

# Guessing Secrets with Inner Product Questions

Fan Chung, Ronald Graham, and Linyuan Lu

**Abstract.** We suppose we are given some fixed (but unknown) subset  $X$  of a set  $\Omega = \mathbb{F}_2^n$ , where  $\mathbb{F}_2$  denotes the field of two elements. Our goal is to learn as much as possible about the elements of  $X$  by asking certain binary questions. Each “question”  $Q$  is just some element of  $\Omega$ , and the “answer” to  $Q$  is just the inner product  $Q \cdot x \in \mathbb{F}_2$  for some  $x \in X$ . However, the choice of  $x$  is made by a truthful (but possibly malevolent) adversary  $\mathbf{A}$ , whom we may assume is trying to choose answers so as to yield as little information as possible about  $X$ . In this note, we investigate several aspects of this problem. In particular, we are interested in extracting as much information as possible about  $X$  from  $\mathbf{A}$ ’s answers. Although  $\mathbf{A}$  can prevent us from learning the identity of any particular element of  $X$ , with appropriate questions we can still learn quite a bit about  $X$ . We determine the maximum amount of information that can be recovered under these assumptions and describe explicit sets of questions for achieving this goal. For the case that  $|X| = 2$ , we give an  $O(n^3)$  algorithm for recovering the desired information. On the other hand, when  $|X| \geq 3$ , we show that no polynomial-time algorithm can exist for producing a secret set consistent with the answers given, unless  $P = NP$ .

## 1. Introduction

The following information-theoretic identification problem was introduced in [Chung et al. 01a, Chung et al. 01b]. A fixed (but unknown) subset  $X$  of some finite set  $\Omega$  is given. A game is played between two players: the “seeker”  $\mathbf{S}$  and the “adversary”  $\mathbf{A}$ . The goal of  $\mathbf{S}$  is to learn as much as possible about  $X$  by asking  $\mathbf{A}$  binary questions. In general, each question can be thought of as some map  $Q : \Omega \rightarrow \{0, 1\}$ , so that  $\Omega$  is partitioned into  $\Omega = Q^{-1}(0) \cup Q^{-1}(1)$ .

For each  $Q$ ,  $\mathbf{A}$  is allowed to choose some element  $x \in X$ , and answers  $Q$  with the value  $Q(x) \in \{0, 1\}$ . In this case,  $\mathbf{S}$  knows that  $X \cap Q^{-1}(Q(x)) \neq \emptyset$ . Of course,  $\mathbf{A}$  could always use the *same* fixed element  $x \in X$  to answer *every* question  $\mathbf{S}$  asks, and then  $\mathbf{S}$  would never learn anything about any of the *other* elements of  $X$ .

As noticed in [Chung et al. 01a, Chung et al. 01b], with  $|X| = k$ ,  $\mathbf{S}$  can always choose a sufficiently rich set of questions so that no matter how  $\mathbf{A}$  selects the answers, the surviving set of possible  $k$ -element sets (or “ $k$ -sets”) of secrets consistent with all the answers forms an *intersecting*  $k$ -uniform hypergraph, i.e., a family  $\mathbf{F}$  of  $k$ -sets of  $\Omega$  so that any  $F, F' \in \mathbf{F}$  satisfy  $F \cap F' \neq \emptyset$ . Furthermore, this is the most that  $\mathbf{S}$  can hope to achieve, i.e., once this state is reached, then  $\mathbf{A}$  can prevent any additional  $k$ -set from being excluded as a possible secret set. Any set of questions which always results in an intersecting hypergraph will be called a *separating strategy* for  $\mathbf{S}$ .

We point out here that we will only be concerned with *oblivious* strategies for  $\mathbf{S}$ , i.e., those in which all questions must be specified before any answers are given. This can be contrasted to (more powerful) *adaptive* strategies in which the choice of questions can depend on earlier answers (for results on adaptive strategies, consult [Alon et al. 02] and [Chung et al. 01b]).

Our current interest in these questions arose from certain Internet routing algorithms in use by Akamai Technologies. In particular, it was desired to be able to associate with each client IP address the IP addresses of the nameservers the client is using (those are the “secrets”). Unfortunately, in neither the DNS nor the HTTP protocols do the client’s IP address and the nameserver’s IP address appear together. However, it is possible to gain a very limited amount of information about the nameserver’s IP address (e.g., one bit of the address) by clever local routing. Of course, if the client has multiple nameservers, then one does not know which address is supplying the answers. It turns out that very similar problems have occurred previously in the literature in the study of asynchronous sequential machines [Friedman et al. 69] where the relevant concept is that of an  $(r, s)$ -separating system. This is just a family  $\mathcal{F}$  of subsets of a set  $\Omega$  such that for any pair of disjoint subsets  $X$  and  $Y$  of  $\Omega$ , with  $|X| = r$ ,  $|Y| = s$ , there is an  $F \in \mathcal{F}$  such that  $F$  contains one of the sets  $X$  and  $Y$ , and is disjoint from the other one. Related questions have also arisen in the construction of hash functions and various authentication protocols (see [Alon et al. 02, Cohen et al. 01, Körner and Simonyi 88, Segalovich 94]).

In this note, we will take  $\Omega$  to be  $\mathbb{F}_2^n$  for some integer  $n$ , where  $\mathbb{F}_2$  denotes the field of two elements. Each question  $Q$  will be specified by some element of  $\mathbb{F}_2^n$ , and an answer to the question  $Q$  will be the inner product  $Q \cdot x$  for some  $x \in X$  (all arithmetic will be performed in  $\mathbb{F}_2$ ). One reason for restricting ourselves to

questions of this type is that they can be specified succinctly, that is, with  $n$  bits. Of course, the most general questions for  $\mathbb{F}_2^n$  require  $2^n$  bits to describe. The price you pay for this restriction is that  $\mathbf{S}$  can no longer guarantee that the final surviving possible secret  $k$ -sets will be intersecting. Rather, the best that  $\mathbf{S}$  can hope for, and in fact, which can always be achieved, is that a family of *larger* sets will be intersecting. We next describe these larger sets.

## 2. Separating Strategies for $k$ Secrets

The first issue we must address is the question of just how much separation can be achieved by inner product questions.

For any  $k$ -set  $X = \{X_1, \dots, X_k\} \subseteq \mathbb{F}_2^n$ , define

$$\text{Odd}(X) = \left\{ \sum_{i=1}^k \epsilon_i X_i : \epsilon_i \in \mathbb{F}_2 \text{ and } \sum_{i=1}^k \epsilon_i = 1 \right\}$$

(where addition in the first sum is taken on  $\mathbb{F}_2^n$ , and addition in the second sum is in  $\mathbb{F}_2$ ).

**Lemma 2.1.** *For  $k$ -sets  $X = \{X_1, X_2, \dots, X_k\}$  and  $Y = \{Y_1, Y_2, \dots, Y_k\}$  in  $\mathbb{F}_2^n$ , the following conditions are equivalent:*

(i)  $X_1 + Y_1 \notin \langle X_1 + X_2, \dots, X_{k-1} + X_k, Y_1 + Y_2, \dots, Y_{k-1} + Y_k \rangle$   
*(where  $\langle w_1, \dots, w_i, \dots, w_r \rangle$  denotes the vector space over  $\mathbb{F}_2$  spanned by the  $w_i$ 's);*

(ii) *There exists  $Q \in \mathbb{F}_2^n$  such that*

$$Q \cdot X_1 = Q \cdot X_2 = \dots = Q \cdot X_k \neq Q \cdot Y_1 = Q \cdot Y_2 = \dots = Q \cdot Y_k;$$

(iii)  $\text{Odd}(X) \cap \text{Odd}(Y) = \emptyset$ .

**Proof.** (i)  $\Rightarrow$  (ii).

Define  $\Delta'_0 = X_1 + Y_1$  and  $\Delta_i = X_i + X_{i+1}$ ,  $\Delta_{k-1+i} = Y_i + Y_{i+1}$ ,  $1 \leq i \leq k-1$ . Choose a basis for  $W = \langle \Delta_1, \Delta_2, \dots, \Delta_{2k-2} \rangle$ , say  $W = \langle \Delta'_1, \dots, \Delta'_r \rangle$  where each  $\Delta'_i$  is some  $\Delta_j$ . Since  $\Delta'_0 \notin W$  by hypothesis, then the matrix

$$\Delta' = \begin{bmatrix} \Delta'_0 \\ \Delta'_1 \\ \vdots \\ \Delta'_r \end{bmatrix}$$

has rank  $r + 1$  over  $\mathbb{F}_2$ . Hence, there exists an  $n \times (r + 1)$  matrix  $D$  satisfying

$$\Delta' D = I_{r+1},$$

where  $I_{r+1}$  is the  $(r + 1) \times (r + 1)$  identity matrix. In particular, the first column  $D_1$  of  $D$  satisfies

$$\Delta' \cdot D_1 = \begin{bmatrix} 1 \\ 0 \\ \cdot \\ \cdot \\ \cdot \\ 0 \end{bmatrix}.$$

Since all the  $\Delta_i, 1 \leq i \leq 2k - 2$ , are linearly dependent on the  $\Delta'_j, 1 \leq j \leq r$ , then  $\Delta_i \cdot D_1 = 0, 1 \leq i \leq 2k - 2$ , while  $\Delta'_0 \cdot D_1 = 1$ . Thus, (ii) holds as required.

(ii)  $\Rightarrow$  (iii).

Suppose  $\text{Odd}(X) \cap \text{Odd}(Y) \neq \emptyset$ . Thus, there exist  $\delta_i, \epsilon_i \in \mathbb{F}_2$  such that

$$\begin{aligned} \sum_{i=1}^k \delta_i &= 1 = \sum_{i=1}^k \epsilon_i & \text{and} \\ \sum_{i=1}^k \delta_i X_i &= \sum_{i=1}^k \epsilon_i Y_i. \end{aligned}$$

However, we can write

$$\sum_{i=1}^k \delta_i X_i = X_1 + \sum_{i=1}^{k-1} \delta'_i (X_i + X_{i+1}),$$

where  $\delta'_i = 1 + \sum_{j=1}^i \delta_j$ , since  $\delta'_{k-1} = \delta_k$ . Thus, (using the same argument for the  $Y_i$ ), we have

$$X_1 + \sum_{i=1}^{k-1} \delta'_i (X_i + X_{i+1}) = Y_1 + \sum_{i=1}^{k-1} \epsilon'_i (Y_i + Y_{i+1}), \quad \delta'_i, \epsilon'_i \in \mathbb{F}_2.$$

This now immediately implies the negation of (ii).

(iii)  $\Rightarrow$  (i).

Observe that

$$\begin{aligned}
& \Delta'_0 \in \langle \Delta_1, \dots, \Delta_{2k-2} \rangle \\
\Rightarrow & X_1 + Y_1 = \sum_{i=1}^{k-1} \delta_i (X_i + X_{i+1}) + \sum_{i=1}^{k-1} \epsilon_i (Y_i + Y_{i+1}), \quad \delta_i, \epsilon_i \in \mathbb{F}_2, \\
\Rightarrow & X_1 + \sum_{i=1}^{k-1} \delta_i (X_i + X_{i+1}) = Y_1 + \sum_{i=1}^{k-1} \epsilon_i (Y_i + Y_{i+1}) \\
\Rightarrow & X_1(1 + \delta_1) + X_2(\delta_1 + \delta_2) + \dots + X_{k-1}(\delta_{k-2} + \delta_{k-1}) + X_k \delta_{k-1} \\
& = Y_1(1 + \epsilon_1) + Y_2(\epsilon_1 + \epsilon_2) + \dots + Y_{k-1}(\epsilon_{k-2} + \epsilon_{k-1}) + Y_k \epsilon_{k-1} \\
\Rightarrow & \text{Odd}(X) \cap \text{Odd}(Y) \neq \emptyset.
\end{aligned}$$

We have shown (i)  $\Rightarrow$  (ii), (ii)  $\Rightarrow$  (iii), and (iii)  $\Rightarrow$  (i), so Lemma 2.1 is proved.  $\square$

Observe now that two  $k$ -sets  $X$  and  $Y$  can be “separated” by some question  $Q$  if and only if (ii) holds. In that case, whichever answer is given by  $\mathbf{A}$ , one of the two  $k$ -sets is eliminated of a possible  $k$ -set of secrets. Hence, by Lemma 2.1,  $X$  and  $Y$  *cannot* be separated by any inner product question if and only if  $\text{Odd}(X) \cap \text{Odd}(Y) \neq \emptyset$ . This proves

**Theorem 2.2.** *By using suitable inner product questions,  $\mathbf{S}$  can guarantee that for the family  $\mathcal{X}$  of surviving possible  $k$ -sets, i.e., consistent with all the answers given, the family  $\text{Odd}(\mathcal{X}) = \{\text{Odd}(X) : X \in \mathcal{X}\}$  is an intersecting family. Furthermore, this is the most that  $\mathbf{S}$  can guarantee. That is, for any family  $\mathcal{X}'$  such that  $\text{Odd}(\mathcal{X}')$  is intersecting,  $\mathbf{A}$  can always answer in such a way that all  $X \in \mathcal{X}'$  survive.*

We will call any such set of questions a *weakly separating strategy* for  $\mathbf{A}$ . Our next goal will be to exhibit a simple explicit weakly separating strategy.

For a positive integer  $m$ , define  $\mathcal{F}(m) \subseteq \mathbb{F}_2^n$  by:

$$\mathcal{F}(m) = \{X \in \mathbb{F}_2^n : X \text{ has at least one and at most } m \text{ coordinates equal to } 1\}.$$

In other words,  $\mathcal{F}(m)$  consists of all  $X \in \mathbb{F}_2^n$  with “weight”  $w(X)$  satisfying  $1 \leq w(X) \leq m$ .

**Theorem 2.3.**  *$\mathcal{F}(2k - 1)$  is a weakly separating strategy for secret sets of size  $k$ . However, this is not true for  $\mathcal{F}(2k - 2)$ .*

**Proof.** Suppose  $\mathcal{X}$  is a family of  $k$ -sets so that  $\text{Odd}(\mathcal{X})$  is not intersecting. Thus, there are  $X = (X_1, X_2, \dots, X_k) \in \mathcal{X}$ ,  $Y = (Y_1, Y_2, \dots, Y_k) \in \mathcal{X}$  such that  $\text{Odd}(X) \cap \text{Odd}(Y) = \emptyset$ . Adopting the notation of Lemma 2.1, then by Lemma 2.1, the matrix  $\Delta'$  has row rank  $r + 1$ . Hence, it also has column rank  $r + 1$ , which implies there are  $r + 1$  columns of  $\Delta'$ , say  $\Delta'(a_1), \dots, \Delta'(a_{r+1})$  which are linearly independent over  $\mathbb{F}_2$ . Thus, there are  $\epsilon_i \in \mathbb{F}_2$  such that

$$\sum_{i=1}^{r+1} \epsilon_i \Delta'(a_i) = \begin{bmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}_{r+1}.$$

Since  $\Delta_1, \Delta_2, \dots, \Delta_{2k-2}$  are all linearly dependent on the  $\Delta'_i, 1 \leq i \leq r$ , there we have

$$\sum_{i=1}^{r+1} \epsilon_i \Delta(a_i) = \begin{bmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}_{2k-1}$$

where  $\Delta(j)$  denotes the  $j^{\text{th}}$  column of the matrix

$$\Delta = \begin{bmatrix} \Delta'_0 \\ \Delta_1 \\ \Delta_2 \\ \vdots \\ \Delta_{2k-2} \end{bmatrix}.$$

Hence, the question  $Q = (Q_1, Q_2, \dots, Q_r)$  with

$$Q_j = \begin{cases} 1 & \text{if } j = a_i \text{ and } \epsilon_i = 1, 1 \leq i \leq r + 1, \\ 0 & \text{otherwise,} \end{cases}$$

satisfies

$$Q \cdot \Delta'_0 = 1, \quad Q \cdot \Delta_i = 0, \quad 1 \leq i \leq 2k - 2.$$

Thus, the question  $Q$  can be used to separate  $X$  and  $Y$ . However,  $Q$  only has weight  $w(Q) \leq r + 1 \leq 2k - 1$ . Applying the argument recursively, we see that we must eventually arrive at a family  $\mathcal{X}'$  with  $\text{Odd}(\mathcal{X}')$  intersecting, using only questions with weight at most  $2k - 1$ . This shows that  $\mathcal{F}(2k - 1)$  is a weakly separating strategy for secret sets of size  $k$ .

To show that  $\mathcal{F}(2k - 2)$  need not be weakly separating, let  $n = 2k - 1$  and consider the two  $k$ -sets  $X = \{X_1, X_2, \dots, X_k\}$  and  $Y = \{Y_1, Y_2, \dots, Y_k\}$  defined by:

$$X_i = (X_i(1), X_i(2), \dots, X_i(2k - 1)), \quad 1 \leq i \leq k,$$

$$\text{with } X_i(j) = \begin{cases} 1 & \text{if } j = i, \\ 0 & \text{otherwise} \end{cases}$$

and

$$Y_i = (Y_i(1), Y_i(2), \dots, Y_i(2k - 1)), \quad 1 \leq i \leq k,$$

$$\text{with } Y_i(j) = \begin{cases} 0 & \text{if } j = k - 1 + i, \\ 1 & \text{otherwise.} \end{cases}$$

First, observe that  $x \in \text{Odd}(X) \Rightarrow w(x)$  is odd and  $y \in \text{Odd}(Y) \Rightarrow w(y)$  is even. This shows that  $\text{Odd}(X) \cap \text{Odd}(Y) = \emptyset$ . We now claim that  $X$  and  $Y$  cannot be separated by any question  $Q$  with  $w(Q) < 2k - 1$ . For suppose  $Q = (Q_1, Q_2, \dots, Q_{2k-1})$ , and  $Q$  separates  $X$  and  $Y$ . This means that

$$Q \cdot X_1 = Q \cdot X_2 = \dots = Q \cdot X_k \neq Q \cdot Y_1 = Q \cdot Y_2 = \dots = Q \cdot Y_k.$$

However, this implies  $Q_1 = Q_2 = \dots = Q_k$  (because of the way the  $X_i$  are defined) and  $Q_k = Q_{k+1} = \dots = Q_{2k-1}$  (because of the way the  $Y_i$  are defined). Thus, the only such  $Q$  which can separate  $X$  and  $Y$  is the all 1s question, which has weight  $2k - 1$ . This completes the proof of Theorem 2.3.  $\square$

### 3. Inverting the Answers for $k = 2$

In this section, we restrict our attention to the case  $k = 2$  with  $\mathcal{F}(3) = \{Q \in \mathbb{F}_2^n : 1 \leq w(Q) \leq 3\}$  as our separating strategy. Since  $|X| = 2 \Rightarrow \text{Odd}(X) = X$ , then any two surviving pairs must be intersecting, i.e., must share a common element. Thinking of pairs of elements of  $\mathbb{F}_2^n$  as *edges* of a graph with vertex set  $\mathbb{F}_2^n$ , then the only possible intersecting sets are a *star* with some center  $X_0$ , or a *triangle*  $T$  on three vertices  $\{X_1, X_2, X_3\}$ . In the first case, it follows that  $X_0$  must be one of  $\mathbf{A}$ 's secrets. In the second case,  $\mathbf{S}$  can only conclude that  $\mathbf{A}$ 's secret pair is either  $\{X_1, X_2\}$ ,  $\{X_1, X_3\}$ , or  $\{X_2, X_3\}$  (and in particular, cannot assert that any specific element is in  $\mathbf{A}$ 's secret set).

We will now describe a recursive algorithm ALG for inverting the answers to  $\mathcal{F}(3)$  which runs in time  $O(n^3)$  on  $\Omega = \mathbb{F}_2^n$ . We will assume (inductively on  $n$ ) that ALG on  $\mathbb{F}_2^n$  (denoted by  $\text{ALG}(n)$ ) gives the following information on the surviving intersecting set  $E$  of edges:

- (i)  $E$  is a *star* with some center  $X_0$  (but no other information about the edges in the star), or
- (ii)  $E$  is a *triangle* on the set  $\{X_1, X_2, X_3\}$ . In this case, all edges  $X_i X_j, i \neq j$ , have survived.

Note that in case (i),  $E$  might consist of a single edge, in which case  $X_0$  could be either endpoint. Also note for  $n \leq 3$ , we can determine the information (star center or triangle) needed by ALG in  $O(1)$  questions. (More precisely, since there are at most  $2^3 = 8$  points, and so at most  $\binom{8}{2} = 28$  edges, and  $|\mathcal{F}(3)| \leq 7$ , then testing each possible edge requires at most 196 questions.) The main idea of  $\text{ALG}(n)$  is the following: For some  $n > 3$ , we first ask all the  $\binom{n}{1} + \binom{n}{2} + \binom{n}{3}$  questions in  $\mathcal{F}(3)$ .

We next partition  $[n] := \{1, 2, \dots, n\}$  into three subsets:

$$J_1 := \{1 \leq x < n/3\}, J_2 = \{n/3 \leq x < 2n/3\}, J_3 = \{2n/3 \leq x \leq n\},$$

and we define three (overlapping) complementary subsets  $I_k \subseteq [n]$  by:

$$I_k = [n] \setminus J_k, \quad 1 \leq k \leq 3.$$

We then examine the answers given to the questions which are supported entirely in  $I_k, k = 1, 2, 3$ . This corresponds to executing ALG on the smaller index set  $I_k$ , and we denote the output of these by  $\text{ALG}(I_k)$ . By induction, this will be either a star center or a triangle for each value of  $k$ . We will then show that it will always be possible to put this partial information together and recover the full information (star center or triangle) required by  $\text{ALG}(n)$ .

We first introduce some notation. If  $X \in \mathbb{F}_2^n$ , then we can write  $X = X_1 X_2 X_3$ , where  $X_k$  denotes the restriction of  $X$  to  $J_k$ . Further, we denote the restriction of  $X$  to  $I_1$  by  $X|I_1$ , and we write this as  $X|I_1 = *X_2 X_3$  (where the  $*$  denotes the fact that  $I_1$  omits the coordinates in  $J_1$ ). Similarly,  $X|I_2 = X_1 * X_3$  and  $X|I_3 = X_1 X_2 *$ .

Suppose  $E$  is the set of (intersecting) edges which survive after executing  $\text{ALG}(n)$ , i.e., any  $\{U, V\} \in E$  is consistent with the answers given to all the questions in  $\mathcal{F}(3)$  asked by  $\mathbf{S}$ . How will such an edge show up in the output of  $\text{ALG}(I_1)$ , for example? It is easy to see that if  $\text{ALG}(I_1)$  outputs  $*A_2 A_3$  as a star center, then either  $U|I_1 = *A_2 A_3$  or  $V|I_1 = *A_2 A_3$  (with similar remarks applying to  $I_2$  and  $I_3$ ). Also, it is clear that if  $\text{ALG}(I_1)$  outputs a triangle  $\{*A_2 A_3, *B_2 B_3, *C_2 C_3\}$ , then both  $U|I_1$  and  $V|I_1$  are vertices of this triangle (with similar remarks applying to  $I_2$  and  $I_3$ .)

Since each  $I_k$  has fewer than  $n$  elements, we know by induction that each  $\text{ALG}(I_k)$  outputs either a star center or a triangle. Our argument will have

three cases, namely, if *none* of these outputs is a triangle, if exactly *one* of them is a triangle, or if *at least two* are triangles.

*Case 1:* ALG( $I_1$ ) outputs a star center  $A = *A_2A_3$ ,  
 ALG( $I_2$ ) outputs a star center  $B = B_1 * B_3$ ,  
 ALG( $I_3$ ) outputs a star center  $C = C_1C_2 *$ .

In this case, we will show that ALG( $n$ ) must also output a star center. Let us call two restrictions, say  $*A_2A_3$  and  $B_1 * B_3$ , *compatible*, if they agree where they overlap, i.e.,  $A_3 = B_3$  in this case. We can define the *compatibility graph*  $H$  on the vertex set  $\{*A_2A_3, B_1 * B_3, C_1C_2*\}$  with edges joining each pair of compatible vertices.

By the assumption in this case, for any surviving edge  $\{U, V\} \in E$  in ALG( $n$ ) with  $U = U_1U_2U_3$ ,  $V = V_1V_2V_3$ , we must have:

$$\begin{aligned} *A_2A_3 &\in \{*U_2U_3, *V_2V_3\}, \\ B_1 * B_3 &\in \{U_1 * U_3, V_1 * V_3\}, \\ C_1C_2 * &\in \{U_1U_2 *, V_1V_2 *\}. \end{aligned}$$

That is, the restriction  $\{U|I_i, V|I_i\}$  of  $\{U, V\}$  must survive in ALG( $I_i$ ),  $i=1,2,3$ . Thus, some point, say  $U$ , must be chosen for at least two of the choices above. Without loss of generality, let us assume

$$*A_2A_3 = *U_2U_3, B_1 * B_3 = U_1 * U_3,$$

i.e.,

$$U_2 = A_2, U_3 = A_3, U_1 = B_1, U_3 = B_3 = A_3.$$

This implies that  $*A_2A_3$  and  $B_1 * B_3 = B_1 * A_3$  are compatible, so that  $H$  will always have at least one edge which comes from an element of  $\{U, V\}$  (in this case,  $U = B_1A_2A_3$ ). If  $H$  has just one edge, then  $U$  must belong to every edge of  $E$  in ALG( $n$ ) so that  $U$  is a star center in this case.

Similarly, if  $H$  has three edges, then the vertices must have the form  $*A_2B_3$ ,  $B_1 * A_3$ ,  $B_1A_2*$ . However, this implies as before that  $B_1A_2A_3 \in \{U, V\}$ , and so is a star center in ALG( $n$ ).

So we are left with the case that  $H$  has exactly two edges, say the vertices of  $H$  are  $*A_2A_3, B_1 * A_3, C_1A_2*$  with  $B_1 \neq C_1$ . Hence, in this case, we can conclude that *either*  $B_1A_2A_3$  is in  $\{U, V\}$  and any other “mate” (i.e., the other element possibly paired with  $B_1A_2A_3$ ) has the form  $C_1A_2*$ , *or*  $C_1A_2A_3$  is in  $\{U, V\}$  and any other mate has the form  $B_1 * A_3$ . Our next task is to resolve this uncertainty.

Since  $B_1 \neq C_1$ , there must be some coordinate  $i_0 \in J_1$  such that  $B_1(i_0) \neq C_1(i_0)$  (i.e.,  $B_1$  and  $C_1$  differ in their  $i_0^{th}$  coordinates). We now examine the set

of  $|J_2||J_3|$  answers to the questions  $Q_{i_0,j,k}$ ,  $j \in J_2$ ,  $k \in J_3$ , where  $Q_{i_0,j,k}$  denotes the vector in  $\mathcal{F}(3)$  having 1's in position  $i_0$ ,  $j$  and  $k$ .

Suppose for some  $j \in J_2$ ,  $k \in J_3$  that  $B_1(i_0) + A_2(j) + A_3(k) = \alpha \in \{0, 1\}$ . Thus,  $C_1(i_0) + A_2(j) + A_3(k) = 1 - \alpha$ . If  $\mathbf{A}$  answers  $Q_{i_0,j,k}$  with the answer  $\alpha$ , then any point of the form  $B_1D_2A_3$  with  $D_2(j) \neq A_2(j)$  is ruled out as a possible mate for  $C_1A_2A_3$  (since in this case, both points  $C_1A_2A_3$  and  $B_1D_2A_3$  would give the answer  $1 - \alpha$  to this question). By the same token, if  $\mathbf{A}$  answers  $Q_{i_0,j,k}$  with the answer  $1 - \alpha$ , then any point of the form  $C_1A_2D_3$  with  $D_3(k) \neq A_3(k)$  is ruled out as a possible mate for  $B_1A_2A_3$ . This now implies that at the end of this process, one of the points  $B_1A_2A_3$  and  $C_1A_2A_3$  will have all possible mates ruled out, except for its "trivial" mate, i.e.,  $B_1A_2A_3$  and  $C_1A_2A_3$  are trivial mates.

For, if  $B_1D_2A_3$  and  $C_1A_2D_3$  survived as possible mates of  $C_1A_2A_3$  and  $B_1A_2A_3$ , respectively, with  $D_2(j_0) \neq A_2(j_0)$ ,  $D_3(k_0) \neq A_3(k_0)$  for some  $j_0 \in J_2$ ,  $k_0 \in J_3$ , then the question  $Q_{i_0,j_0,k_0}$  would clearly rule out one of these possibilities. So, if, for example, the only possible mate for  $B_1A_2A_3$  is  $C_1A_2A_3$  (whereas  $C_1A_2A_3$  had more possible mates than  $B_1A_2A_3$ ), then  $C_1A_2A_3$  is the desired star center output of  $\text{ALG}(n)$ . Even if  $B_1A_2A_3$  and  $C_1A_2A_3$  end up having only each other as possible mates (so that only one edge survived in  $E$ ), the choice of  $C_1A_2A_3$  works. This concludes the proof for Case 1.

**Case 2:**  $\text{ALG}(I_1)$  outputs a star center  $A = *A_2A_3$ ,  
 $\text{ALG}(I_2)$  outputs a star center  $B = B_1 * B_3$ ,  
 $\text{ALG}(I_3)$  outputs a triangle  $\{P_1P_2*, Q_1Q_2*, R_1R_2*\}$ .

Suppose  $\{U, V\} \in E$ . There are two possibilities.

- (i)  $A_3 \neq B_3$ . Then  $*A_2A_3$  and  $B_1 * B_3$  are restrictions of *different* points in  $\{U, V\}$ , for example,  $U|I_1 = *A_2A_3$ ,  $V|I_2 = B_1 * B_3$ . Since  $\{P_1P_2*, Q_1Q_2*, R_1R_2*\}$  contains the  $I_3$  restrictions of both  $U$  and  $V$ , then there is only a small number of possible pairs to test (on all answers to the questions in  $\mathcal{F}(3)$ ) to discover the surviving edges, which still could be a star or a triangle.
- (ii)  $A_3 = B_3$ . Then we claim  $B_1A_2A_3$  must belong to every surviving edge in  $E$  for  $\text{ALG}(n)$ . For suppose not. Then the two restrictions  $*A_2A_3$  and  $B_1 * A_3$  must come from different points, say  $U|I_1 = *A_2A_3$  and  $V|I_2 = B_1 * A_3$ . Since  $\text{ALG}(I_3)$  yields the triangle  $\{P_1P_2*, Q_1Q_2*, R_1R_2*\}$ , then each of the pairs  $\{P_1P_2*, Q_1Q_2*\}$ ,  $\{P_1P_2*, R_1R_2*\}$ , and  $\{Q_1Q_2*, R_1R_2*\}$  must be a possible surviving pair for  $\text{ALG}(I_3)$ . However, each of these pairs must be equal to  $\{U|I_3, V|I_3\}$ . This implies that two of  $P_1P_2$ ,  $Q_1Q_2$ ,  $R_1R_2$  must be equal, which is a contradiction. Thus, in this case,  $B_1A_2A_3$  is a star center for  $\text{ALG}(n)$ , and case (ii) is done.

*Case 3:* At least two of the restricted outputs are triangles, say

$$\begin{aligned}\text{ALG}(I_1) &= \{ *A_2A_3, *B_2B_3, *C_2C_3 \} \\ \text{ALG}(I_2) &= \{ P_1 * P_3, Q_1 * Q_3, R_1 * R_3 \}.\end{aligned}$$

In this case, all possible secrets can be found by combining compatible pairs from  $\text{ALG}(I_1)$  and  $\text{ALG}(I_2)$ . Each can be tested against all the answers to see if it survives in  $\text{ALG}(n)$ . This completes Case 3, and the proof of the induction step of the algorithm. If  $c(m)$  denotes the number of comparisons needed for  $\text{ALG}(n)$ , then it follows from the preceding analysis that

$$c(n) \leq 3 \cdot c\left(\frac{2n}{3}\right) + \binom{9}{2} \binom{n}{3} + o(n^3), \quad (3.1)$$

which implies  $c(n) \leq 54n^3 + o(n^3)$ .

Our implementation of this algorithm (available upon request) easily handles values of  $n$  around 500 in a few seconds on a standard Unix workstation.

#### 4. Finding a Valid Secret Set

The algorithm described in the preceding section will always identify either a star center (which must belong to every valid secret pair) or a triangle (in which case, the valid secret pairs correspond to the three edges of the triangle). In the first case, however, it does not automatically produce a specific secret pair, i.e., a viable mate for the star center. It is easy to extend the algorithm to achieve this goal, however, as follows. Suppose  $X$  is identified as the star center. Let  $Y$  denote some potential mate for  $X$ , i.e., the pair  $\{X, Y\}$  satisfies all the answers  $\mathcal{A}(Q)$  given by  $\mathbf{A}$  to each question  $Q \in \mathcal{F}(3)$ . Now, if  $Q \cdot X \neq \mathcal{A}(Q)$ , then we must have  $Q \cdot Y = \mathcal{A}(Q)$ . This represents a linear constraint on the coordinates of  $Y$ . The collection of all the linear constraints arising from all  $Q$  such that  $Q \cdot X \neq \mathcal{A}(Q)$  forms a system of linear equations in the coordinates of  $Y$ . It is now straightforward to find (by Gaussian elimination, for example) the desired  $Y$  (and conclude that no such  $Y$  exists in case  $X$ , in fact, was not a star center). This augmented algorithm clearly still runs in polynomial time.

For  $k \geq 3$ , however, the solution appears to be quite different. Namely, there is strong evidence that no such polynomial time algorithm exists for finding a valid secret  $k$ -set. To see this, we focus on the case  $k = 3$ .

Suppose  $\mathcal{F}$  is some weak separating strategy for  $\mathbb{F}_2^n$  with  $k = 3$ . Let  $Q_{i,j}$  denote the weight 2 question (=vector) having 1s in positions  $i$  and  $j$  with  $i < j$ . Denote by  $\mathcal{F}^+$  the strategy  $\mathcal{F} \cup \{Q_{i,j} : 1 \leq i < j \leq n\}$ . Let  $G$  denote a given

graph with vertex set  $[n] = \{1, 2, \dots, n\}$  and edge set  $E(G)$ . We now suppose that the answer  $\mathcal{A}_G(Q)$  that  $\mathbf{A}$  gives to each question  $Q \in \mathcal{F}^+$  is as follows:

$$\mathcal{A}_G(Q) = \begin{cases} 1 & \text{if } Q = Q_{i,j} \text{ and } \{i, j\} \in E(G), \\ 0 & \text{otherwise.} \end{cases}$$

**Claim 4.1.** *There is a valid secret triple of the form  $\{0, X, Y\}$  if and only if  $G$  is 4-chromatic.*

**Proof.** First, assume  $G$  is 4-chromatic. Then we can partition the vertex set  $[n] = C_1 \cup C_2 \cup C_3 \cup C_4$  so that every edge in  $E(G)$  has endpoints in different  $C_i$ . Define two points  $X = (X(1), X(2), \dots, X(n)) \in \mathbb{F}_2^n$ ,  $Y = (Y(1), Y(2), \dots, Y(n)) \in \mathbb{F}_2^n$ , as follows:

$$\begin{aligned} X(i) &:= \begin{cases} 1 & \text{if } i \in C_1 \cup C_2 \\ 0 & \text{if } i \in C_3 \cup C_4 \end{cases} \\ Y(i) &:= \begin{cases} 1 & \text{if } i \in C_1 \cup C_3 \\ 0 & \text{if } i \in C_2 \cup C_4. \end{cases} \end{aligned}$$

It is now easy to check that  $\{0, X, Y\}$  is a valid secret triple for the answers  $\mathcal{A}_G(Q)$  supplied by  $\mathbf{A}$ .

For the other direction, assume  $\{0, P_1, P_2\}$  is a valid secret triple for  $\mathbf{A}$ 's answers. Define the two sets

$$A_i := \{j : P_i(j) = 1\},$$

and let  $B_i := [n] \setminus A_i$ ,  $i = 1, 2$ . Let  $G_i = G_i(A_i, B_i)$  denote the complete bipartite graph on the vertex sets  $A_i$  and  $B_i$ ,  $i = 1, 2$ . Then  $\mathcal{A}(Q_{i,j})$  can only be 1 if  $\{i, j\}$  is an edge in either  $G_1$  or  $G_2$  (or both). In particular, since  $\{0, P_1, P_2\}$  is valid, every edge of  $G$  must be an edge of  $G_1$  or  $G_2$ , which implies  $G$  is 4-chromatic. This proves Claim 4.1.  $\square$

Observe that if  $\{X, Y, Z\}$  is a valid secret triple, then *any* 3-element subset of  $\text{Odd}(X, Y, Z) = \{X, Y, Z, X + Y + Z\}$  also is.

Now suppose there is a polynomial time algorithm which can produce some solution  $\{X, Y, Z, X + Y + Z\}$  as an Odd 4-set satisfying the specified answers  $\mathcal{A}_G(\mathcal{F}^+)$ . Thus,  $\mathcal{F}^+$  must have polynomial size. If  $0 \in \{X, Y, Z, X + Y + Z\}$ , then by the preceding remarks, we can conclude that  $G$  is 4-chromatic.

On the other hand, suppose  $0 \notin \{X, Y, Z, X + Y + Z\}$ . Since  $\mathcal{F}$  (and therefore  $\mathcal{F}^+$ ) is a weak separating strategy, then any two satisfying Odd 4-sets must intersect. Thus, if  $G$  is 4-chromatic, then there must be a satisfying Odd 4-set of the form  $\{0, C, D, C + D\}$ , and this set must intersect  $\{X, Y, Z, X + Y + Z\}$ .

Since  $0 \notin \{X, Y, Z, X + Y + Z\}$ , then at least one of  $C$ ,  $D$ , or  $C + D$  must be equal to one of  $X, Y, Z$  or  $X + Y + Z$ . However, each one of these possibilities can be checked in polynomial time by the method previously described for finding a star center mate when  $k = 2$ . Namely, for example, assume  $C = X$ . So we must check whether there exists a  $W$  so that  $\{0, X, W\}$  is a valid triple, etc. Consequently, we see that  $G$  is 4-chromatic if and only if the process succeeds if *some* element of  $\{X, Y, Z, X + Y + Z\}$  can be successfully paired with 0 (and generating a compatible third element  $W$ ).

Thus, our hypothesized polynomial time algorithm for finding a valid secret triple from these answers  $\mathcal{A}_G(\mathcal{F}^+)$  could be used to determine whether or not  $G$  is 4-chromatic. However, it is well known [Garey and Johnson 79] that this implies  $P = NP$ , a statement not widely believed.

This is the basis for our skepticism in the existence of a general polynomial time algorithm for producing valid secret  $k$ -sets for  $k \geq 3$ .

## 5. Concluding Remarks

We conclude with several remarks for our original secret guessing game with  $\Omega = [N]$ , and any  $Q : \Omega \rightarrow \{0, 1\}$  allowed as a question. Let  $f_k(N)$  denote the minimum number of queries needed for any **adaptive** separating strategy (with  $k$ -element secret sets), and let  $g_k(N)$  denote the corresponding minimum for *nonadaptive* strategies. It was shown in [Chung et al. 01a] that

$$3 \log_2 N - 5 \leq f_2(N) \leq 4 \log_2 N + 3, N > 2.$$

We still do not know the truth here.

For nonadaptive strategies, it can be shown that

$$3.5276 \log_2 N < g_2(N) < \frac{3}{\log_2(8/7)} \log_2 N < 15.573 \log_2 N.$$

The lower bound is due to N. Alon [Alon et al. 02] (and uses coding theory and linear programming bounds). The upper bound comes from a probabilistic construction.

In [Alon et al. 02], Alon, Guruswami, Kaufman, and Sudan give a beautiful construction of an explicit set of  $O(\log N)$  queries for which the desired intersecting graph (star center or triangle) can be recovered in time  $O(\log^3 N)$ . They use techniques based on small  $\epsilon$ -biased spaces and list decoding. Is it possible to construct such small sets of queries which can be “inverted” in time  $O(\log N)$ ?

We remark that a straightforward probabilistic argument shows that

$$g_3(N) \leq \frac{5}{\log_2(32/31)} \log_2 N < 109.16 \log_2 N.$$

Very recently, Vukičević [Vukicevic 02] has shown this can be reduced for adaptive strategies to

$$f_3(N) < \frac{2 + \frac{1}{2} \log_2 43}{\frac{3}{2} + \log_2 \frac{32}{31}} \log_2 N + O(1).$$

(The coefficient is  $\approx 104.4$ .)

We should point out here that even the problem of explicitly describing the possible families of intersecting  $k$ -sets is not simple when  $k > 2$ . Of course, for  $k = 2$ , we just have either a star or a triangle. We can represent these as:

- (i)  $1x$  where 1 denotes some fixed element of  $\Omega$ , and  $x$  denotes any other element of  $\Omega$ , and
- (ii)  $12, 13, 23$  where 1, 2, and 3 denote any three distinct elements of  $\Omega$ .

The first case is an example of an *extendible* intersecting family, while the second is an example of a *nonextendible* intersecting family.

For  $k = 3$ , the situation is much more complicated. The complete list of maximal intersecting families of 3-uniform hypergraphs is given in the following list (where (i)-(vii) are extendible):

- (i)  $1xy$  (i.e., all triples containing some fixed element)
- (ii)  $12x, 13y, 14z$
- (iii)  $123, 14x, 24y, 34z$
- (iv)  $145, 234, 235, 12x, 13y$
- (v)  $134, 135, 145, 234, 235, 245, 12x$
- (vi)  $134, 156, 235, 236, 245, 246, 12x$
- (vii)  $134, 156, 235, 236, 246, 136, 12x$
- (viii)  $123, 145, 167, 246, 257, 347, 356$ , the seven lines (= triples) of  $PP(2)$ , the projective plane of order 2.
- (ix)  $123, 145, 167, 246, 247, 346, 356$
- (x) Any set of 10 triples from  $\{1, 2, 3, 4, 5, 6\}$  which does not contain a triple and its complement, and which is not one of the previous cases. There are five of these, as was shown by Frankl, Ota, and Tokushige [Frankl et al. 96].

This list incorporates the helpful remarks of Matthew Cook [Cook 02], who pointed out that the earlier version of this, given in [Chung et al. 01a], was incomplete. At present, a characterization of maximal intersecting  $k$ -uniform hypergraphs seems out of reach for  $k > 3$ .

Of course, there remain many variants of these problems which have not been investigated. For example, what happens if we allow queries with more than two possible answers? Or what if the adversary is allowed to be untruthful some number (or fraction) of times? Or what if the adversary chooses answers probabilistically? Clearly much remains to be done.

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Fan Chung, University of California, San Diego, La Jolla, California (fan@euclid.ucsd.edu)  
Ronald Graham, University of California, San Diego, La Jolla, California (graham.ucsd.edu)  
Linyuan Lu, University of California, San Diego, La Jolla, California (llu@math.ucsd.edu)

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