

## 2. Simply connectedness

Defn. Let  $X$  and  $\Omega$  be metric spaces. A homeomorphism between  $X$  and  $\Omega$  is a continuous map  $f: X \rightarrow \Omega$  which is one-to-one, onto and s.t  $f^{-1}: \Omega \rightarrow X$  is also continuous.

If such  $f: X \rightarrow \Omega$  exists, we say  $X$  and  $\Omega$  are homeomorphic.

Ex. Verify  $f: \mathbb{C} \rightarrow \text{ID} = \{ |z| < 1 \}$  given by  $f(z) = \frac{z}{1+|z|}$  is a homeomorphism, thus  $\mathbb{C}$  and  $\text{ID}$  are homeomorphic.

Ex. prove all annuli are homeomorphic to the punctured disk.

Thm. Let  $G$  be an open connected subset of  $\mathbb{C}$ . Then TFAE:

- (a)  $G$  is simply connected;
- (b)  $n(\gamma; a) = 0$  for every closed rectifiable curve  $\gamma$  in  $G$  and every  $a \in \mathbb{C} - G$
- (c)  $\mathbb{C}_{\infty} - G$  is connected
- (d) For any  $f \in H(G)$ ,  $\exists$  a sequence of polynomials that converges to  $f$  in  $H(G)$ .
- (e) For any  $f \in H(G)$  and any closed rectifiable curve  $\gamma$  in  $G$ ,  $\int_{\gamma} f = 0$
- (f) Every function  $f \in H(G)$  has a primitive.
- (g) For any  $f \in H(G)$  s.t  $f(z) \neq 0$  in  $G$ ,  $\exists g \in H(G)$  s.t  $g'(z) = f(z)$

(g) For any  $f \in H(G)$  s.t.  $f(z) \neq 0$  in  $G$ ,  $\exists g \in H(G)$   
s.t.  $f(z) = e^{g(z)}$

(h) For any  $f \in H(G)$  s.t.  $f(z) \neq 0$  in  $G$ ,  $\exists g \in H(G)$   
s.t.  $f(z) = [g(z)]^2$ .

(i)  $G$  is homeomorphic to the unit disk.

(j) If  $u: G \rightarrow \mathbb{R}$  is harmonic, then  $\exists$  a harmonic  
 $v: G \rightarrow \mathbb{R}$  s.t.  $f = u + iv$  is analytic on  $G$ .

Pf: We will prove  $(a) \Rightarrow (b) \Rightarrow \dots \Rightarrow (i) \Rightarrow (a)$  and  
 $(h) \Rightarrow (j) \Rightarrow (g)$ .

$(a) \Rightarrow (b)$

Recall Cauchy's Thm: If  $f \in H(G)$  and  $\gamma \subset G$  closed  
rectifiable s.t.  $\gamma \cup 0$ , then

$$\int_{\gamma} f = 0$$

As  $n(\gamma; a) = \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z-a} dz \Rightarrow n(\gamma; a) = 0$   
 $\frac{1}{z-a} \in H(G)$

$(b) \Rightarrow (c)$ . Suppose  $\mathbb{C}_{\infty} - G$  is not connected, then

$\mathbb{C}_{\infty} - G = A \cup B$  where  $A, B$  are disjoint

nonempty, closed subset of  $\mathbb{C}_{\infty}$ . As  $\infty \in A \cup B$ ,

WLOG, we assume  $\infty \in B$ . Then  $A$  must be  
a compact subset of  $\mathbb{C}$ .

But then  $G_1 = G \cup A = \mathbb{C}_{\infty} - B$  is an open  
set in  $\mathbb{C}$  and contains  $A$ .

By proposition 1.1,  $\exists$  a finite number of polygons

$\gamma_1, \dots, \gamma_m$  in  $G_1 - A = G$  s.t. for  $\forall f \in H(G_1)$

$$f(z) = \sum_{k=1}^m \frac{1}{2\pi i} \int_{\gamma_k} \frac{f(w)}{w-z} dw$$

for all  $z \in A$ . In particular, if  $f(z) \equiv 1$ , then

$$1 = \sum_{k=1}^m n(\gamma_k; z) \text{ for } z \in A.$$

Thus for any  $z \in A$ ,  $\exists$  at least one polygon  $\gamma_k$  in  $G$  s.t.  $n(\gamma_k; z) \neq 0$ . This contradicts (b).

(c)  $\Rightarrow$  (d) See Corollary 1.5. Recall let  $E = \{\infty\}$ .

by Runge's Thm,  $\exists$  rational  $\{R_N\}$  whose poles in  $E$  s.t.  $R_N \rightarrow f$  in  $H(G)$ .

(d)  $\Rightarrow$  (e) Let  $\gamma \subset G$  closed rectifiable,  $f \in H(G)$ .

Then  $\exists \{P_N\}$  s.t.  $P_N \rightarrow f$  in  $H(G)$ .

$$\text{As } \int_{\gamma} P_N = 0 \Rightarrow \int_{\gamma} f = 0$$

(e)  $\Rightarrow$  (f) Fix  $a \in G$ . Define

$$F(z) = \int_{\gamma} f(z) \text{ where } \gamma \text{ is any}$$

rectifiable curve in  $G$  from  $a$  to  $z$ .

Then  $F$  is well-defined and  $F' = f$  (see the pf of Corollary IV.6.16).

(f)  $\Rightarrow$  (g)  $(g = \log f \Rightarrow g' = \frac{f'}{f})$

As  $f \neq 0$  in  $G$ ,  $\Rightarrow \frac{f'}{f} \in H(G)$

D. i. e.  $\exists \gamma \subset H(G)$  s.t.  $\gamma' = \frac{f'}{f}$

As  $f \neq 0$  in  $\mathcal{O}$ ,  $\Rightarrow \frac{f'}{f} \in \mathcal{O}$

By (f),  $\exists F \in H(G)$  s.t.  $F' = \frac{f'}{f}$ .

Consider  $h = f e^{-F} \Rightarrow$

$$\begin{aligned} h' &= f' e^{-F} - f e^{-F} F' \\ &= e^{-F} (f' - f F') \\ &= 0 \end{aligned}$$

$\Rightarrow h \equiv c \neq 0 \Rightarrow f = c e^F$

Let  $c = e^\alpha$ ,  $\alpha \in \mathbb{C}$

Let  $g = \alpha + F \Rightarrow f = e^g$ .

(g)  $\Rightarrow$  (h) By (g),  $\exists g \in H(G)$  s.t.  $f = e^{g(z)}$

Let  $h = e^{\frac{g}{2}} \Rightarrow h^2 = f$

(h)  $\Rightarrow$  (i) If  $G = \mathbb{C}$ , then the function  $z \mapsto (1+|z|^2)^{-1}$  gives a homeomorphism from  $\mathbb{C}$  to  $\mathbb{D}$ .

If  $G \neq \mathbb{C}$ , by the pf of Riemann mapping thm,  $\exists f \in H(G)$  one-to-one, onto  $\mathbb{D}$ .

(i)  $\Rightarrow$  (a) Trivial.

(h)  $\Rightarrow$  (j) First recall we have proved (j) for  $G = \mathbb{C}$  or  $\mathbb{D}$ .

Now if  $G \neq \mathbb{C}$ , then the Riemann Mapping thm,  $\Rightarrow$   
 $\exists h \in H(G)$ , s.t.  $h$  is one-to-one and  $h(G) = \mathbb{D}$ .

If  $u: G \rightarrow \mathbb{R}$  harmonic,

claim:  $u_1 = u \circ h^{-1}$  is harmonic on  $\mathbb{D}$

pf: Exercise.

By chapter III  $\exists v_1: \mathbb{D} \rightarrow \mathbb{R}$  s.t.  $f_1 = u_1 + i v_1 \in H(\mathbb{D})$

Pf: exercise.

By Chapter III.  $\exists v_1: D \rightarrow \mathbb{R}$  s.t  $f_1 = u_1 + i v_1 \in H(D)$

Let  $f = f_1 \circ h \Rightarrow f \in H(G)$  and

$$f = (\operatorname{Re} f_1) \circ h + i (\operatorname{Im} f_1) \circ h$$

$$\Rightarrow u = u_1 \circ h = \operatorname{Re} f$$

Thus  $v = \operatorname{Im} f = \operatorname{Im} f_1 \circ h$  is the desired function.

(j)  $\Rightarrow$  (g) Suppose  $f: G \rightarrow \mathbb{C}$  is analytic and  $f \neq 0$  in  $G$ .

Let  $u = \operatorname{Re} f$ ,  $v = \operatorname{Im} f$ .

Set  $U: G \rightarrow \mathbb{R}$  to be

$$U = \log |f(z)|$$

Claim:  $U$  is harmonic in  $G$

Pf: Exercise

By (j),  $\exists$  a harmonic  $v: G \rightarrow \mathbb{C}$  s.t

$$\hat{g} = U + i v \in H(G)$$

Set  $h = e^{\hat{g}}$  Then  $h \in H(G)$  and  $h \neq 0$  in  $G$ .

$$\text{Moreover, } \left| \frac{f(z)}{h(z)} \right| = 1$$

Thus  $\frac{f}{h} \equiv c$  with  $|c| = 1$

Note  $c = e^\alpha$  for some  $\alpha \in \mathbb{C}$

$$\Rightarrow f = c h = e^{\alpha + \hat{g}} = e^g \text{ where } g = \alpha + \hat{g}$$

Example:

Let  $G = \mathbb{C} - \{z = re^{ir} : 0 \leq r < \infty\}$

prove  $G$  is simply connected

Pf:  $\mathbb{C}_\infty - G = \{z = re^{ir} : 0 \leq r \leq \infty\}$ .

Pf:  $\mathbb{C}_\infty - G = \{re^{ir} : 0 \leq r \leq \infty\}$ .

It is connected.

By the above thm,  $G$  is simply connected.

### 3. Mittag-Leffler's Thm

Q: Let  $G \subset \mathbb{C}$  open and  $\{a_k\} \subset G$  a sequence of distinct pts s.t.  $\{a_k\}$  has no limit pt in  $G$ .

For each  $k \geq 1$ , given rational functions

$$S_k(z) = \sum_{j=1}^{m_k} \frac{A_{jk}}{(z-a_k)^j} \quad (*)$$

Where  $m_k \in \mathbb{Z}^+$ ,  $A_{jk} \in \mathbb{C}$ .

Does there exist  $f \in H(G)$  whose poles are exactly  $\{a_k\}$  and s.t. the singular part of  $f$  at  $a_k$  is  $S_k(z)$ .

The answer is yes by Mittag-Leffler's Thm.

Thm (Mittag-Leffler's Thm) Let  $G$  be an open set,  $\{a_k\}$  a sequence of distinct pts in  $G$  without a limit pt in  $G$ , and let  $\{S_k(z)\}$  be the sequence of rational functions given by  $(*)$ . Then there is a meromorphic function  $f$  on  $G$  whose poles are exactly the pts  $\{a_k\}$  and s.t. the singular part of  $f$  at  $a_k$  is  $S_k(z)$ .

Idea of Pf: Naively, we can sum up  $S_k(z)$ 's to get

$$\sum_{k=1}^{\infty} S_k(z). \text{ But this may not converge.}$$

To overcome this, we will apply Runge's Thm to

$k=1$   
 To overcome this, we will apply Runge's Thm to find rational functions  $\{R_k(z)\}$  with poles in  $\mathbb{C}_\infty - G$  s.t.  $|S_k(z) - R_k(z)|$  small and thus  $\sum_{k=1}^{\infty} (S_k(z) - R_k(z))$  converges.

Pf: Step 1: Let  $\{K_n\}_{n=1}^{\infty}$  be an exhaustion sequence of  $G$ ,

s.t. 
$$G = \bigcup_{n=1}^{\infty} K_n, \quad K_n \subset \text{int } K_{n+1}.$$

and each component of  $\mathbb{C}_\infty - K_n$  contains a component of  $\mathbb{C}_\infty - G$ . (see proposition VII.1.2)

As each  $K_n$  is compact and  $\{a_k\}$  has no limit pt in  $G$ ,

$\Rightarrow$  There are only finite  $a_k$ 's in each  $K_n$ .

Define

$$I_1 = \{k : a_k \in K_1\}$$

$$I_n = \{k : a_k \in K_n - K_{n-1}\} \text{ for } n \geq 2.$$

Define functions  $f_n$  by

$$f_n(z) = \sum_{k \in I_n} S_k(z), \text{ for } n \geq 1.$$

Then  $f_n$  is rational and its poles are pts  $\{a_k : k \in I_n\} \subset K_n - K_{n-1}$ . (If  $I_n = \emptyset$ , let  $f_n = 0$ )

Since  $f_n$  has no poles in  $K_{n-1}$  (for  $n \geq 2$ ), it is analytic in a nbhd of  $K_{n-1}$ .

Step 2: Apply Runge's Thm with  $E = \mathbb{C}_\infty - G$ ,  $\exists$  a rational  $R_n(z)$  with poles in  $\mathbb{C}_\infty - G$  s.t.

$$|R_n(z) - f_n(z)| < \frac{1}{2^n} \text{ for } z \in K_n.$$

$K_n(z)$  with poles in  $\mathbb{C} \setminus G$  s.t.

$$|f_n(z) - R_n(z)| < \left(\frac{1}{2}\right)^n \text{ for } z \in K_{n-1}.$$

Claim:  $f(z) = f_1(z) + \sum_{n=2}^{\infty} [f_n(z) - R_n(z)]$  (\*\*)

is the desired meromorphic function.

Pf: ①  $f$  is analytic in  $G - \{a_k : k \geq 1\}$ .

Indeed, let  $K \subset G - \{a_k : k \geq 1\}$  be compact. Then

$K \subset K_N$  for some large  $N$ .  $\Rightarrow$

When  $n \geq N$ , we have  $|f_n(z) - R_n(z)| < \left(\frac{1}{2}\right)^n$  on  $K$ .

Thus (\*\*) converges uniformly on  $K$ .

As  $K$  is arbitrary,  $\Rightarrow$  (\*\*) converges in  $H(G - \{a_k : k \geq 1\})$ .

②  $a_k$  is a pole of  $f$ .

Fix  $k \geq 1$ . Choose  $r > 0$  s.t.  $\overline{B(a_k, r)} \subset G$  and  $|a_k - a_j| > r$  for  $\forall j \neq k$ .

Note  $\overline{B(a_k, r)} \subset K_{n_0}$  for some  $n_0$ .

Thus  $f_n - R_n$  is analytic in  $B(a_k, r)$  for large  $n$ .

$$\Rightarrow f(z) = S_k(z) + g(z) \text{ for } 0 < |z - a_k| < r$$

where  $g(z)$  is analytic in  $B(a_k, r)$

Hence  $z = a_k$  is a pole of  $f$  and  $S_k(z)$  is its singular part. This completes the pf.