

## 1. Runge's Thm

Recall: If  $D$  is a disk and  $f \in H(D)$ , then we know  $f$  can be written as a power series that converges uniformly on every compact subset of  $D$ , i.e. converges in  $H(D)$ .  $\Rightarrow f$  is the limit of a sequence of polynomials in  $H(D)$ . We can thus naturally ask:

Q: Let  $f \in H(G)$  for a region  $G$ . Is  $f$  the limit of a sequence of polynomials in  $H(G)$ .

A: No in general.

E.g.  $G = B(0; 2) - \{0\}$ ,  $f = \frac{1}{z}$

Suppose true, i.e.,  $\exists \{P_n\}$  s.t.  $P_n \rightarrow f$  in  $H(G)$ .

Take  $\gamma = \{ |z| = 1 \}$ . Then

$$\int_{\gamma} P_n = 0 \text{ for all } n. \text{ But } P_n \rightarrow f \text{ in } H(G)$$

$$\Rightarrow \int_{\gamma} f = \int_{\gamma} \lim P_n = \lim \int_{\gamma} P_n = 0$$

This is a contradiction.

Indeed, inspired by Laurent series expansion, it makes more sense if we use the limit of rational functions instead of polynomials.

of polynomials.

Thm. Let  $K$  be a compact subset of  $\mathbb{C}$  and let  $E$  be a subset of  $\mathbb{C} \setminus K$  that meets each component of  $\mathbb{C} \setminus K$ . If  $f$  is analytic in an open set containing  $K$  and  $\varepsilon > 0$  then  $\exists$  a rational function  $R(z)$  whose poles lie in  $E$  and s.t

$$|f(z) - R(z)| < \varepsilon \quad \text{for all } z \in K.$$

Corollary 1.14: Let  $G \subset \mathbb{C}$  open and let  $E$  be a subset of  $\mathbb{C} \setminus G$  s.t  $E$  meets every component of  $\mathbb{C} \setminus G$ . Let  $R(G, E) =$  the set of rational functions with poles in  $E$ .  $\subset H(G)$ . If  $f \in H(G)$  then  $\exists$  a sequence  $\{R_n\}$  in  $R(G, E)$  s.t  $f = \lim R_n$ . That is,  $R(G, E)$  is dense in  $H(G)$ .

Pf: Let  $K$  be a compact subset of  $G$  and  $\varepsilon > 0$ ; it must be shown that  $\exists R \in R(G, E)$  s.t  $|f(z) - R(z)| < \varepsilon$  for  $z \in K$ .

claim: there is compact  $\hat{K} \subset G$  s.t  $K \subset \hat{K}$  and each component of  $\mathbb{C} \setminus \hat{K}$  contains a component of  $\mathbb{C} \setminus G$ .

Pf: Exercise or read Chapter 7. proposition 1.2.

By the claim,  $E$  meet each component of  $\mathbb{C} \setminus \hat{K}$ . The corollary now follows from Runge's Thm.

The corollary now follows from Runge's Thm.

Corollary 1.15: If  $G$  is an open subset of  $\mathbb{C}$  s.t.  $\mathbb{C}_\infty - G$  is connected then for each analytic  $f \in H(G)$ ,  $\exists$  a sequence of polynomials  $\{P_n\}$  s.t.  $f = \lim P_n$  in  $H(G)$ .

Pf: Let  $E = \{\infty\}$  and apply Corollary 1.14.

Remark: The condition that  $E$  meets every component of  $\mathbb{C}_\infty - G$  cannot be relaxed.

E.g. Let  $G = \mathbb{C} - \{0\}$ , thus  $\mathbb{C}_\infty - G = \{0, \infty\}$

Let  $E = \{\infty\}$   $f = \frac{1}{z} \in H(G)$

Suppose the corollary is still true, i.e.

$\exists \{P_n\}$  polynomial s.t.  $P_n \rightarrow \frac{1}{z}$  in  $H(G)$

We know this is impossible.

Idea of proof of Runge's Thm:

we will write

$B(E) = \{ \text{all function } f \text{ in } C(K, \mathbb{C}) \text{ s.t. } \exists \text{ a sequence}$

$\{R_n\}$  of rational functions with poles in  $E$  s.t.

$f = u\text{-}\lim f_n \text{ on } K \}$

Then Runge's Thm asserts if  $f$  is analytic in a nbhd of

$K$ , then  $f|_K \in B(E)$

We observe:

Lemma 1.8:  $B(E)$  is a closed subalgebra of  $C(K, \mathbb{C})$

that contains every rational function with poles in  $E$ .

pf: ① closedness: That is, if  $f_n \rightarrow f$  in  $C(K, \mathbb{C})$  and  $f_n \in B(E)$ , then  $f \in B(E)$ . Indeed, as  $f_n \in B(E)$ ,  $\exists R_n$ , rational with poles in  $E$ , s.t.

$$|f_n - R_n| < \frac{1}{n} \quad \text{on } K$$

Then  $f = u\text{-}\lim R_n$ .

② subalgebra: if  $f, g \in B(E)$ , then  $\alpha f, f+g, fg$  are in  $B(E)$  for  $\alpha \in \mathbb{C}$ . We will leave this as an exercise.

Lemma 1.9: Let  $V$  and  $U$  be open subsets of  $\mathbb{C}$  with  $V \subset U$  and  $\partial V \cap U = \emptyset$ . If  $H$  is a component of  $U$  and  $H \cap V \neq \emptyset$  then  $H \subset V$ .

pf. We will only prove for the case  $U$  is connected. Then by assumption  $U \cap V \neq \emptyset \Rightarrow V \neq \emptyset$ . If we can prove  $V$  is open and closed in  $U$ , then  $V = U$ .

openness is clear.

closedness follows from the assumption  $\partial V \cap U = \emptyset$ .

We prove Runge's Thm.

Step 1. If  $f$  is analytic in a nbhd  $G$  of  $K$ , then for

Step 1: If  $f$  is analytic in a nbhd  $G$  of  $K$ , then for each  $\varepsilon > 0$ ,  $\exists R(z)$  rational with poles in  $\mathbb{C} - K$  s.t.  $|f(z) - R(z)| < \varepsilon$  on  $K$ .

Step 2: If  $R(z)$  is rational with poles in  $\mathbb{C} - K$ , then for each  $\varepsilon > 0$ ,  $\exists \hat{R}(z)$  rational with poles in  $E$  s.t.

$$|R(z) - \hat{R}(z)| < \varepsilon.$$

That is,  $R(z) \in B(E)$ .

Claim:  $R(z)$  is in the algebra generated by polynomials together with  $\{(z-a)^{-1} : a \in \mathbb{C} - K\}$

Pf: Exercise.

Then to show  $R(z) \in B(E)$ , by Lemma 1.8 and the claim, it suffices to show:

Lemma 1.10: If  $a \in \mathbb{C} - K$ , then  $(z-a)^{-1} \in B(E)$ .

Pf: Case 1: If  $\infty \notin E$ .

Let  $U \triangleq \mathbb{C} - K$ , and  $V \triangleq \{a \in \mathbb{C} : (z-a)^{-1} \in B(E)\}$ .

Note  $E \subset V$ . Also  $V \subset U$ .

①  $V$  is open

Claim: If  $a \in V$  and  $|b-a| < d(a, K)$  then  $b \in V$

Pf: Indeed, we need to prove  $\frac{1}{z-b} \in B(E)$ .

Note since  $|b-a| < d(a, K)$ ,  $\exists 0 < r < 1$ , s.t

$$|b-a| < r|z-a| \quad \text{for } \forall z \in K.$$

$$\Rightarrow \frac{1}{z-b} = (z-a)^{-1} \left[ 1 - \frac{b-a}{z-a} \right]^{-1} \quad (*)$$

But  $\left[ 1 - \frac{b-a}{z-a} \right]^{-1} = \sum_{k=0}^{\infty} \left( \frac{b-a}{z-a} \right)^k$  converges uniformly on  $K$ .

Let  $Q_n(z) = \sum_{k=0}^n \left( \frac{b-a}{z-a} \right)^k$ . Then  $Q_n(z) \in B(E)$ .

As  $B(E)$  is closed in  $C(K, \mathbb{C}) \Rightarrow$

$$\lim_{n \rightarrow \infty} Q_n(z) = \left[ 1 - \frac{b-a}{z-a} \right]^{-1} \in B(E)$$

As  $(z-a)^{-1} \in B(E)$ , by  $(*) \Rightarrow (z-b)^{-1} \in B(E)$ .

This proves the claim and the openness of  $V$  follows.

②  $\partial V \cap U = \emptyset$ .

Let  $b \in \partial V$ . Then  $\exists \{a_n\} \subset V$  s.t  $\lim a_n = b$ .

Note  $V$  is open  $\Rightarrow b \notin V$ . By the claim above,

$$|b-a_n| \geq d(a_n, K)$$

Let  $n \rightarrow \infty$

$$\Rightarrow d(b, K) = 0$$

since  $K$  is compact  $\Rightarrow b \in K \Rightarrow b \notin U$

$$\Rightarrow \partial V \cap U = \emptyset.$$