

**Lecture 16: 4.7 Surface Integrals.** We want to find the total volume of water in the ocean. At each point of the surface of the earth the depth of the ocean is given by a function  $f$ . For the purpose of measuring the total volume we divide up the surface of the earth  $S$  into smaller surface areas  $\Delta S_{ij}$ , each of which is so small that we can think of it as approximately a flat piece of a plane under which the depth of the ocean is approximately constant. Then the volume of water below  $\Delta S_{ij}$  is approximately  $f(x_{ij}, y_{ij}, z_{ij})\Delta S_{ij}$ , where  $(x_{ij}, y_{ij}, z_{ij})$  is any point in  $\Delta S_{ij}$ . Hence the total volume of water in the ocean is approximately  $\sum_{i,j} f(x_{ij}, y_{ij}, z_{ij})\Delta S_{ij}$

We therefore think the **surface integral** of a function  $f$  over the surface  $S$  as

$$(4.7.1) \quad \iint_S f \, dS = \lim_{\Delta S_{ij} \rightarrow 0} \sum_{i,j} f(x_{ij}, y_{ij}, z_{ij})\Delta S_{ij}$$

where the sum is over a partition of  $S$  into smaller surface areas  $\Delta S_{ij}$ ,  $(x_{ij}, y_{ij}, z_{ij})$  is any point in  $\Delta S_{ij}$  and we take the limit as the partition becomes finer.

Suppose that the surface  $S$  is parametrized by  $\mathbf{R}(u, v) = x(u, v)\mathbf{i} + y(u, v)\mathbf{j} + z(u, v)\mathbf{k}$ , where  $(u, v) \in D$ . Let  $R_{ij} = \{(u, v); u_i \leq u \leq u_i + \Delta u, v_j \leq v \leq v_j + \Delta v\}$  be a small rectangle in the  $u$ - $v$  plane and let  $S_{ij}$  be the image of  $R_{ij}$  under the map  $(u, v) \rightarrow \mathbf{R}(u, v)$ . Then the area of  $S_{ij}$  is approximately the area in of the parallelogram in the tangent plane spanned by the vectors  $\mathbf{R}_u \Delta u$  and  $\mathbf{R}_v \Delta v$ :

$$(4.7.2) \quad \Delta S_{ij} \sim |\mathbf{R}_u \times \mathbf{R}_v(u_i, v_j)| \Delta u \Delta v$$

Substituting this into (4.7.1) we get a Riemann sum for a double integral in the  $u$ - $v$  plane. We therefore define the surface integral of a function  $f$  over a surface  $S$ :

$$(4.7.3) \quad \iint_S f \, dS = \iint_D f(x(u, v), y(u, v), z(u, v)) |\mathbf{R}_u \times \mathbf{R}_v(u, v)| \, du \, dv$$

We can symbolically write

$$(4.7.4) \quad dS = |\mathbf{R}_u \times \mathbf{R}_v(u, v)| \, du \, dv$$

**Ex.** Find  $\iint_S z^2 \, dS$ , where  $S = \{(x, y, z); x^2 + y^2 + z^2 = 1\}$  is the unit sphere.

**Sol.** A parametrization is  $\mathbf{R}(\phi, \theta) = \sin \phi \cos \theta \mathbf{i} + \sin \phi \sin \theta \mathbf{j} + \cos \phi \mathbf{k}$ ,  $0 \leq \phi \leq \pi$ ,  $0 \leq \theta < 2\pi$ , and we showed before that

$$(4.7.5) \quad dS = |\mathbf{R}_\phi \times \mathbf{R}_\theta(\phi, \theta)| \, d\phi \, d\theta = \sin \phi \, d\phi \, d\theta$$

Hence

$$(4.7.6) \quad \iint_S z^2 \, dS = \int_0^{2\pi} \int_0^\pi \cos^2 \phi \sin \phi \, d\phi \, d\theta = \int_0^{2\pi} \left. -\frac{\cos^3 \phi}{3} \right|_0^\pi \, d\theta = \int_0^{2\pi} \frac{2}{3} \, d\theta = \frac{4\pi}{3}$$

**Ex.** Find  $\iint_S x \, dS$ , where  $S$  is the triangle with vertices  $(1, 0, 0)$ ,  $(0, 1, 0)$  and  $(0, 0, 1)$ .

**Sol.** The surface is a piece of a plane  $ax + by + cz = d$  and putting in the 3 points we get  $a = d$ ,  $b = d$  and  $c = d$ , e.g.  $a = b = c = d = 1$ . The surface is therefore given by  $h(x, y, z) = x + y + z = 1$  and  $(x, y) \in D = \{(x, y); x \geq 0, y \geq 0, x + y \leq 1\}$ . The normal to the surface is therefore  $\mathbf{n} = \nabla h / |\nabla h| = (\mathbf{i} + \mathbf{j} + \mathbf{k}) / \sqrt{3}$ . We have

$$(4.7.7) \quad dS = \frac{dx \, dy}{|\cos \gamma|} = \frac{dx \, dy}{|\mathbf{n} \cdot \mathbf{k}|} = \sqrt{3} \, dx \, dy$$

If we rewrite  $D = \{(x, y); 0 \leq x \leq 1, 0 \leq y \leq 1 - x\}$  we get

$$\iint_S x \, dS = \iint_D x \sqrt{3} \, dx \, dy = \int_0^1 \int_0^{1-x} x \sqrt{3} \, dy \, dx = \int_0^1 xy \sqrt{3} \Big|_{y=0}^{1-x} \, dx = \int_0^1 x(1-x) \sqrt{3} \, dx = \frac{\sqrt{3}}{6}$$

**Surface Integrals of vector functions; The Flux.** Let  $S$  be a closed surface and for each point  $(x, y, z)$  on the surface let  $f(x, y, z)$  be the rate of flow of fluid out from the region enclosed by the surface per unit surface area and unit time, i.e. the flow out of a small area  $\Delta S$  during a small time  $\Delta t$  is approximately  $f \Delta S \Delta t$ . The total flow of fluid out from the region enclosed by the surface per unit time is

$$(4.7.8) \quad \iint_S f \, dS$$

according to (4.7.1). Let us now calculate the rate of flow of fluid  $f$  out per unit area and unit time, given the velocity vector field of the fluid  $\mathbf{V}$  and the density  $\mu$ . We define the **flow rate density** by

$$(4.7.9) \quad \mathbf{F} = \mu \mathbf{V},$$

If  $\Delta S$  is a small area of a piece of a plane with outward unit normal  $\mathbf{n}$  then we claim that the flow rate out of  $\Delta S$  per unit time is given by

$$(4.7.10) \quad \mathbf{F} \cdot \mathbf{n} \, \Delta S$$

In fact, in a small time  $\Delta t$ , the fluid particles that will reach  $\Delta S$  are at most  $\mathbf{V} \Delta t$  away, and all particles within reach form a sloped cylinder with  $\Delta S$  as its base and height  $\mathbf{V} \cdot \mathbf{n} \Delta t$ . Since the volume is the area of the base times the height the amount of fluid in the cylinder is the density times the volume:  $\mu \mathbf{V} \cdot \mathbf{n} \Delta t \Delta S$ . If we divide by  $\Delta t$  we get the rate per unit time (4.7.10) and if we divide this by  $\Delta S$  we get the flow rate out of per unit surface area and unit time

$$(4.7.11) \quad f = \mathbf{F} \cdot \mathbf{n}$$

The flow rate of fluid out of the total surface  $S$ , or the **flux** of the vector field  $\mathbf{F}$  out of the surface  $S$ , with outward unit normal  $\mathbf{n}$ , is given by

$$(4.7.12) \quad \iint_S \mathbf{F} \cdot \mathbf{n} \, dS$$