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# Curriculum Vitae

## Jiawang Nie

### Research Areas

- Polynomial and Semidefinite Optimization
- Matrix and Tensor Computation
- Convex Algebraic Geometry
- Data Science Optimization

### Education

**Ph.D.** University of California at Berkeley, 2006

**M.S.** Chinese Academy of Sciences, 2000

**B.S.** Xi'an Jiao Tong University, 1997

### Academic Appointments

2015–present, Full Professor of Mathematics, UCSD.

2011–2015, Associate Professor of Mathematics, UCSD.

2007–2011, Assistant Professor of Mathematics, UCSD.

2006–2007, Postdoctoral Fellow, IMA, University of Minnesota

### Awards and Honors

- *Fellow of the AMS*, 2023.
- *Feng Kang Prize*, 2021.
- *SIAG/Linear Algebra Best Paper Prize*, SIAM, 2018.
- *The Kalman Visiting Fellowship*, University of Auckland, 2015.
- *Optimization Prize for Young Researchers*, INFORMS, 2014
- *Tucker Prize Finalist*, Mathematical Optimization Society, 2009
- *CAREER Award*, National Science Foundation, 2009
- *Hellman Foundation Fellowship*, UCSD, 2009

### Grants

- NSF DMS-2110780: *Lagrange Multiplier Expression Methods for Optimization*, \$350,000, 2021.
- NSF DMS-1619973: *Computational Methods for Symmetric Tensor Problems*, \$150,000, 2016.
- NSF DMS-1417985: *Semidefinite Programming Methods for Moment and Optimization Problems*, \$209,999, 2014.

- NSF DMS-0844775: *CAREER: Linear Matrix Inequality Representations in Optimization*, \$500,445, 2009.
- *Semidefinite programming and convex sets*, \$21,333, Hellman Foundation, 2009.
- NSF DMS-0757212: *FRG: Collaborative Research: Semidefinite optimization and convex algebraic geometry* (joint with J. William Helton), \$478,980, 2008.
- Academic Senate Research Grant, UCSD, \$10,493, 2008.

## Publications

1. J. Nie. *Moment and Polynomial Optimization*, SIAM, 2023.
2. J. Nie, L. Yang, S. Zhong, G. Zhou. Distributionally robust optimization with moment ambiguity sets, *Journal of Scientific Computing* 94(1), 12, 2023.
3. J. Nie, S. Zhong. Loss functions for finite sets, *Computational Optimization and Applications* 84(2), 421–447, 2023.
4. L. Huang, J. Nie and Y. Yuan. Generalized truncated moment problems with unbounded sets, *Journal of Scientific Computing* 95(1), 15, 2023.
5. J. Nie, X. Tang, Z. Yang and S. Zhong. Dehomogenization for completely positive tensors, *Numerical Algebra, Control and Optimization* 13(2), 340–363, 2023.
6. J. Nie and X. Tang. Convex generalized Nash equilibrium problems and polynomial optimization, *Mathematical Programming* 198(2), 1485–1518, 2023.
7. J. Nie, L. Wang, and Z. Zheng. Higher Order Correlation Analysis for Multi-View Learning, *Pacific Journal of Optimization* 19(2), 237–255, 2023.
8. L. Huang, J. Nie and Y. Yuan. Homogenization for polynomial optimization with unbounded sets. *Mathematical Programming* 200(1), 105–145, 2023.
9. M. Dressler, J. Nie and Z. Yang. Separability of Hermitian tensors and PSD decompositions, *Linear and Multilinear Algebra* 70(21), 6581–6608, 2022.
10. B. Guo, J. Nie and Z. Yang. Learning diagonal Gaussian mixture models and incomplete tensor decompositions, *Vietnam Journal of Mathematics* 50(2), 421–446, 2022.
11. S. Friedland, J. Lasserre, L.-H. Lim, J. Nie. Preface to the Special Issue: Polynomial and Tensor Optimization, *Mathematical Programming* 193(2), 511–512, 2022.
12. J. Nie, X. Tang and L. Xu. The Gauss–Seidel method for generalized Nash equilibrium problems of polynomials, *Computational Optimization and Applications* 78(2), 529–557, 2021.
13. J. Nie, Z. Yang and G. Zhou. The saddle point problem of polynomials. *Foundations of Computational Mathematics* 22, 1133–1169, 2022.
14. J. Nie, L. Wang, J. Ye and S. Zhong. A Lagrange multiplier expression method for bilevel polynomial optimization, *SIAM Journal on Optimization* 31(3), 2368–2395, 2021.
15. J. Nie and Z. Yang. Hermitian tensor decompositions, *SIAM Journal on Matrix Analysis and Applications* 41(3), 1115–1144, 2020.
16. I. Klep and J. Nie. A matrix Positivstellensatz with lifting polynomials, *SIAM Journal on Optimization* 30 (1), 240–261, 2020.

17. J. Nie, L. Yang, and S. Zhong. Stochastic polynomial optimization. *Optimization Methods and Software* 35 (2), 329–347, 2020.
18. J. Nie and K. Ye. Hankel tensor decompositions and ranks. *SIAM Journal on Matrix Analysis and Applications*, 40(2), 486–516, 2019.
19. J. Nie. Tight relaxations for polynomial optimization and Lagrange multiplier expressions, *Mathematical Programming* 178 (1-2), 1-37, 2019.
20. J. Fan, J. Nie, and A. Zhou. Completely Positive Binary Tensors, *Mathematics of Operations Research* 44 (3), 1087-1100, 2019.
21. J. Nie, Z. Yang and X. Zhang. A complete semidefinite algorithm for detecting copositive matrices and tensors, *SIAM Journal on Optimization*, 28(4), 2902–2921, 2018.
22. J. Fan, J. Nie, and A. Zhou. Tensor eigenvalue complementarity problems, *Mathematical Programming*, 170(2), 507–539, 2018.
23. J. Nie and X. Zhang Real eigenvalues of nonsymmetric tensors, *Computational Optimization and Applications*, 70(1), 1–32, 2018.
24. J. Nie. Low rank symmetric tensor approximations. *SIAM Journal on Matrix Analysis and Applications*, 38(4), 1517–1540, 2017.
25. J. Nie. Symmetric tensor nuclear norms, *SIAM Journal on Applied Algebra and Geometry*, 1(1), 599–625, 2017.
26. J. Nie, L. Wang, and J. Ye. Bilevel polynomial programs and semidefinite relaxation methods. *SIAM Journal on Optimization*, 27(3), 1728–1757, 2017.
27. J. Nie. Generating Polynomials and Symmetric Tensor Decompositions, *Foundations of Computational Mathematics*, Vol. 17, No. 2, pp. 423-465, 2017.
28. J. Nie and X. Zhang. Positive Maps and Separable Matrices, *SIAM Journal on Optimization*, Vol. 26, No. 2, pp. 1236-1256, 2016
29. J. Nie. Linear Optimization with Cones of Moments and Nonnegative Polynomials, *Mathematical Programming*, Ser. B, Vol. 153, No. 1, pp. 247–274, 2015.
30. J. Nie. The Hierarchy of Local Minimums in Polynomial Optimization, *Mathematical Programming*, Ser. B, Ser. B, Vol. 151, No.2, pp. 555-583, 2015.
31. C. Cui, Y. Dai, and J. Nie. All Real Eigenvalues of Symmetric Tensors. *SIAM Journal on Matrix Analysis and Applications*, Vol. 35, No. 4, pp. 1582-1601, 2014.
32. J. Nie and L. Wang. Semidefinite Relaxations for Best Rank-1 Tensor Approximations. *SIAM Journal on Matrix Analysis and Applications*, Vol. 35, No. 3, pp. 1155-1179, 2014.
33. J. Nie. The  $\mathcal{A}$ -Truncated  $K$ -Moment Problem. *Foundations of Computational Mathematics*, Vol. 14, No. 6, pp. 1243-1276, 2014.
34. J. Nie. Optimality Conditions and Finite Convergence of Lasserre’s Hierarchy. *Mathematical Programming*, Ser. A, Vol. 146, No. 1-2, pp. 97-121, 2014.
35. J. Nie. Polynomial Optimization with Real Varieties. *SIAM Journal On Optimization*, Vol. 23, No. 3, pp. 1634-1646, 2013.
36. J. Nie. Certifying Convergence of Lasserre’s Hierarchy via Flat Truncation. *Mathematical Programming*, Ser. A, Vol. 142, No. 1-2, pp. 485-510, 2013.

37. J.Nie. An Approximation Bound Analysis for Lasserre's Relaxation in Multivariate Polynomial Optimization. *Journal of the Operations Research Society of China*, Vol. 1, No. 3, pp. 313-332, 2013.
38. L. Fialkow and J. Nie. On the closure of positive flat moment matrices. *Journal of Operator Theory* 69 (2013), no. 1, 257-277.
39. J. Nie. An Exact Jacobian SDP Relaxation for Polynomial Optimization. *Mathematical Programming*, Ser. A, Vol. 137, pp. 225-255, 2013.
40. J. Nie. Chapter 6: Semidefinite Representability *Semidefinite Optimization and Convex Algebraic Geometry:* 251-291, SIAM, 2013.
41. J. W. Helton and J. Nie. A Semidefinite Approach for Truncated K-Moment Problem. *Foundations of Computational Mathematics*, Vol. 12, No. 6, pp. 851-881, 2012.
42. J. Nie. Convex hulls of quadratically parameterized sets with quadratic constraints. *Mathematical methods in systems, optimization, and control*, 247–258, Oper. Theory Adv. Appl., 222, Birkhäuser/Springer Basel AG, Basel, 2012.
43. L. Fialkow and J. Nie. The truncated moment problem via homogenization and flat extensions. *Journal of Functional Analysis*, 263 (2012), no. 6, 1682-1700.
44. J. Nie and L. Wang. Regularization Methods for SDP Relaxations in Large Scale Polynomial Optimization. *SIAM Journal On Optimization*, Vol. 22, No. 2, pp. 408-428, 2012.
45. J. Nie. First Order Conditions for Semidefinite Representations of Convex Sets Defined by Rational or Singular Polynomials. *Mathematical Programming*, Ser. A, Vol. 131, No. 1, pp. 1-36, 2012.
46. J.W. Helton and J. Nie. Semidefinite representation of convex sets and convex hulls. *Handbook on semidefinite, conic and polynomial optimization*, 77-112, Internat. Ser. Oper. Res. Management Sci., 166, Springer, New York, 2012.
47. J. Nie. Discriminants and Nonnegative Polynomials *Journal of Symbolic Computation*, Vol. 47, No. 2, pp. 167-191, 2012.
48. J. Nie. Sum of squares methods for minimizing polynomial forms over spheres and hypersurfaces. *Frontiers of Mathematics in China*, 7:321-346, 2012.
49. J. Nie. Polynomial Matrix Inequality and Semidefinite Representation. *Mathematics of Operations Research*, Vol. 36, No. 3, pp. 398-415, 2011.
50. L. Fialkow and J. Nie. Positivity of Riesz Functionals and Solutions of Quadratic and Quartic Moment Problems. *Journal of Functional Analysis*, 258 (2010), no. 1, 328–356.
51. J. Nie, K. Ranestad and B. Sturmfels. Algebraic Degree of Semidefinite Programming. *Mathematical Programming*, Series A, Vol. 122, No.2, pp. 379-405, 2010.
52. C. Ling, J. Nie, L. Qi, and Y. Ye. Bi-Quadratic Optimization over Unit Spheres and Semidefinite Programming Relaxations. *SIAM Journal on Optimization*, Vol. 20, No. 3, pp. 1286-1310, 2009.
53. J.W. Helton and J. Nie. Semidefinite representation of convex sets. *Mathematical Programming*, Series A, Vol. 122, No.1, pp. 21-64, 2010.

54. J. Nie and B. Sturmfels. Matrix cubes parametrized by eigenvalues. *SIAM Journal on Matrix Analysis and Applications*, Vol. 31, No. 2, pp. 755-766, 2009.
55. J.W. Helton and J. Nie. Sufficient and Necessary Conditions for Semidefinite Representability of Convex Hulls and Sets. *SIAM Journal on Optimization*, Vol. 20, No.2, pp. 759-791, 2009.
56. J. Nie. Sum of Squares Method for Sensor Network Localization. *Computational Optimization and Applications*, Vol.43, No. 2 (2009), pp. 151-179.
57. J. Nie and K. Ranestad. Algebraic Degree of Polynomial Optimization. *SIAM Journal on Optimization*, Vol. 20, No. 1, pp. 485-502, 2009.
58. J.William Helton and J. Nie. Structured Semidefinite Representation of Some Convex Sets. *Proceeding of 47th IEEE Conference on Decision and Control*, pp. 4797 - 4800, Cancun, Mexico, Dec. 9-11, 2008.
59. B. Li, J. Nie, and L. Zhi. Approximate GCDs of polynomials and sparse SOS relaxations. *Theoretical Computer Science*, 409(2) pp.200-210, 2008.
60. J. Nie and J. Demmel. Sparse SOS relaxations for minimizing functions that are summation of small polynomials. *SIAM Journal on Optimization*, Vol. 19, No. 4, pp. 1534-1558 (2008).
61. S. He, Z. Luo, J. Nie and S. Zhang. Semidefnite Relaxation Bounds for Indefinite Homogeneous Quadratic Optimization. *SIAM Journal on Optimization*, Vol. 19, No.2, pp. 503-523, 2008.
62. M. Mevissen, M. Kojima, J. Nie and N. Takayama. Solving partial differential equations via sparse SDP relaxations. *Pacific Journal of Optimization*, Vol. 4 (2) 213 - 241 (2008).
63. J. Nie, P. Parrilo and B. Sturmfels Semidefinite representation of  $k$ -ellipse. *IMA Volume 146: Algorithms in Algebraic Geometry* (Eds. A. Dickenstein, F.-O. Schreyer, and A. Sommese), pp. 117-132, Springer, New York, 2008.
64. J. Nie, J. Demmel and M. Gu. Global minimization of rational functions and the nearest GCDs. *Journal of Global Optimization*, Vol. 40 (2008), no.4, 697-718.
65. C. Hillar and J. Nie. An elementary and constructive proof of Hilbert's 17th Problem for matrices. *Proceedings of the American Mathematical Society*, 136 (2008), 73-76.
66. J. Nie and M. Schweighofer. On the complexity of Putinar's positivstellensatz. *Journal of Complexity* 23(2007) 135-150.
67. J. Demmel, J. Nie and V. Powers Representations of positive polynomials on non-compact semialgebraic sets via KKT ideals. *Journal of Pure and Applied Algebra*, Vol. 209, No. 1, pp. 189-200, 2007.
68. J. Nie, J. Demmel and B. Sturmfels. Minimizing polynomials via sum of squares over the gradient ideal. *Mathematical Programming*, Series A, Vol. 106 (2006), No. 3, 587-606.
69. J. Nie and J. Demmel. Minimum ellipsoid bounds for solutions of polynomial systems via sum of squares. *Journal of Global Optimization* (2005) 33: 511-525.
70. J. Nie and J. Demmel. Shape optimization of transfer functions. *Multilevel optimization methods and applications* (eds. W. Hager, P. Pardalos, S. Huang etc.), pp. 313-326, Springer series on nonconvex optimization and its applications, 2005.

71. J. Nie and Y. Yuan. A predictor-corrector algorithm for QSDP combining Dikin-type and Newton centering steps. *Annals of Operations Research*, 103(2001) 115-133.
72. J. Nie and Y. Yuan. A potential reduction algorithm for a new SDP problem. *Science in China*, Vol.43, No.1, Jan. 2000.

### **Master Thesis**

**Title:** An extended semidefinite programming problem.

**Institute:** Chinese Academy of Sciences.

**Chair:** Ya-Xiang Yuan.

### **Ph.D. Thesis**

**Title:** Global optimization of polynomial functions and applications.

**Institute:** University of California, Berkeley.

**Co-Chairs:** James Demmel and Bernd Sturmfels.

### **Ph. D. Students**

Chris Nelson (2012), Li Wang (2014), John Rehbeck (2017), Xindong Tang (2021), Zi Yang (2021), Suhan Zhong (2022), Zequn Zheng (2023).

### **Postdoctoral supervisees**

Feng Guo (2012-2013), Marieke Dressler (2018-2021), Kisun Lee (2020-2023), Aliabadi Mohsen (2022-2025), Lei Huang (2023-2026).

### **Editorial Board Services**

- Numerical Algebra, Control and Optimization, co-Editor-in-Chief, 2021-present.
- Science in China Mathematics, Associate Editor, 2023-present.
- Journal of the Operations Research Society of China, Associate Editor, 2012-present.
- Computational Optimization and Applications, Associate Editor, 2014-present.
- SIAM Journal On Matrix Analysis and Applications, Associate Editor, 2018-present.
- Mathematics of Operations Research, Associate Editor, 2019-present.

### **Invited/Planary/Prize Lectures**

- Feng Kang Prize speaker, Annual meeting of Computational Mathematics in China, July 15-19, 2023.
- Plenary Lecture, The Workshop on Tensor Optimization and Application, July 24, 2022.
- Colloquium speaker, Department of Mathematics, University of Hong Kong, February 24, 2022.

- Plenary Lecture, The 9th CAM (Computational and Applied Mathematics) and ICCM (International Congress of Chinese Mathematician) Workshop, August 19-22, Nanjing, 2021.
- Plenary Lecture, The workshop on Tensor and Polynomial Optimization, April 16, 2021.
- Invited Lecture, Department of Mathematics, Shanghai University, September 19, 2020.
- CAM colloquium speaker, Penn State University, September 8, 2020.
- Plenary Lecture, The OR Forum, The Operations Research Society of China, August 30, 2020.
- Invited Lecture, *Geometry of Real Polynomials, Convexity and Optimization*, Banff International Research Station, Canada, May 26-31, 2019.
- Invited Lecture, *Hyperbolic Polynomials and Hyperbolic Programming*, Simons Institute for the Theory of Computing, Berkeley, CA, April 30 – May 3, 2019.
- Plenary Lecture, “*Optimization: Fundamentals and Algorithms for Structured Problems*”, University of Toulouse, France, June 28-29, 2018.
- Plenary (Prize) Lecture, *The SIAM Conference on Applied Linear Algebra (SIAM-ALA18)*, Hong Kong, May 4-8, 2018.
- Plenary Lecture, *The 11th International Conference on Numerical Optimization and Numerical Linear Algebra*, China, August 8-11, 2017.
- Invited Lecture, *The 7th International Congress of Chinese Mathematicians*, Beijing, China, August 6-11, 2016.
- Plenary Lecture, *Optimization and Algebraic Geometry*, National Institute for Mathematical Sciences (NIMS), Daejeon, Korea, June 16-20, 2014.
- Prize Lecture, INFORMS Annual Meeting, San Francisco, November 9-12, 2014.
- Plenary Lecture, *Thematic programme on Polynomial Optimisation*, Isaac Newton Institute for Mathematical Sciences, Cambridge, UK, 2013.
- Invited Lecture, *Workshop on Large Scale Conic Programming*, Institute for Mathematical Sciences (IMS), Singapore, 2012.
- Plenary Lecture, *The 5th Sino-Japan Optimization Meeting*, Beijing, China, 2011
- Invited Lecture, *Convex Optimization and Algebraic Geometry*, IPAM, UCLA, 2010.