

# DUALITY

Ten Exercises for Bernd Sturmfels' Lectures  
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1. Let  $P$  be the convex hull of three pairwise touching circles in  $\mathbb{R}^2$ . Draw the dual convex body  $P^*$ . Now repeat the same with four spheres in  $\mathbb{R}^3$ . Finally, what do you get when you take four pairwise touching circles in  $\mathbb{R}^3$ ?
2. The curve  $X = \{(x, y) \in \mathbb{R}^2 : x^4 + y^4 = 1\}$  is known as the *TV screen*. Determine the irreducible polynomial whose zero set is the dual curve  $X^*$ . Explain the results of our computation in terms of two dual norms on  $\mathbb{R}^2$ .
3. Let  $X$  be the variety consisting of all  $2 \times 2 \times 2$ -tensors of rank one. As a projective variety,  $X$  is the Segre embedding of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  into  $\mathbb{P}^7$ . Compute the dual variety  $X^*$ . Verify the biduality  $(X^*)^* = X$  for this example.
4. Draw a picture of the three-dimensional spectrahedron

$$P = \left\{ (x, y, z) \in \mathbb{R}^3 : \begin{pmatrix} 1 & x & 0 & x \\ x & 1 & y & 0 \\ 0 & y & 1 & z \\ x & 0 & z & 1 \end{pmatrix} \succeq 0 \right\}.$$

Also, compute the dual convex body  $P^*$ . Determine all faces of  $P$  and  $P^*$ .

5. Examine the trigonometric space curve which has the parametrization  $x = \cos(\theta)$ ,  $y = \cos(2\theta)$ ,  $z = \sin(3\theta)$ . Compute the convex hull of this curve.

**6.** Consider the problem of maximizing a linear function  $ax + by + cz$  over the spectrahedron in Problem 4. Write down the dual semidefinite program and the critical equations. Derive an explicit formula, in terms of radicals in  $a$ ,  $b$  and  $c$ , for the optimal value. Make sure your case distinction is complete.

**7.** Consider the problem of minimizing the trace of a positive semidefinite  $10 \times 10$ -matrix whose off-diagonal entries are fixed to be random large integers. The optimal matrix has entries in a finite field extension of  $\mathbb{Q}$ . What ranks are possible for that matrix? What about the degree of the extension?

**8.** The semidefinite completion problem for a 4-cycle leads to the formula

$$\exists(x, y) \in \mathbb{R}^2 : \begin{pmatrix} u_{11} & u_{12} & x & u_{14} \\ u_{12} & u_{22} & u_{23} & y \\ x & u_{23} & u_{33} & u_{34} \\ u_{14} & y & u_{34} & u_{44} \end{pmatrix} \succeq 0.$$

This formula defines a convex cone  $\mathcal{C}_{\mathcal{L}}$  in  $\mathbb{R}^8$ . Compute the unique polynomial in the eight unknowns  $u_{ij}$  whose zero set is the algebraic boundary of  $\mathcal{C}_{\mathcal{L}}$ .

**9.** The polynomial  $p(x) = 1 + x + x^2 + x^3 + x^4 + x^5 + x^6$  is non-negative on the real line. Find all possible representations of  $p(x)$  as a sum of two squares. Does one of these representations involve only rational numbers? Show that the set of all SOS representations of  $p(x)$  is a three-dimensional spectrahedron. Draw this spectrahedron and compute its analytic center.

**10.** For which values of the parameters  $a$  and  $b$  is the following polynomial non-negative on  $\mathbb{R}^2$ ? In those cases, it is a sum of squares of polynomials.

$$f_{a,b}(x, y) = x^4 + y^4 + a(x^3 + y^2) + b(y^3 + x^2) + (a + b).$$

Draw that convex region  $\mathcal{C}$  in the  $(a, b)$ -plane. The *fiber* over  $(a, b) \in \mathcal{C}$  is the spectrahedron whose points are the SOS representations of  $f_{a,b}$ . What are the various dimensions of the fibers as  $(a, b)$  ranges over the convex set  $\mathcal{C}$ ?