

Part 2: Set Theory

First Definitions and Concepts

Martin Licht, Ph.D.

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UC San Diego

Department of Mathematics

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What is a set?

“A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought - which are called elements of the set.”

(Georg Cantor, Beiträge zur Begründung der transfiniten Mengenlehre)

Informally, a set is a collection of “things”.

A few examples:

- $\mathbb{N} := \{1, 2, 3, \dots\}$,
- $\mathbb{N}_0 := \{0, 1, 2, 3, \dots\}$,
- $\mathbb{Z} := \{-3, -2, -1, 0, 1, 2, 3, \dots\}$,
- \mathbb{Q} , the rational numbers,
- \mathbb{R} , the real numbers,
- \mathbb{C} , the complex numbers,
- \mathbb{R}^+ , the positive real numbers,
- \mathbb{R}_0^+ , the non-negative real numbers,
- \mathbb{R}^3 , the set of three component vectors,
- \emptyset , the empty set.

If a thing x is an element of a set A , then we write

$$x \in A.$$

If a thing x is not an element of a set B , then we write

$$x \notin B.$$

Every thing x is **either** an element of any given set C or it is not.
Hence the following proposition is true:

$$x \in C \oplus x \notin C$$

In fact, the slightly weaker formulation with an inclusive-or holds as well:

$$x \in C \vee x \notin C$$

Note that

$$\begin{aligned} \neg(x \in C \vee x \notin C) &\iff \neg(x \in C) \wedge \neg(x \notin C) \\ &\iff x \notin C \wedge x \in C \iff x \in C \wedge x \notin C \end{aligned}$$

A few examples

- $0 \notin \mathbb{N}$
- $\sqrt{2} \in \mathbb{R}$
- $\sqrt{2} \notin \mathbb{Q}$
- $e, \pi, \sqrt{3} \in \mathbb{R}$
- $3.\overline{14134} \notin \mathbb{Z}$
- $4 \in \{1, 2, 3, 4, 5, 6, 7\}$
- $25 \notin \{2, 4, 6, 8, \dots\}$

Set equality

Two sets A and B are called **equal** if and only if

$$x \in A \iff x \in B$$

for every thing x . We then write

$$A = B$$

and otherwise we write

$$A \neq B.$$

The **empty set** \emptyset is the set that does not contain any elements.

If A is a set, then a set S is called a **subset** of A if all elements of S are elements of A . In other words,

$$x \in S \implies x \in A.$$

We may also say that A is a **superset** of S . We write

$$S \subseteq A$$

We occasionally encounter chains of subset-relations.

$$A \subseteq B \subseteq C \subseteq D.$$

A few examples:

- $\emptyset \subseteq A$
- $A \subseteq A$
- $\mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{R} \subseteq \mathbb{C}$
- $\{2, 3, 4\} \subseteq \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Notational Issues for the Subset Relation

Let A be a set and let S be a subset.

We call S a **proper** subset of A if $S \subseteq A$ and $S \neq A$. We then write

$$S \subsetneq A.$$

Generally, we sometimes write

$$B \subset C$$

for B being a subset of C , but that notation leaves ambiguous whether B can be a proper subset or not.

Defining sets via their elements

We may define a set A by stating its elements:

$$A := \{1, 2, 3\}.$$

The order in which the elements are stated does not matter:

$$A := \{1, 2, 3\} = \{3, 2, 1\} = \{1, 3, 2\}$$

A set is an *unordered collection of things*.¹

¹See also the set container in the C++ STL...

Sets defined via statements I

Let $P(x)$ be a statement that depends on a variable x . Then we define a set by

$$A := \{ x \mid P(x) \}$$

This is also known as **set-builder notation** or **set comprehension**.²

The statement $P(x)$ is also known as the **predicate** of the set comprehension.

²Compare with **list comprehension** in programming languages such as Python or Haskell.

Sets defined via statements II

We often specify a domain for the variable in the set comprehension.

$$A := \{ x \in B \mid P(x) \} = \{ x \mid x \in B \wedge P(x) \}$$

In naive set theory, one may regard this as syntactic sugar.

But in rigorous set theory, set comprehension with a pre-defined range for the variable is the only set comprehension that is defined.

A few examples:

- $A := \{ x \in \mathbb{N} \mid x \text{ is a square number} \}$
- $\mathbb{P} := \{ x \in \mathbb{N} \mid x \text{ is a prime number} \}$
- $B := \{ x \in \mathbb{R} \mid x^2 \in \mathbb{N} \}$
- $C := \{ x \in \mathbb{R} \mid 3x^2 - 5x + 6 = 1 \}$
- $D := \{ x \in \mathbb{Q} \mid 3x^2 - 5x + 6 = 1 \}$
- $[a, b] := \{ x \in \mathbb{R} \mid a \leq x \wedge x \leq b \}$
- $\mathbb{R}^+ := \{ x \in \mathbb{R} \mid x > 0 \}$

Sets of Sets

We may form sets of sets, sets of sets of sets, etc. For example:

- $\{\{0, 1, 2\}, \{3, 4, 5\}, \{6, 7, 9\}\}$
- $\{\{0, 1\}, \{0, 2\}, \{1, 2\}\}$
- $\{\{0, 1, 2, \dots\}, \mathbb{R}, \{\mathbb{Z}, \emptyset\}, 4711\}$
- $\{\emptyset\}$
- $\{\{\{\emptyset\}\}\}$

Informally, every set is a “thing” that can be an element of another set.

We may see later that “things” such as 0 , 1 , $\sqrt{2}$, are sets in axiomatic set theory!

Hence, technically, we only talk about sets of sets.

Questions?