}'(s), \gamma_s'(0) \rangle$$

$$= \langle i_0 \bar{c}'(s), i_0 \gamma_s'(0) \rangle$$

because i is linear and an isometry

$$= \left\langle \frac{D\tilde{J}_s}{dt}(0), \tilde{\gamma}_s'(0) \right\rangle$$

Now apply Rauch's theorem to obtain

$$|\tilde{J}_s| \leq |J_s|$$

Note: $J_s(t) = \frac{\partial f}{\partial s}(s, t) = d(\exp_p)_{t\bar{c}(s)}(t\bar{c}'(s))$

$$\Rightarrow J_s(1) = d(\exp_p)_{\bar{c}(s)}(\bar{c}'(s)) = c'(s)$$

because $c(s) = \exp_p(\bar{c}(s))$

Similarly: $\tilde{J}_s(t) = \tilde{c}'(s) \forall s$

$$\text{So } |\tilde{c}'(s)| \leq |c'(s)| \quad \forall s$$

$$\Rightarrow l(\tilde{c}) = \int_0^a |\tilde{c}'(s)| dt \leq \int_0^a |c'(s)| dt = l(c)$$

□

Chapter 11: The Morse index theorem

We want to count the conjugate points along a geodesic.

Let M be a Riemannian manifold and $\gamma: [0, a] \rightarrow M$ a geodesic.

Denote $\mathcal{V} :=$ the vector space of all piecewise differentiable vector fields V along γ with $V(0) = V(a) = 0$

On \mathcal{V} we define a symmetric bilinear form $I = I_a$ as follows:

$$I(V, W) := \int_0^a \left\langle \frac{DV}{dt}, \frac{DW}{dt} \right\rangle - \langle R(\gamma', V)\gamma', W \rangle dt$$

Definition: The index of I is

$$i(I) = \sup \left\{ \dim S \mid S \subset \mathcal{V} \text{ is of finite dim and } I|_S \text{ is negative definite} \right\}$$

Definition: The kernel or null space

of I is the subspace

$$\text{Ker } I := \{ v \in \mathcal{V} \mid I(v, w) = 0 \ \forall w \in \mathcal{V} \}$$

The nullity or corank of I is the dimension of $\text{Ker } I$.

We say I is nondegenerate if $\text{Ker } I = \{0\}$ (degenerate if not).

Remark: If \mathcal{V} had finite dimension and we represent I by a square matrix A , then $\text{Ker } I = \text{Ker } A$.

Our goal in this chapter is to prove

The Morse Index Theorem:

The index of I is finite and equal to the number of points of γ conjugate

to $\gamma(0)$ (other than $\gamma(0), \gamma(a)$)
 counted with multiplicities:

If $\gamma(t_1), \dots, \gamma(t_m)$ are the conjugate
 points with $0 < t_1 < \dots < t_m < a$
 with multiplicities n_1, \dots, n_m , then the
 index of I is $\sum_{i=1}^m n_i$.

Proposition: $V \in \text{Ker } I \Leftrightarrow V$ is a
 Jacobi field along γ .

Proof: $I(V, W) = \int_0^a \left(\left\langle \frac{DV}{dt}, \frac{DW}{dt} \right\rangle - \langle R(\gamma', V)\gamma', W \rangle \right) dt$

We have:

$$\frac{d}{dt} \left\langle \frac{DV}{dt}, W \right\rangle = \left\langle \frac{D^2V}{dt^2}, W \right\rangle + \left\langle \frac{DV}{dt}, \frac{DW}{dt} \right\rangle$$

$$\int_0^a \left\langle \frac{DV}{dt}, \frac{DW}{dt} \right\rangle dt = \int_0^a \frac{d}{dt} \left\langle \frac{DV}{dt}, W \right\rangle dt$$

$$- \int_0^a \left\langle \frac{D^2V}{dt^2}, W \right\rangle dt$$

Let t_1, \dots, t_k be the points where V
 fails to be differentiable.

$$\int_0^a \left\langle \frac{DV}{dt}, \frac{DW}{dt} \right\rangle dt = \sum_{i=0}^k \left[\left\langle \frac{DV}{dt}, W \right\rangle \right]_{t_i}^{t_{i+1}}$$

$t_0 := 0, t_{k+1} := a$

$$- \int_0^a \left\langle \frac{D^2V}{dt^2}, W \right\rangle dt$$

$$= - \left\langle \frac{DV}{dt}(t_k^+), W(t_k) \right\rangle + \left\langle \frac{DV}{dt}(t_k^-), W(t_k) \right\rangle$$

$$- \left\langle \frac{DV}{dt}(t_{k-1}^+), W(t_{k-1}) \right\rangle + \dots + \left\langle \frac{DV}{dt}(t_1^-), W(t_1) \right\rangle$$

$$- \int_0^a \left\langle \frac{D^2V}{dt^2}, W \right\rangle dt$$

$$= \sum_{i=1}^k \left\langle \frac{DV}{dt}(t_i^-) - \frac{DV}{dt}(t_i^+), W(t_i) \right\rangle$$

$$- \int_0^a \left\langle \frac{D^2V}{dt^2}, W \right\rangle dt$$

Hence $I(V, W) =$

$$\sum_{i=1}^k \left\langle \frac{DV}{dt}(t_i^-) - \frac{DV}{dt}(t_i^+), W(t_i) \right\rangle$$

$$+ \int_0^a \left\langle -\frac{D^2V}{dt^2} - R(\gamma', V)\gamma', W \right\rangle dt$$

So if V is a Jacobi field, $I(V, W) = 0$
 $\forall W \in \mathcal{Q}$

Now assume $I(V, W) = 0 \quad \forall W \in \mathcal{V}$.

Let t_1, \dots, t_k again be the points where V fails to be differentiable.

Let $f(t)$ be a differentiable function on $[0, a]$ s.t. $f(t_i) = 0 \quad \forall i$ and $f(t) > 0 \quad \forall t \neq t_i$.

Choose $W(t) = f(t) \left(\frac{D^2 V}{dt^2} + R(x', V)x' \right)$

Then $I(V, W) = - \int_0^a f(t) \left| \frac{D^2 V}{dt^2} + R(x', V)x' \right|^2 dt$

$\Rightarrow \frac{D^2 V}{dt^2} + R(x', V)x' = 0$ away from the

Now choose W to be a vector field s.t.

$W(t_i) = \frac{DV}{dt}(t_i^+) - \frac{DV}{dt}(t_i^-)$, then

$I(V, W) = - \sum_{i=1}^k \left| \frac{DV}{dt}(t_i^-) - \frac{DV}{dt}(t_i^+) \right|^2 = 0$

$\Rightarrow \frac{DV}{dt}(t_i^-) = \frac{DV}{dt}(t_i^+) \quad \forall i \Rightarrow V$ is diff.

$\Rightarrow V$ is C^∞ by the uniqueness of solutions of ODE. \square