

## 7. Counting zeros; Open mapping Theorem.

Recall: If a nonconstant function  $f$  has a zero at  $z=a$ , we have

$$f(z) = (z-a)^m g(z)$$

where  $g$  is analytic and  $g(a) \neq 0$ .

Suppose  $G$  is a region and  $f: G \rightarrow \mathbb{C}$  analytic.

Assume  $f$  has zeros  $a_1, \dots, a_m$  in  $G$ . Then

$f(z) = (z-a_1) \cdots (z-a_m) g(z)$  where  $g$  analytic on  $G$ , with  $g(z) \neq 0$  on  $G$ . This implies

$$\frac{f'(z)}{f(z)} = \frac{1}{z-a_1} + \frac{1}{z-a_2} + \cdots + \frac{1}{z-a_m} + \frac{g'(z)}{g(z)}$$

for  $z \neq a_1, \dots, a_m$ .

Thm 7.2 Let  $G$  be a region and let  $f$  be an analytic function on  $G$  with zeros  $a_1, \dots, a_m$  (repeated according to multiplicity). If  $\gamma$  is a closed rectifiable curve in  $G$  which does not pass through any point  $a_k$  and if  $\gamma \approx 0$  in  $G$  then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \sum_{k=1}^n n(\gamma; a_k)$$

Pf: If  $g(z) \neq 0$  for any  $z \in G$  then  $\frac{g'}{g}$  is analytic in  $G$ . Since  $\gamma \approx 0$  in  $G$ , Cauchy's Theorem implies

Since  $\gamma \approx 0$  in  $G$ , Cauchy's Theorem implies

$$\int_{\gamma} \frac{g'(z)}{g(z)} dz = 0.$$

We have

$$\begin{aligned} \frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz &= \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z-a_1} dz + \dots + \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z-a_m} dz + \frac{1}{2\pi i} \int_{\gamma} \frac{g'(z)}{g(z)} dz \\ &= n(\gamma; a_1) + \dots + n(\gamma; a_m) \\ &= \sum_{k=1}^m n(\gamma; a_k) \end{aligned}$$

Corollary 7.3: Let  $f, G$  and  $\gamma$  be as in Thm 7.2 except that  $a_1, \dots, a_m$  are pts in  $G$  that satisfy  $f(z) = \alpha$ ;

then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z) - \alpha} dz = \sum_{k=1}^m n(\gamma; a_k)$$

E.g. Compute  $\int_{\gamma} \frac{(2z+1)}{z^2+z+1} dz$  where  $\gamma = 2e^{it}$ ,  $0 \leq t \leq 2\pi$

Note  $z^3 - 1 = (z-1)(z^2+z+1) = (z-1)(z-w_1)(z-w_2)$

where  $w_1 = e^{\frac{2\pi i}{3}}$ ,  $w_2 = e^{\frac{4\pi i}{3}}$ .

$$z^2+z+1 = (z-w_1)(z-w_2)$$

Then let  $f = z^2+z+1 \Rightarrow$

$$\int_{\gamma} \frac{2z+1}{z^2+z+1} dz = \int_{\gamma} \frac{f'}{f} dz = 2\pi i \sum_{j=1}^2 n(\gamma; w_j) = 4\pi i$$

Remark: Let  $\gamma: [0,1] \rightarrow G$  be a closed rectifiable curve in  $\mathbb{C}$ ,

Remark: Let  $\gamma: [0, 1] \rightarrow G$  be a closed rectifiable curve in  $\mathbb{C}$ ,  $\gamma \approx 0$ . Assume  $f: G \rightarrow \mathbb{C}$  analytic. Then  $f \circ \gamma = \sigma$  is a closed rectifiable curve in  $\mathbb{C}$ . Suppose that  $\alpha$  is complex number with  $\alpha \notin \{\sigma\} = f(\{\gamma\})$ , and let us calculate  $n(\sigma; \alpha)$ . We get

$$\begin{aligned} n(\sigma; \alpha) &= \frac{1}{2\pi i} \int_{\sigma} \frac{dw}{w - \alpha} \\ &= \frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z) - \alpha} dz \\ &= \sum_{k=1}^m n(\gamma; a_k) \end{aligned}$$

where  $a_k$  are the pts in  $G$  with  $f(a_k) = \alpha$ .

Thm 7.4: Suppose  $f$  is analytic in  $B(a; R)$  and let  $\alpha = f(a)$ .

If  $f(z) - \alpha$  has a zero of order  $m$  at  $z = a$ , then there is an  $\varepsilon > 0$  and  $\delta > 0$  such that for  $0 < |z - a| < \delta$ , the eqn  $f(z) = \beta$  has exactly  $m$  simple roots in  $B(a; \varepsilon)$ .

Remark: A simple root of  $f(z) = \beta$  is a zero of  $f(z) - \beta$  of multiplicity 1. Note this thm implies  $B(\alpha; \delta) \subset f(B(a; \varepsilon))$ . Also, the hypothesis  $f(z) - \alpha$  has a zero of finite multiplicity guarantees that  $f$  is NOT constant.

Pf: Since the zeros of  $f$  are isolated we can choose  $\varepsilon > 0$

Pf: Since the zeros of  $f$  are isolated we can choose  $\varepsilon > 0$  s.t.  $\varepsilon < \frac{1}{2}R$ ,  $f(z) = \alpha$  has no solutions in  $\{z: 0 < |z-a| < 2\varepsilon\}$ , and  $f'(z) \neq 0$  if  $0 < |z-a| < 2\varepsilon$

Let  $\gamma(t) = a + \varepsilon e^{2\pi i t}$ ,  $0 \leq t \leq 1$ . Put  $\sigma = f \circ \gamma$ .

Now  $\alpha \notin \{\sigma\}$ ; So there is  $\delta > 0$  s.t.  $B(\alpha; \delta) \cap \{\sigma\} = \emptyset$ .

Thus,  $B(\alpha; \delta)$  is contained in some component of  $\mathbb{C} - \{\sigma\}$ ; that is,  $|\alpha - \xi| < \delta$  implies

$$n(\sigma; \alpha) = n(\sigma; \xi) = \sum_{k=1}^p n(\gamma; z_k(\xi))$$

But since  $n(\gamma; z)$  must be either 0 or 1, we have that there are exactly  $m$  solutions to the eqn  $f(z) = \xi$  inside  $B(a; \varepsilon)$ . Since  $f'(z) \neq 0$  for  $0 < |z-a| < \varepsilon$ , each of these roots for  $\xi \neq a$  must be simple.

Thm 7.5 (Open mapping Thm) Let  $G$  be a region and suppose that  $f$  is a nonconstant analytic function on  $G$ .

Then for any open set  $U \subset G$ ,  $f(U)$  is open.

Pf: Fix  $a \in U$  and write  $\alpha = f(a)$ . It suffices to show

that there  $\exists \delta > 0$  s.t.  $B(\alpha; \delta) \subset f(U)$ . But the above thm implies there  $\exists \varepsilon > 0$  and  $\delta > 0$  s.t.  $B(a; \varepsilon) \subset U$  and

$$f(B(a; \varepsilon)) \supset B(\alpha; \delta)$$

Remark: (1). A map  $f: X \rightarrow Y$  between two metric spaces is called an open map if it maps open sets to open

Remark = (1). A map  $f: X \rightarrow Y$  is called an open map if it maps open sets to open sets

(2) Note if  $f$  is one-to-one and onto, then  $f^{-1}: Y \rightarrow X$  is well-defined.

Exercise:  $f^{-1}$  continuous  $\Leftrightarrow f$  is open

Corollary 7.6: Suppose  $f: G \rightarrow \mathbb{C}$  is one-to-one, analytic, and  $f(G) = \Omega$ . Then  $f^{-1}: \Omega \rightarrow \mathbb{C}$  is analytic and

$$(f^{-1})'(w) = \frac{1}{f'(z)} \quad \text{where } w = f(z)$$

Pf: By the open mapping thm,  $f^{-1}$  is continuous and  $\Omega$  is open. Since  $z = f^{-1}(f(z))$  for each  $z$ , the result follows from the chain Rule.

## 8. Goursat's Thm

Recall "f is analytic" means  $f'$  exists and  $f'$  is continuous. In this section, we will show "f' exists"  $\Rightarrow$  "f is analytic"

Goursat's Thm. Let  $G \subset \mathbb{C}$  open and let  $f: G \rightarrow \mathbb{C}$  a differentiable function, then  $f$  is analytic on  $G$

a differentiable function, then  $f$  is analytic on  $G$ .

Pf: As the analyticity is local property, we can assume  $G$  is just an open disc.

We will show  $f$  is analytic by using Morera's Thm.

That is, we will show that  $\int_T f = 0$  for each triangular path  $T$  in  $G$ .

Let  $T = [a, b, c, a]$  and  $\Delta$  be the closed set formed by  $T$  and its insides. Notice that  $T = \partial\Delta$

Step 1: Using midpts of the sides of  $\Delta$  form four triangles  $\Delta_1, \Delta_2, \Delta_3, \Delta_4$  inside  $\Delta$ , and gives the bdry appropriate directions. Then each  $T_j = \partial\Delta_j$  is a triangular path and

$$\int_T f = \sum_{j=1}^4 \int_{T_j} f \quad (1)$$

Among these four paths, there is one, call it  $T^{(1)}$ ,

such that  $|\int_{T^{(1)}} f| \geq |\int_{T_j} f|$  for  $j=1, 2, 3, 4$ .

Note the length of each  $T_j$  - denoted by  $L(T_j)$  - equals  $\frac{1}{2}L(T)$ . Also  $\text{diam } T_j = \frac{1}{2} \text{diam } T$ ;

finally, using (1),

finally, using (1),

$$|\int_T f| \leq 4 |\int_{T^{(1)}} f|$$

Repeating this process on  $T^{(1)}$ , and so on inductively.

We get a sequence  $\{T^{(n)}\}$  of closed triangular paths s.t if  $\Delta^{(n)}$  is the inside of  $T^{(n)}$  union  $T^{(n)}$ .

then

$$\Delta^{(1)} \supset \Delta^{(2)} \supset \dots;$$

$$|\int_{T^{(n)}} f| \leq 4 |\int_{T^{(n+1)}} f|;$$

$$L(T^{(n+1)}) = \frac{1}{2} L(T^{(n)});$$

$$\text{diam } \Delta^{(n+1)} = \frac{1}{2} \text{diam } \Delta^{(n)}$$

$\Rightarrow$

$$|\int_T f| \leq 4^n |\int_{T^{(n)}} f| \quad (2)$$

$$L(T^{(n)}) = \left(\frac{1}{2}\right)^n L \quad \text{where } L = L(T);$$

$$\text{diam } \Delta^{(n)} = \left(\frac{1}{2}\right)^n d \quad \text{where } d = \text{diam}(\Delta).$$

Since each  $\Delta^{(n)}$  is closed, we have

$$\text{Claim: } \bigcap_{n=1}^{\infty} \Delta^{(n)} = \{z_0\} \text{ for some } z_0 \in G.$$

Pf of claim: Exercise (using chapter 2)

Fix  $\varepsilon > 0$ . Since  $f$  has a derivative at  $z_0$  we can find a  $\delta > 0$  s.t  $B(z_0; \delta) \subset G$  and

$$|f(z) - f(z_0) - f'(z_0)(z - z_0)| < \varepsilon |z - z_0|$$

a u r u s i u D i c o u r u - 7 m m

$$\left| \frac{f(z) - f(z_0)}{z - z_0} - f'(z_0) \right| < \varepsilon \text{ whenever } 0 < |z - z_0| < \delta.$$

That is,

$$|f(z) - f(z_0) - f'(z_0)(z - z_0)| \leq \varepsilon |z - z_0|$$

whenever  $|z - z_0| < \delta$ .

Choose  $n$  s.t.  $\text{diam } \Delta^{(n)} = (\frac{1}{2})^n d < \delta$ . Since  $z_0 \in \Delta^{(n)}$ ,  
 $\Rightarrow \Delta^{(n)} \subset B(z_0, \delta)$ . Note Cauchy's Thm gives

$$0 = \int_{T^{(n)}} dz = \int_{T^{(n)}} z dz. \text{ Hence}$$

$$\begin{aligned} \left| \int_{T^{(n)}} f \right| &= \left| \int_{T^{(n)}} [f(z) - f(z_0) - f'(z_0)(z - z_0)] dz \right| \\ &\leq \varepsilon \int_{T^{(n)}} |z - z_0| |dz| \\ &\leq \varepsilon [\text{diam } \Delta^{(n)}] [l(T^{(n)})] \\ &= \varepsilon d l \left(\frac{1}{4}\right)^n \end{aligned}$$

By (2),  $\Rightarrow \left| \int_T f \right| \leq 4^n \varepsilon d l \left(\frac{1}{4}\right)^n = \varepsilon d l.$

Since  $\varepsilon$  is arbitrary and  $d, l$  are fixed, we have

$$\int_T f = 0$$