

Lecture 16. Compactness. Here are the solutions you came up with in class:

Old midterm problem (2008): Decide whether the following statements are true or false.

(a) A countable intersection of open subsets of \mathbb{R} is open.

False. For example in \mathbb{R}^k ,

$$\bigcap_{k=1}^{\infty} N_{1/k}(0) = \{0\}.$$

In \mathbb{R}^1 , the neighborhoods (or balls) are just intervals. We have

$$\bigcap_{k=1}^{\infty} (-1/k, 1/k) = \{0\}.$$

(b) If F is a function and A, B are subsets of the domain of F , then $F(A \cup B) = F(A) \cup F(B)$.

True. Suppose $y \in F(A \cup B)$. Then $y = f(x)$ where $x \in A \cup B$. Then either $x \in A$ or $x \in B$. In the first case, $y \in F(A) \subset F(A) \cup F(B)$. In the second case, $y \in F(B) \subset F(A) \cup F(B)$, so we see that in either case, $y \in F(A) \cup F(B)$. Hence $F(A \cup B) \subset F(A) \cup F(B)$.

Conversely, suppose $y \in F(A) \cup F(B)$. Then either $y \in F(A)$ or $y \in F(B)$. In the first case, $y = F(x)$ with $x \in A \subset A \cup B$ and so $y \in F(A \cup B)$. In the second case, $y = F(x)$ with $x \in B \subset A \cup B$ and so again, $y \in F(A \cup B)$. Hence in either case, $y \in F(A \cup B)$ and so $F(A) \cup F(B) \subset F(A \cup B)$.

Since we obtained both inclusions, we conclude that $F(A \cup B) = F(A) \cup F(B)$.

(c) If A is a countable subset of an uncountable set B , then $B - A$ is uncountable.

True. Suppose to get a contradiction that $B - A$ is countable. Then $A = B \cup (A - B)$ is a union of two countable sets and hence countable, which is a contradiction. Hence $B - A$ must be uncountable.

(d) If S is an infinite subset of \mathbb{R} then S has an accumulation point in \mathbb{R} .

False. For example, the integers have no accumulation point in \mathbb{R} .

(e) If S is an uncountable subset of \mathbb{R} then S has an accumulation point in \mathbb{R} .

True. (The class combined their ideas to give two essentially different proofs of this fact.) The results we need are

Theorem 2.37. If E is an infinite subset of a compact set K , then E has a limit point in K .

Theorem 2.40. Every k -cell is compact.

First proof of (e). Notice that $[-n, n] \cap S$ must be infinite (in fact uncountable) for some n . Otherwise $S = \cup_{n=1}^{\infty} ([-n, n] \cap S)$ is a countable union of finite sets and hence countable. However, since $[-n, n] \cap S$ is infinite, and $[-n, n]$ is compact, we see that S has a limit point in $[-n, n]$.

Second proof of (e). (This proof is stronger because it shows that S has a limit point which lies in S .) Suppose that no point of S is a limit point of S . Then for each $p \in S$ there exists $r_p > 0$ such that p is the only point of $S \cap N_{r_p}(p)$. If p and q are points of S , then

$$(*) \quad N_{r_p/2}(p) \cap N_{r_q/2}(q) = \emptyset.$$

To see this, suppose without loss of generality that $r_p \geq r_q$, and suppose that there exists some point x in $N_{r_p/2}(p) \cap N_{r_q/2}(q)$. Then

$$|p - q| \leq |p - x| + |x - q| \leq \frac{r_p}{2} + \frac{r_q}{2} \leq r_p.$$

Hence $q \in N_{r_p}(p)$ which is a contradiction. Hence $(*)$ holds. Now since the rationals are dense in \mathbb{R} , for each $p \in S$ we can choose a rational $w_p \in N_{r_p/2}(p)$. Clearly if $p \neq q$ then $w_p \neq w_q$ by $(*)$. But the rationals are countable and so this establishes a 1-1 correspondence between points p in S and the points w_p which form a countable set. Hence S is countable. Hence if S is uncountable then S must have a limit point in S .