

MODEL ANSWERS TO HWK #2

2.14. (a) We first show that the intersection of the homogeneous prime ideals is the set of nilpotent elements of S . Indeed, the intersection of the homogeneous prime ideals certainly contains all the nilpotent elements. Suppose $s \in S$ is not nilpotent. It remains to find a homogeneous prime ideal which does not contain s . As the ideal generated by the nilpotent elements is homogeneous we may assume that s is homogeneous. Pick a maximal homogeneous ideal \mathfrak{p} which does not contain s . Then \mathfrak{p} is a homogeneous prime ideal which does not contain s . Now $\text{Proj } S$ is empty if and only if every homogeneous prime ideal contains S_+ . So $\text{Proj } S$ is empty if and only if every element of S_+ is nilpotent.

(b) Let \mathfrak{a} be the homogeneous ideal generated by $\phi(S_+)$. Then $U = \text{Proj } T - V(\mathfrak{a})$ and so U is open. If $g \in S$ is homogeneous then $\phi: S \rightarrow T$ induces a ring homomorphism $\phi_{(g)}: S_{(g)} \rightarrow T_{(\phi(g))}$. This defines a morphism $\text{Spec } T_{(\phi(g))} \rightarrow \text{Spec } S_{(g)}$ whence, by composition, a morphism $\text{Spec } T_{(\phi(g))} \rightarrow \text{Proj } S$. On the other hand, the sets $\text{Proj } T - V(\phi(g))$ form an open cover of U . As these morphisms are clearly compatible on overlaps, this induces a morphism

$$f: U \rightarrow X = \text{Proj } S.$$

(c) Suppose that \mathfrak{p} is a homogeneous prime ideal which contains $\phi(S_+)$. Then \mathfrak{p} contains T_d , for all $d \geq d_0$. Suppose that $g \in T_d$, $d \geq 1$. Then $g^k \in T_{kd}$ and for k large enough $g^k \in \mathfrak{p}$. But then $g \in \mathfrak{p}$ and $\mathfrak{p} \supset T_+$. So $U = \text{Proj } T$.

Suppose that $g \in S$ is homogeneous of degree $d \geq d_0$. Consider the ring homomorphism:

$$\phi_{(g)}: S_{(g)} \rightarrow T_{(\phi(g))}.$$

Let $h = \phi(g)$. Suppose that $b/h^k \in T_{(h)}$. Then $b \in T_{dk}$. Pick $a \in S_{dk}$ such that $\phi(a) = b$. Then $\phi_{(g)}(a/g^k) = b/h^k$ and so $\phi_{(g)}$ is surjective. Suppose that a/g^k maps to zero, for some $k > 0$. Then $h^l \phi(a) = 0$, in $T_{(k+l)d}$ and it follows that $g^l a = 0$ in $S_{(k+l)d}$. Thus $\phi_{(g)}$ is a ring isomorphism.

Now suppose that g is any homogeneous element of S . Then g^k is also homogeneous and if k is sufficiently large then g^k has degree at least d_0 , and $V(g) = V(g^k)$. Thus open sets of the form $\text{Proj } S - V(g)$ and $\text{Proj } T - V(g)$ cover $\text{Proj } S$ and $\text{Proj } T$, where g has degree at least d_0 . It follows that f is an isomorphism.

It remains to find an example of this phenomena. Let

$$S = k[X, Y]/\langle X^2, XY, Y^2 \rangle$$

and let $T = k[X, Y]/\langle X, Y \rangle$. Then there is a natural ring homomorphism

$$\phi: S \longrightarrow T.$$

This map is not an isomorphism but ϕ_d is an isomorphism of vector spaces unless $d = 1$ (indeed it is the zero map between vector spaces of dimension zero, as soon as $d \geq 2$). In fact more generally take any projective variety $X \subset \mathbb{P}^n$, let $J = I(X)$ be the homogeneous ideal of X and let I be any ideal which cuts out X scheme theoretically. Let $R = k[X_0, X_1, \dots, X_n]$, $S = R/J$ and $T = R/I$.

(d) Suppose that $V \subset \mathbb{P}^n$. Then $V_i = V \cap U_i$ forms an open affine cover of V , where U_i is the standard affine open subset of \mathbb{P}^n . Then $t(U_i)$ forms an open cover of V . We have already seen that $t(U_i) = \text{Spec } A_i$, where A_i is the coordinate ring of V_i . But $A_i = S_{(X_i)}$. It follows that there is a natural isomorphism

$$f'_i: t(U_i) \longrightarrow \text{Proj}(S) - V(X_i),$$

and by composition we get a morphism,

$$f_i: t(U_i) \longrightarrow \text{Proj}(S).$$

As these morphisms are compatible on overlaps, we get a morphism

$$f: t(V) \longrightarrow \text{Proj}(S).$$

Clearly we may also define a morphism

$$g: \text{Proj}(S) \longrightarrow t(V),$$

using the same argument. As f and g are inverse morphisms, f is an isomorphism.

3.6 Let $U = \text{Spec } A$ be any open affine subscheme. Then $\xi \in U$ and so ξ corresponds to a prime ideal of A , which must be the zero ideal, or else ξ would not be the generic point. But then

$$\mathcal{O}_{X, \xi} \simeq A_{(0)} = K,$$

where K is the field of fractions of A .

3.8 We first check that if $X = \text{Spec } A$ is an integral affine scheme then X is normal if and only if A is integrally closed. Let K be the field of fractions of A .

Suppose first that X is normal. Let $u \in K$ be integral over A . Let $p \in X$ be a point. Then u is integral over $\mathcal{O}_{X, p}$. As X is normal, $\mathcal{O}_{X, p}$ is integrally closed, so that $u \in \mathcal{O}_{X, p}$. As a function is regular if and

only if it is regular at every point, we have $u \in \mathcal{O}_X(X) = A$. Thus A is integrally closed.

Suppose that A is integrally closed. Let $u \in K$ be integral over $\mathcal{O}_{X,p}$. By assumption we may find $\alpha_i \in \mathcal{O}_{X,p}$ such that u is a root of the monic polynomial

$$t^n + \alpha_{n-1}t^{n-1} + \cdots + \alpha_1t + \alpha_0.$$

p corresponds to a prime ideal \mathfrak{p} of A , by definition, and

$$\mathcal{O}_{X,p} \simeq A_{\mathfrak{p}}.$$

Thus we may find $a_i \in A$ and $f_i \notin \mathfrak{p}$ such that

$$\alpha_i = \frac{a_i}{f_i}.$$

Let f be the product of f_0, f_1, \dots, f_{n-1} and let $v = uf$. Multiplying through by f^n , we get

$$\begin{aligned} & v^n + f\alpha_{n-1}vf^{n-1} + \cdots + f^{n-1}\alpha_1v + \alpha_0 \\ &= f^n u^n + f^n \alpha_{n-1}(u)^{n-1} + \cdots + f^n \alpha_1 u + \alpha_0 \\ &= 0. \end{aligned}$$

As $f^i \alpha_{n-i} \in A$, it follows that v is integral over A . As A is integrally closed, $v \in A$. But $f \notin \mathfrak{p}$ and so $u = v/f \in \mathcal{O}_{X,p}$. Therefore $\mathcal{O}_{X,p}$ is integrally closed, so that X is normal.

Now we check the patching condition. Suppose that U and V are two affine open subschemes of X . Let $\tilde{U} = \text{Spec } \tilde{A}$ and $\tilde{V} = \text{Spec } \tilde{B}$. We have to exhibit a canonical isomorphism

$$\phi: U' \longrightarrow V',$$

where U' is the inverse image of $U \cap V$ in \tilde{U} and V' is the inverse image of $U \cap V$ in \tilde{V} .

Since it suffices to construct a canonical morphism on an open cover, we may assume that U and V are open affines of a common affine scheme $W = \text{Spec } C$ and that $A = C_f$ and $B = C_g$, where f and g belong to C . It suffices to check that if \tilde{A} is the integral closure of A , then \tilde{A}_f is the integral closure of A_f . It is clear that any element of \tilde{A}_f is integral over A_f . Indeed if $a/f^k \in \tilde{A}_f$, where $a \in \tilde{A}$ satisfies the monic polynomial

$$x^n + a_{n-1}x^{n-1} + \cdots + a_0,$$

then a/f^k satisfies the monic polynomial

$$x^n + b_{n-1}x^{n-1} + \cdots + b_0,$$

where $b_i = a_i/f^{n(k-i)}$. On the other hand if u belong to the integral closure of A_f , then u is a root of a monic polynomial

$$x^n + b_{n-1}x^{n-1} + \cdots + b_0,$$

where each $b_i \in A_f$. Clearing denominators, it follows that $a = f^l u \in \tilde{A}$, for an appropriate power of f . Thus one can glue the schemes \tilde{U} together to get a scheme \tilde{X} . The inclusion $A \rightarrow \tilde{A}$ induces a morphism of schemes $\tilde{U} \rightarrow U$, whence a morphism of schemes $\tilde{U} \rightarrow X$. Arguing as before, these morphisms agree on overlaps. It follows that there is an induced morphism $\tilde{X} \rightarrow X$.

Now suppose that there is a dominant morphism of schemes $Z \rightarrow X$, where Z is normal. This induces a dominant morphism $Z_U \rightarrow U$, where U is an open affine subscheme and Z_U is the inverse image of U . Thus it suffices to prove the universal property of $X = \text{Spec } A$ in the case when X is affine. Covering Z by open affines, it suffices to prove this result when $Z = \text{Spec } B$ is affine.

Note that as $Z \rightarrow X$ is dominant then the induced ring homomorphism $A \rightarrow B$ is injective. Let L be the function field of Z so that L is the field of fractions of B . Then there is an induced field homomorphism $K \rightarrow L$. If $\tilde{X} = \text{Spec } \tilde{A}$, so that \tilde{A} is the integral closure of A , then $A \subset \tilde{A} \subset K$ and there is an induced ring homomorphism $\tilde{A} \rightarrow L$. As Z is normal, B is integrally closed. On the other hand, any element of the image is obviously integral over the image of A , and so integral over B . But then the image of \tilde{A} lies in B , as B is integrally closed. This induces a natural morphism $Z \rightarrow \tilde{X}$, which factors $\tilde{X} \rightarrow X$.

Suppose that X is of finite type. Clearly we may assume that $X = \text{Spec } A$ is affine. We are reducing to showing that the integral closure \tilde{A} of a finitely generated k -algebra A , is a finitely generated A -module. But this is a well-known result in algebra.

3.9 (a)

$$\mathbb{A}_k^2 = \text{Spec } k[x, y] = \text{Spec}(k[x] \otimes_k k[y]) = \mathbb{A}_k^1 \times_k \mathbb{A}_k^1.$$

The points of \mathbb{A}_k^1 consist of the maximal ideals \mathfrak{m}_a and the generic point ξ . The points of the product of sets are then ordered pairs $(\mathfrak{m}_a, \mathfrak{m}_b)$, with closure $\{(\mathfrak{m}_a, \mathfrak{m}_b)\}$, (\mathfrak{m}_a, ξ) , with closure

$$\{(\mathfrak{m}_a, \mathfrak{m}_b) \mid b \in k\} \cup \{(\mathfrak{m}_a, \xi)\},$$

(ξ, \mathfrak{m}_b) with closure

$$\{(\mathfrak{m}_a, \mathfrak{m}_b) \mid a \in k\} \cup \{(\xi, \mathfrak{m}_b)\},$$

and (ξ, ξ) , whose closure is the whole space. Let $\eta = \langle xy - 1 \rangle$. Then η is a prime ideal, whose closure is the set

$$\{(\mathfrak{m}_a, \mathfrak{m}_b) \mid ab = 1\} \cup \{\eta\}.$$

Thus η is not a point of the product of the two sets.

(b) Let $X = \text{Spec } k(s) \times_k k(t)$. As there is a morphism

$$\text{Spec } k(t) \longrightarrow \text{Spec } k[t] \quad \text{induced by} \quad k[t] \longrightarrow k(t),$$

there is a morphism

$$X \longrightarrow \mathbb{A}_k^2.$$

Let U be the image. $k(s) \otimes_k k(t)$ is the localisation of $k[s, t]$ of the multiplicative set S generated by the irreducible polynomials in s and t . It follows that X is isomorphic to U . U is obtained by throwing out every closed point, and every line parallel to either axis. Equivalently the points of U the generic point \mathbb{A}_k^2 and the generic point of every irreducible curve except the x -axis or y -axis.

3.11 (a) We first check this in the special case when $X' \longrightarrow X$ is an open immersion. In this case the image of Y' is clearly closed, the restricted morphism is a homeomorphism and surjectivity of $\mathcal{O}_{X'} \longrightarrow f_* \mathcal{O}_{Y'}$ is clear. In particular, it is easy to deduce that f is a closed immersion if and only if there is a cover by open immersions $X' \longrightarrow X$ (meaning simply that X is the union of the images) such that f' is a closed immersion, for every open set of the cover.

So to check the general case, we may assume that $X = \text{Spec } A$ is affine. Let $V \subset Y$ be an open affine subset of Y . We may find an open subset $U \subset X$ such that $f^{-1}(U) = V$. Then we may find a regular function f on X (or better $f \in A$) such that $U_f \subset U$. Then $f^{-1}(U_f)$ is an open affine subset of V . Since U_f cover U , we may assume that X and $Y = \text{Spec } B$ are both affine. In this case B is a quotient of A . Finally we may assume that $X' = \text{Spec } A'$ is affine. Since $B' = B \otimes_A A'$ is a quotient of A' , f' is indeed a closed immersion.

(b) Pick an open affine cover $\{Y_\alpha\}$ of Y . Then there is an open subset X_α of X such that $Y_\alpha = Y \cap X_\alpha$. We may find f_i such that for every α there is an index i such that $U_{f_i} \subset X_\alpha$. Then $U_{f_i} \cap Y$ is an open affine subset of Y , as it is equal to the locus where the regular function $f|_{Y_\alpha}$ is not zero on the affine scheme Y_α . By compactness we may assume there are only finitely many f_1, f_2, \dots, f_r . f_1, f_2, \dots, f_r generate the unit ideal as the sets U_{f_i} are an open affine cover of X . By (2.17.b) Y is affine. Now apply (2.18.d).

(c) We want to give a morphism of schemes $Y \longrightarrow Y'$. The map on topological spaces is simply the identity. Pick an open affine cover of

X . By part (b) this induces an open affine cover of Y and Y' . On this affine cover if Y and Y' are given by ideals \mathfrak{a} and \mathfrak{a}' in the ring A , then \mathfrak{a} is the radical of \mathfrak{a}' . In particular there is a natural inclusion $\mathfrak{a} \subset \mathfrak{a}'$ and so a natural surjection $A/\mathfrak{a}' \rightarrow A/\mathfrak{a}$ which factors $A \rightarrow A/\mathfrak{a}'$ and $A \rightarrow A/\mathfrak{a}$. This gives us a commutative diagram

$$\begin{array}{ccc} Y & \longrightarrow & Y' \\ & \searrow & \downarrow \\ & & X. \end{array}$$

These maps automatically glue, by naturality.

(d) We first suppose that $X = \text{Spec } A$ is affine. In this case there is a homomorphism of rings,

$$A \longrightarrow H^0(Z, \mathcal{O}_Z).$$

Let \mathfrak{p} be the kernel and let B be the quotient, so that there is a ring commutative diagram,

$$\begin{array}{ccc} A & \longrightarrow & B \\ & \searrow & \downarrow \\ & & H^0(Z, \mathcal{O}_Z). \end{array}$$

Let $Y = \text{Spec } B$. Then, Y is a closed subscheme of X and there is a commutative diagram

$$\begin{array}{ccc} X & \longleftarrow & Y \\ & \swarrow & \uparrow \\ & & Z. \end{array}$$

Now suppose that there is another commutative diagram,

$$\begin{array}{ccc} X & \longleftarrow & Y' \\ & \swarrow & \uparrow \\ & & Z. \end{array}$$

Then there is an induced map of rings,

$$\begin{array}{ccc} A & \longrightarrow & H^0(Y', \mathcal{O}_{Y'}) \\ & \searrow & \downarrow \\ & & H^0(Z, \mathcal{O}_Z). \end{array}$$

By the universal property of the quotient, there is an induced ring homomorphism,

$$H^0(Y', \mathcal{O}_{Y'}) \longrightarrow B,$$

and this gives rise to a morphism of schemes $Y \rightarrow Y'$.

Now suppose that X is arbitrary. Pick an open affine cover $\{U_i\}$ of X , such that U_{ij} is affine. Let V_i be the inverse image of U_i in X_i . Let $g_i: Y_i \rightarrow X$ be the affine scheme constructed above. Let $Y_{ij} = g_i^{-1}(U_j)$ be the inverse image of U_j . Then Y_{ij} and Y_{ji} satisfy the same universal property and so there are induced isomorphisms ϕ_{ij} which satisfy the cocycle condition. Glueing together the Y_i , this defines Y . Y is a closed subscheme of X and it clearly satisfies the given universal property.

The last property is clear, since both Y and the reduced induced subscheme enjoy the same universal property.

3.12. (a) $\phi(S_+) = \phi(T_+)$, as ϕ is surjective, and so $U = \text{Proj } T$. Now suppose that $g \in T$ is homogeneous. If $h = \phi(g) \in S$ then

$$\phi_{(g)}: S_{(h)} \rightarrow T_{(g)},$$

is surjective. Therefore

$$f_{(g)}: \text{Proj } T - V(h) = \text{Spec } T_{(h)} \rightarrow \text{Proj } S - V(g) = \text{Spec } S_{(g)},$$

is a closed immersion. As open sets of the form $\text{Proj } S - V(g)$ cover $\text{Proj } S$ it follows that f is a closed immersion.

(b) We have surjective ring homomorphisms $S \rightarrow S/I'$, $S \rightarrow S/I$ and $S/I' \rightarrow S/I$. This gives rise to closed immersions $i: \text{Proj } S/I' \rightarrow \text{Proj } S$, $j: \text{Proj } S/I \rightarrow \text{Proj } S$ and $k: \text{Proj } S/I \rightarrow \text{Proj } S/I'$, such that $j = i \circ k$. k is an isomorphism by (2.14.c) and so i and j are equivalent closed immersions. By (2.14.d) there are plenty of examples of this phenomena.

3.13 (a) Let $f: X \rightarrow Y$ be a closed immersion. Suppose that $i: U \rightarrow Y$ is an open immersion, where U is affine. By (3.11.a) the map $g: V \rightarrow U$ obtained by pulling back the morphism f along the morphism i is a closed immersion. As U is affine, (3.11.b) implies that V is affine as well, and the map i is induced by a quotient ring homomorphism,

$$A \rightarrow B = A/\mathfrak{a}.$$

B is clearly a finitely generated A -algebra and so f is of finite type.

(b) Let $f: X \rightarrow Y$ be an open immersion. Let $U \subset X$ be an affine open subset of X . Then $f(U)$ is an open affine subset of Y which is isomorphic to U . It follows that f is locally of finite type and as f is quasi-compact, it is of finite type.

(c) Let $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be two morphisms of finite type and let $h: X \rightarrow Z$ be the composition. Pick an open affine subset $W = \text{Spec } C$ of Z . By (3.3.b) we may find a finite open affine cover $V_i = \text{Spec } B_i$ of $g^{-1}(W)$ such that B_i is a finitely generated C -algebra.

For each V_i , we may find a finite open affine cover $U_{ij} = \text{Spec } A_{ij}$ of $f^{-1}(V_i)$, such that C_{ij} is a finitely generated B_i -algebra.

But then $U_{ij} = \text{Spec } A_{ij}$ is a finite open affine cover of $h^{-1}(W)$ where A_{ij} is a finitely generated C -algebra. Therefore h is of finite type.

(d) Let $f: X \rightarrow Y$ be a morphism of finite type and let $Y' \rightarrow Y$ be a morphism. Let $f': X' \rightarrow Y'$ be the induced morphism, where X' is the fibre product of X and Y' over Y . We want to prove that f' is of finite type. Let $V = \text{Spec } B$ be an open subset of Y . Then there is a finite open affine cover $U_i = \text{Spec } A_i$ of $f^{-1}(V)$, where A_i is a finitely generated B -algebra.

(e) By part (d) $X \times_S Y \rightarrow Y$ is of finite type. But then the morphism $X \times_S Y \rightarrow S$ is of finite type, as it is a composition of morphisms of finite type.

(f) Let $W = \text{Spec } C$ be an affine open subset of Z . By assumption $(g \circ f)^{-1}(W)$ can be covered by affine open subsets $U = \text{Spec } A$ of X , where A is a finitely generated C -algebra. Pick an affine open subset $V = \text{Spec } B$ of $g^{-1}(W)$. Then we can cover $f^{-1}(V) \cap U$ with affine open subsets of the form $\text{Spec } A_h$, where h is a regular function on U . As A_h is a finitely generated C -algebra it is a finitely generated B -algebra. But then f is locally of finite type and as f is compact, it is of finite type.

(g) Let $V = \text{Spec } B$ be an affine subset of Y . Then $f^{-1}(V)$ is a finite union of affine sets of the form $U = \text{Spec } A$, where A is a finitely generated B -algebra. As B is Noetherian, A is Noetherian and so X is Noetherian.

3.15 We first make some general observations that apply to both parts (a) and (b).

Suppose that X is of finite type over a field k . Then X has a finite cover $U_i = \text{Spec } A_i$ by open affines, where A_i is a finitely generated k -algebra. If U_i and U_j don't intersect then $U_i \cup U_j = \text{Spec } A_i \oplus A_j$ is affine. So we may assume that $U_i \cap U_j$ is non-empty. But then X is irreducible or reduced if and only if U_i is irreducible or reduced, for all i .

If K/k is any field extension, then $Y = X \times_{\text{Spec } k} \text{Spec } K$ is covered by open affines of the form $V_i = \text{Spec } B_i = \text{Spec } A_i \otimes_k K$. As $U_i \cap U_j$ is non-empty, so is $V_i \cap V_j$. Thus Y is irreducible or reduced if and only if V_i is irreducible or reduced for all i .

So we might as well assume that $X = \text{Spec } A$ is affine. If X is irreducible and Y is reducible, then X_{red} is irreducible and Y_{red} is reducible. Similarly if X is reduced and Y is not reduced then every

irreducible component of X is reduced and some irreducible component of Y is not reduced. Hence, we may also assume that X is integral and Y is not integral. Note that the field extension K/k is the limit of the finitely generated intermediary field extensions $K/L/k$. It follows that the tensor product B is the limit of the tensor products $B_L = A \otimes_k L$.

Thus the scheme Y is the limit of the schemes $X_L = \text{Spec } B_L$. Since the limit of integral schemes is integral, we may assume that $K = L$. By induction we may assume that $K = k(\alpha)$ is primitive.

Suppose that $\alpha = x$ is transcendental over k . In this case B is a localisation of $A[x]$. $A[x]$ is clearly integral and so B is integral.

(a) It suffices to consider the case $\alpha^p \in k$. In this case $B = A[\alpha]$. Suppose that f and $g \in B$ and $fg = 0$. Now

$$f = f_0 + f_1\alpha + \cdots + f_{p-1}\alpha^{p-1}$$

for $f_0, f_1, \dots, f_{p-1} \in A$. Hence $f^p \in A$. Similarly $g^p \in A$. As $f^p g^p = 0$ and A is integral either $f^p = 0$ or $g^p = 0$. It follows that Y is irreducible.

(b) It suffices to prove that if α is separable over k then Y is reduced. Replacing K by its Galois closure, we may assume that K/k is Galois, with Galois group G . Thus G acts on B and $B^G = A$. Suppose that $f^2 = 0$. Consider

$$\phi(x) = \prod_{g \in G} (x - g^* f) \in B[x].$$

As this polynomial is invariant under G , in fact

$$\phi(x) = a_0 + a_1 x + \cdots + a_k x^k \in A.$$

As $f^2 = 0$ we have

$$0 = \phi(f) = a_0 + a_1 f.$$

Thus $f \in A$ and so $f = 0$, since A is integral. Thus B does not have any nilpotents and Y is reduced.

(c) Consider $\text{Spec } k(t)[x]/\langle x^6 - t \rangle$, where $k = \mathbb{F}_2$. As $x^6 - t \in k(t)[x]$ is irreducible, this scheme is integral. But if we replace t by t^6 (equivalently, we adjoin a root of $x^6 - t$) then $x^3 - t^3$ is a non-zero global section which squares to zero and $x^3 - t^3$ factors non-trivially, so that $\text{Spec } k(t)[x]/\langle x^6 - t^6 \rangle$ is neither reduced nor irreducible.