

**Lecture 10: Section 4.4. Divergence and Curl.**

**Recap** gradient and divergence:

$$\nabla(xy e^z) = \left( \mathbf{i} \frac{\partial}{\partial x} + \mathbf{j} \frac{\partial}{\partial y} + \mathbf{k} \frac{\partial}{\partial z} \right) (xy e^z) = y e^z \mathbf{i} + x e^z \mathbf{j} + x y e^z \mathbf{k}.$$

$$\begin{aligned} \nabla \cdot (xy \mathbf{i} - 3e^z \mathbf{j} + \sin z \mathbf{k}) &= \left( \mathbf{i} \frac{\partial}{\partial x} + \mathbf{j} \frac{\partial}{\partial y} + \mathbf{k} \frac{\partial}{\partial z} \right) \cdot (xy \mathbf{i} - 3e^z \mathbf{j} + \sin z \mathbf{k}) \\ &= \frac{\partial(xy)}{\partial x} + \frac{\partial e^z}{\partial y} + \frac{\partial \sin z}{\partial z} = y + \cos z. \end{aligned}$$

**Physical interpretation of the divergence 1.**

The divergence of the velocity vector field  $\mathbf{F}$  of the fluid is the rate of expansion of the fluid per unit volume.

A fluid moves in space.  $\mathbf{F}(x, y, z)$  is the velocity of the particles at  $(x, y, z)$ . The particles travel along the flow lines of  $\mathbf{F}$ . Take a small region  $R$  around  $(x, y, z)$  with volume  $V$ . After time  $t$  it has flowed to a new region  $R_t$  with volume  $V_t$ . Then

$$\nabla \cdot \mathbf{F}(x, y, z) = \lim_{R \rightarrow (x, y, z)} \frac{1}{V} \left( \frac{dV_t}{dt} \Big|_{t=0} \right).$$

If  $\nabla \cdot \mathbf{F}(x, y, z) > 0$  then the fluid at  $(x, y, z)$  is expanding. If  $\nabla \cdot \mathbf{F}(x, y, z) < 0$  then the fluid at  $(x, y, z)$  is contracting. If the fluid is “incompressible” then  $\nabla \cdot \mathbf{F} = 0$ .

**Physical interpretation of the divergence 2.**

Let  $\mathbf{F}$  be the flow of a fluid (instead of the velocity). The flow or *flow rate density* is defined to be

$$\mathbf{F} = \mu \mathbf{v},$$

where  $\mu$  is the density and  $\mathbf{v}$  is the velocity. It measures the rate and direction in which mass is moving at a given point. To be precise, for a surface  $S$  with unit normal  $\mathbf{n}$ , we define the **flux of  $\mathbf{F}$  across  $S$**  to be the rate in *mass per unit time* at which fluid crosses over  $S$  (in the general direction of  $\mathbf{n}$ ). If now  $S$  is a small piece of plane with unit normal  $\mathbf{n}$  which contains  $(x, y, z)$  and has area  $\Delta S$ , then the **flux of  $\mathbf{F}$  across  $S$**  is approximately

$$\mathbf{F}(\mathbf{x}, \mathbf{y}, \mathbf{z}) \cdot \mathbf{n} \Delta S.$$

Indeed, in a small time  $\Delta t$ , the fluid particles close to  $(x, y, z)$  will travel approximately along the vector  $\mathbf{v} \Delta t$ . Those that will cross  $\Delta S$  are in the sloped cylinder with  $\Delta S$  as its base and  $\mathbf{v} \cdot \mathbf{n} \Delta t$  as its side. But the volume of this sloped cylinder

is  $\mathbf{v} \cdot \mathbf{n} \Delta t \Delta S$ . Hence the mass of fluid inside is  $\mathbf{F} \cdot \mathbf{n} \Delta t \Delta S$ . The rate at which mass crosses  $S$  is obtained by dividing by  $\Delta t$ .

The divergence of the flow  $\mathbf{F}(x, y, z)$  is the rate at which  $\mathbf{F}$  flows out from a small region  $R$  containing  $(x, y, z)$  divided by the volume  $V$  of the region.

$$\nabla \cdot \mathbf{F}(x, y, z) = \lim_{R \rightarrow (x, y, z)} \frac{\text{flux of } \mathbf{F} \text{ out from of the boundary of } R}{\text{Volume of } R}.$$

If  $\nabla \cdot \mathbf{F}(x, y, z) > 0$  then either the density is decreasing at  $(x, y, z)$  or fluid is being created there. If  $\nabla \cdot \mathbf{F}(x, y, z) < 0$  then either the density is increasing at  $(x, y, z)$  or fluid is being removed there.

**Curl.**

$$\nabla = \mathbf{i} \frac{\partial}{\partial x} + \mathbf{j} \frac{\partial}{\partial y} + \mathbf{k} \frac{\partial}{\partial z}$$

We symbolically define the **curl** of a vector field  $\mathbf{F} = F_1 \mathbf{i} + F_2 \mathbf{j} + F_3 \mathbf{k}$  by

$$\begin{aligned} \nabla \times \mathbf{F} &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ F_1 & F_2 & F_3 \end{vmatrix} = \begin{vmatrix} \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ F_2 & F_3 \end{vmatrix} \mathbf{i} - \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial z} \\ F_1 & F_3 \end{vmatrix} \mathbf{j} + \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} \\ F_1 & F_2 \end{vmatrix} \mathbf{k} \\ &= \left( \frac{\partial F_3}{\partial y} - \frac{\partial F_2}{\partial z} \right) \mathbf{i} + \left( \frac{\partial F_1}{\partial z} - \frac{\partial F_3}{\partial x} \right) \mathbf{j} + \left( \frac{\partial F_2}{\partial x} - \frac{\partial F_1}{\partial y} \right) \mathbf{k} \end{aligned}$$

This final formula gives the real definition, but the symbolic formula makes it easy to remember and compute.

**Example.** Find  $\nabla \times \mathbf{F}$  when

- (i).  $\mathbf{F} = x\mathbf{i} + y\mathbf{j}$ .
- (ii).  $\mathbf{F} = -y\mathbf{i} + x\mathbf{j}$ .
- (iii).  $\mathbf{F} = (-y\mathbf{i} + x\mathbf{j})/(x^2 + y^2)$ .

**Solution.** (i).

$$\nabla \times \mathbf{F} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ x & y & 0 \end{vmatrix} = \begin{vmatrix} \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ y & 0 \end{vmatrix} \mathbf{i} - \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial z} \\ x & 0 \end{vmatrix} \mathbf{j} + \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} \\ x & y \end{vmatrix} \mathbf{k} = \mathbf{0}$$

(ii).

$$\nabla \times \mathbf{F} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ -y & x & 0 \end{vmatrix} = \begin{vmatrix} \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ x & 0 \end{vmatrix} \mathbf{i} - \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial z} \\ -y & 0 \end{vmatrix} \mathbf{j} + \begin{vmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} \\ -y & x \end{vmatrix} \mathbf{k} = 2\mathbf{k}$$

(iii).

$$\nabla \times \mathbf{F} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ \frac{-y}{x^2 + y^2} & \frac{x}{x^2 + y^2} & 0 \end{vmatrix} = \left[ \frac{\partial}{\partial x} \left( \frac{x}{x^2 + y^2} \right) - \frac{\partial}{\partial y} \left( \frac{-y}{x^2 + y^2} \right) \right] \mathbf{k} = \dots = \mathbf{0}$$

**Physical Interpretation of curl.** Place a small paddle wheel in a fluid with flow  $\mathbf{F}$ . The paddle wheel will turn fastest when its axis points in the direction of  $\nabla \times \mathbf{F}$ . Moreover, it will turn according to the right hand rule: if the thumb of the right hand points towards  $\nabla \times \mathbf{F}$  then the fingers curl in the direction that the fluid rotates.