

Homogeneous Collinear Sets in Partitions of \mathbb{Z}^n

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INTRODUCTION

For fixed positive integers n and r , let χ be a mapping of the integer points $\mathbb{Z}^n = \{(z_1, \dots, z_n) : z_k \text{ an integer}\}$ into $\{1, \dots, r\}$. Denote by $L(\chi)$ the maximum number of consecutive collinear points of \mathbb{Z}^n contained in any $\chi^{-1}(i)$, $1 \leq i \leq r$. Such points can always be put into the form

$$z_i = c_i + td_i, \quad 1 \leq t \leq L(\chi),$$

where g.c.d. $\{d_1, \dots, d_n\} = 1$. Finally, define $\rho_r(n)$ by

$$\rho_r(n) = \inf_{\chi} L(\chi),$$

where χ ranges over all mappings of \mathbb{Z}^n into $\{1, 2, \dots, r\}$.

A fundamental result of Hales and Jewett [4] shows that for any r ,

$$\lim_{n \rightarrow \infty} \rho_r(n) = \infty. \tag{1}$$

However, the best known lower bound grows so slowly that it is not even primitive recursive.

* Work performed while at Bell Laboratories.

In the other direction, it has been shown by one of the authors in [5] that

$$\rho_2(n) \leq 2n - 1. \quad (2)$$

In this note, we will strengthen (2) considerably and provide an improved upper bound on $\rho_r(n)$ for arbitrary r . The proof will depend on several striking properties of the binomial coefficients modulo a prime.

THE FUNCTIONS g_a

Let p be a prime and let q be a positive power of p . Suppose a sequence of functions $g_a: \mathbb{Z} \rightarrow \mathbb{Z}_p$, $a = 0, 1, 2, \dots$, satisfies the following three properties:

- (i) $g_a(x) = 0$ if $0 \leq x < a$,
 $= 1$ if $x = a$,
- (ii) $g_a(x)$ has period q^{t+1} , where $q^t \leq a < q^{t+1}$, and $g_0(x)$ has period 1,
- (iii) $g_a(x+1)$ is a linear combination (over \mathbb{Z}_p) of $g_i(x)$, $0 \leq i \leq a$.

THEOREM 1. *A sequence g_a , $a = 0, 1, 2, \dots$, satisfies (i)–(iii) if and only if*

$$g_a(x) \equiv \frac{x(x-1) \cdots (x-a+1)}{a!} \stackrel{\text{def}}{\equiv} \binom{x}{a} \pmod{p}, \quad a \geq 0. \quad (3)$$

Proof. We will prove by induction on a the existence and uniqueness of the g_a . It will follow from the proof that the binomial coefficients $\binom{x}{a} \pmod{p}$ satisfy (i)–(iii).

When $a = 0$, conditions (i) and (ii) imply that $g_0(x) = 1$ for all x . Condition (iii) is thus automatically satisfied. Note that in this case $g_0(x) \equiv \binom{x}{0} \equiv 1 \pmod{p}$ for all x .

Suppose now we have shown that the g_i , $0 \leq i < a$, exist and are uniquely determined by (i)–(iii). We examine g_a . Condition (iii) asserts

$$g_a(x+1) = \sum_{i=0}^a \alpha_i g_i(x), \quad \alpha_i \in \mathbb{Z}_p,$$

(with all arithmetic in \mathbb{Z}_p). Evaluating the above expression at $x = 0, 1, \dots, a$, and applying (i) to the g_i , $0 \leq i \leq a$, we obtain

$$\alpha_a = g_a(a+1) - g_{a-1}(a), \quad \alpha_{a-1} = 1$$

and

$$\alpha_{a-2} = \cdots = \alpha_0 = 0.$$

Thus,

$$g_a(x) = \alpha_a g_a(x-1) + g_{a-1}(x-1).$$

Applying this formula repeatedly to $x \geq a$ yields

$$\begin{aligned} g_a(x) &= \alpha_a g_a(x-1) + g_{a-1}(x-1) \\ &= \alpha_a(\alpha_a g_a(x-2) + g_{a-1}(x-2)) + g_{a-1}(x-1) \\ &= \dots \\ &= \alpha_a^{x-a} g_a(a) + \alpha_a^{x-a-1} g_{a-1}(a) + \alpha_a^{x-a-2} g_{a-1}(a+1) \\ &\quad + \dots + \alpha_a g_{a-1}(x-2) + g_{a-1}(x-1). \end{aligned} \tag{4}$$

Combining this with condition (ii) gives

$$\begin{aligned} g_a(x) &= g_a(x + q^{t+1}) \\ &= \alpha_a^{x-a+q^{t+1}} g_a(a) + \alpha_a^{x-a-1+q^{t+1}} g_{a-1}(a) + \dots \\ &\quad + \alpha_a^{q^{t+1}} g_{a-1}(x-1) + \alpha_a^{q^{t+1}-1} g_{a-1}(x) \\ &\quad + \alpha_a^{q^{t+1}-2} g_{a-1}(x+1) + \dots \\ &\quad + \alpha_a g_{a-1}(x + q^{t+1} - 2) + g_{a-1}(x + q^{t+1} - 1) \\ &= \alpha_a^{q^{t+1}} g_a(x) + \sum_{0 \leq j < a} \beta_j g_j(x), \quad \text{where } \beta_j \in \mathbb{Z}_p. \end{aligned}$$

Here the last summation follows from (iii) satisfied by g_{a-1} . Evaluating the above expression at $x = 0, 1, \dots, a$, gives

$$\alpha_a^{q^{t+1}} = 1 \tag{5}$$

and

$$\beta_0 = \beta_1 = \dots = \beta_{a-1} = 0.$$

This shows that $\alpha_a = \alpha_a^{q^{t+1}}$ is the identity element in \mathbb{Z}_p . Therefore, for $x \geq a$, we can rewrite (4) as

$$g_a(x) = g_{a-1}(a-1) + g_{a-1}(a) + \dots + g_{a-1}(x-1).$$

The existence and uniqueness of g_a now follows from that of g_{a-1} . Also, the induction hypothesis $g_{a-1}(x) \equiv \binom{x}{a-1} \pmod{p}$ implies

$$\begin{aligned} g_a(x) &\equiv \binom{a-1}{a-1} + \binom{a}{a-1} + \dots + \binom{x-1}{a-1} \\ &\equiv \binom{x}{a} \pmod{p}. \end{aligned}$$

This proves the theorem. ■

If g_a took values in some finite field extension of \mathbb{Z}_p , (5) would still imply that α_a is the identity element of the field and (4) would imply that g_a actually takes values in the prime field \mathbb{Z}_p because g_0 does. If g_a took values in the ring $\mathbb{Z}/m\mathbb{Z}$, then for given g_0, \dots, g_{a-1} , the number of g_a 's satisfying (i)–(iii) is equal to the number of α_a 's satisfying (5), namely, the number of units in $\mathbb{Z}/m\mathbb{Z}$ whose orders divide $\text{g.c.d.}(q^{t+1}, \phi(m))$.

Note that the functions g_a are independent of q . In fact the function g_a has period p^{t+1} , where $p^t \leq a < p^{t+1}$. Since

$$g_a(x + 1) = g_a(x) + g_{a-1}(x),$$

then as an immediate consequence we have

FACT 1. For any integer c ,

$$g_a(x + c) = g_a(x) + \sum_{0 \leq i < a} \gamma_i g_i(x)$$

for some elements $\gamma_0, \dots, \gamma_{a-1}$ in \mathbb{Z}_p depending on c .

A similar formula holds for $g_a(dx)$:

PROPOSITION. Suppose $a > 0$ and d is any integer. Then

$$g_a(dx) = d^a g_a(x) + \sum_{0 \leq i < a} \alpha_i g_i(x)$$

for some $\alpha_i \in \mathbb{Z}_p$ depending on d .

Proof. Consider the \mathbb{Z} -valued functions

$$f_i(x) = \binom{x}{i} = \frac{x(x-1) \cdots (x-i+1)}{i!}, \quad i \geq 0,$$

on \mathbb{Z} . Clearly, $f_i(x)$ is a polynomial of degree i and $f_0(x), \dots, f_{a-1}(x)$ span all polynomials over \mathbb{Q} of degree less than a . The polynomial $f_a(dx) - d^a f_a(x)$ is a polynomial of degree less than a with rational coefficients, and hence there are rational numbers $\alpha_0, \dots, \alpha_{a-1}$ such that

$$f_a(dx) = d^a f_a(x) + \sum_{0 \leq i < a} \alpha_i f_i(x). \tag{6}$$

Moreover, we can determine these α_i using the fact that $f_i(x) = 0$ if $0 \leq x < i$ and $f_i(i) = 1$ as follows:

$$\begin{aligned} \alpha_0 &= f_a(d \cdot 0) = 0, \\ \alpha_i &= f_a(di) - \sum_{0 \leq j < i} \alpha_j f_j(i) \quad \text{for } 0 < i < a. \end{aligned}$$

This in particular shows that each α_i is in fact an integer. The desired formula for $g_a(dx)$ is (6) modulo p . ■

There is more to be said about $g_a(dx)$ for the case that p divides d . Write $x = \sum_{i>0} x_i p^i$ and $a = \sum_{i>0} a_i p^i$ in their base p expansions, where $0 \leq x_i, a_i < p$. Lucas' theorem (see [3]) asserts that

$$g_a(x) \equiv \binom{x}{a} \equiv \prod_{i>0} \binom{x_i}{a_i} \pmod{p}. \tag{7}$$

The above product is finite since $a_i = 0$ for almost all i . One sees immediately that $g_a(x) = 0$ if p divides x and p does not divide a . Further, if p divides both x and a , then $x_0 = a_0 = 0$ and

$$g_a(x) \equiv \prod_{i>1} \binom{x_i}{a_i} \equiv g_{a/p}(x/p) \pmod{p}$$

since $x/p = \sum_{i>1} x_i p^{i-1}$ and $a/p = \sum_{i>1} a_i p^{i-1}$. This proves

FACT 2.

$$\begin{aligned} g_a(p dx) &= 0 && \text{if } p \text{ does not divide } a, \\ &= g_{a/p}(dx) && \text{if } p \text{ divides } a. \end{aligned}$$

PRODUCTS OF THE FUNCTIONS g_a

As observed before, the function $f_a(x) = \binom{x}{a}$, $a \geq 0$, is a polynomial of degree a . Thus the product

$$f_a(x)f_b(x) \cdots f_c(x) = \sum_{0 \leq i \leq a+b+\cdots+c} A_i x^i$$

is a polynomial of degree $a + b + \cdots + c$ with the leading coefficient $1/a!b! \cdots c!$. Since

$$\begin{aligned} \sum_{x \in \mathbb{Z}_p} x^i &= 0 && \text{if } p-1 \text{ does not divide } i \text{ or } i = 0, \\ &= -1 && \text{if } p-1 \text{ divides } i \text{ and } i \neq 0, \end{aligned}$$

we see that, modulo p ,

$$\begin{aligned} \sum_{0 \leq x < p} f_a(x)f_b(x) \cdots f_c(x) & \\ & \equiv 0 && \text{if } 0 \leq a + b + \cdots + c < p - 1, \\ & \equiv \frac{-1}{a!b! \cdots c!} && \text{if } a + b + \cdots + c = p - 1. \end{aligned}$$

This analysis combined with (7) gives

LEMMA 1. *Suppose $0 \leq a, b, \dots, c < p^{t+1}$. Then $\sum_{0 \leq x < p^{t+1}} g_a(x) g_b(x) \cdots g_c(x)$ is 0 if there is an i , $0 \leq i \leq t$, such that $a_i + b_i + \cdots + c_i < p - 1$; it is equal to $\prod_{0 \leq i \leq t} (-1)(a_i! b_i! \cdots c_i!)^{-1}$ if for all i , $0 \leq i \leq t$, $a_i + b_i + \cdots + c_i = p - 1$.*

Here (as later) a_i, b_i, \dots, c_i are the i th coefficients of the base p expansions of a, b, \dots, c , respectively. The following two corollaries are immediate consequences.

COROLLARY 1. *If $a, b, \dots, c \geq 0$ and $a + b + \cdots + c < p^{t+1} - 1$ then $\sum_{0 \leq x < p^{t+1}} g_a(x) g_b(x) \cdots g_c(x) = 0$.*

COROLLARY 2. *If $a, b, \dots, c \geq 0$ and $a + b + \cdots + c = p^{t+1} - 1$ then $\sum_{0 \leq x < p^{t+1}} g_a(x) g_b(x) \cdots g_c(x)$ is nonzero if and only if $a_i + b_i + \cdots + c_i = p - 1$ for all i , $0 \leq i \leq t$.*

Let us consider the integral valued functions $f_a(x) = \binom{x}{a}$. As discussed above, the product $f_a(x) f_b(x) \cdots f_c(x)$ is a polynomial of degree $r = a + b + \cdots + c$ and hence is a linear combination of $f_i(x)$ with $0 \leq i \leq r$:

$$\begin{aligned} f_a(x) f_b(x) \cdots f_c(x) \\ = \alpha(a, b, \dots, c) f_r(x) + \sum_{0 \leq i < r} \alpha_i f_i(x), \end{aligned} \quad (8)$$

where

$$\alpha(a, b, \dots, c) = \frac{(a + b + \cdots + c)!}{a! b! \cdots c!}$$

(by comparing the leading coefficients of both sides) and α_i , $0 \leq i < r$, are rational numbers. Arguing as in the proof of the Proposition, we know that the α 's in (8) are integers. Thus (8) remains valid if f is replaced by g . This proves the first assertion of the following result.

LEMMA 3. *Suppose $a, b, \dots, c \geq 0$. Let $r = a + b + \cdots + c$. Then*

$$g_a(x) g_b(x) \cdots g_c(x) = \sum_{0 \leq i < r} \alpha_i g_i(x), \quad \alpha_i \in \mathbb{Z}_p,$$

with

$$\alpha_r = \alpha(a, b, \dots, c) \equiv \frac{(a + b + \cdots + c)!}{a! b! \cdots c!} \pmod{p}.$$

Moreover, α_r is nonzero if and only if $a_i + b_i + \cdots + c_i \leq p - 1$ for all $i \geq 0$.

Proof. To prove the second assertion, let $r^* = p^{t+1} - 1 - r$, where $p^t \leq r < p^{t+1}$. Consider

$$\begin{aligned} & \sum_{0 \leq x < p^{t+1}} g_{r^*}(x) g_a(x) g_b(x) \cdots g_c(x) \\ &= \sum_{0 \leq i < r} \alpha_i \sum_x g_{r^*}(x) g_i(x) \\ &= \alpha_r \sum_x g_{r^*}(x) g_r(x) \end{aligned}$$

by Corollary 1 (since $r^* + i < p^{t+1} - 1$ if $i < r$). Lemma 1 implies that $\sum_{0 \leq x < p^{t+1}} g_{r^*}(x) g_r(x)$ is nonzero. Thus $\alpha_r \neq 0$ if and only if $\sum_{0 \leq x < p^{t+1}} g_{r^*}(x) g_a(x) g_b(x) \cdots g_c(x) \neq 0$, which happens, by Corollary 2, if and only if $r_i + a_i + b_i + \cdots + c_i = p - 1$ for $0 \leq i \leq t$, or equivalently, $a_i + b_i + \cdots + c_i \leq p - 1$ for all $i \geq 0$. ■

LEMMA 4. If $g(x) = \sum_{0 \leq i < r} \alpha_i g_i(x)$ with $r > 0$ and $\alpha_r \neq 0$, then there are at most r consecutive integers at which g takes the same value.

Proof. Suppose not. Then there are at least $r + 1$ consecutive integers at which g takes the same value, say β . Replacing α_0 by $\alpha_0 - \beta$, we may assume that $\beta = 0$. Let $r^* = p^{t+1} - 1 - r$, where $p^t \leq r < p^{t+1}$. There is an integer c such that $\tilde{g}(x) = g(x + c)$ vanishes for $r^* \leq x \leq r^* + r$. We know from Fact 1 that

$$\tilde{g}(x) = \alpha_r g_r(x) + \sum_{0 \leq i < r} \beta_i g_i(x), \quad \beta_i \in \mathbb{Z}_p.$$

Now consider the sum

$$\sum_{0 \leq x < p^{t+1}} g_{r^*}(x) \tilde{g}(x).$$

It is equal to zero since $g_{r^*}(x) = 0$ for $0 \leq x < r^*$ and $\tilde{g}(x) = 0$ for $r^* \leq x \leq r^* + r = p^{t+1} - 1$. On the other hand, Corollaries 1 and 2 imply that

$$\begin{aligned} & \sum_{0 \leq x < p^{t+1}} g_{r^*}(x) \tilde{g}(x) \\ &= \alpha_r \sum_x g_{r^*}(x) g_r(x) + \sum_{0 \leq i < r} \beta_i \sum_x g_{r^*}(x) g_i(x) \\ &= \alpha_r \sum_x g_{r^*}(x) g_r(x) \neq 0. \end{aligned}$$

This is impossible. Hence there can be at most r consecutive integers at which $g(x)$ takes the same value. ■

PARTITIONING \mathbb{Z}^n

We have now developed sufficient machinery to be able to partition \mathbb{Z}^n so that there are no long “homogeneous” (i.e., belonging to one class of the partition) consecutive collinear sets. Let n and r denote fixed integers exceeding 1.

THEOREM 2.

$$\rho_r(n) \leq \frac{2n}{\log n} \frac{r \log r}{(r-1)^2} (1 + o(1)), \quad (9)$$

where the $o(1)$ term depends on r but not on n .

Proof. The technique will be a variation of that used in [5]. For ease of notation we introduce a new variable M . At the last step we will describe how M and n are related. Let p denote the greatest prime not exceeding r . For each m , $M < m \leq Mp$, write $m = \sum_{i \geq 0} m_i p^i$ in its base p expansion and define $w = w(m) = \sum_i m_i$.

To begin with, we need a homogeneous polynomial $f_m(x_1, \dots, x_w)$ of degree w over \mathbb{Z}_p which vanishes only at $(0, \dots, 0)$. The following construction (suggested by A. M. Odlyzko; also see [1]) supplies such a polynomial. Choose an element α_m from an algebraic closure of \mathbb{Z}_p such that the field $\mathbb{Z}_p(\alpha_m)$ has degree w over \mathbb{Z}_p . Then the norm of $x_1 + x_2 \alpha_m + \dots + x_w \alpha_m^{w-1}$ with x_1, \dots, x_w in \mathbb{Z}_p is a homogeneous polynomial in x_1, \dots, x_w of degree w with coefficients in \mathbb{Z}_p . Denote this polynomial by

$$f_m(x_1, \dots, x_w) = \sum \gamma(a_1, \dots, a_w) x_1^{a_1} \cdots x_w^{a_w},$$

where the sum is taken over all compositions of $w = a_1 + \dots + a_w$, $a_i \geq 0$. Since $1, \alpha_m, \dots, \alpha_m^{w-1}$ are linearly independent over \mathbb{Z}_p , f_m is zero if and only if $x_1 = \dots = x_w = 0$ in \mathbb{Z}_p , which is what was required.

Next, to each composition $w = a_1 + \dots + a_w$, we associate a composition of $m = b_1 + \dots + b_w$ such that:

(i) The sum of the i th coefficients in the base p expansions of the b_k 's is m_i ;

(ii) $w(b_j) = a_j$ (where as previously mentioned, $w(x)$ denotes the sum of the base p coefficients of x).

There are usually many such compositions of m . We fix some particular choice.

Note that for any integer d , $d^{b_i} \equiv d^{a_i} \pmod{p}$ since $d^p \equiv d \pmod{p}$.

Finally, define the function

$$h_m(x_1, \dots, x_w) = \sum \gamma(a_1, \dots, a_w) \alpha(b_1, \dots, b_w)^{-1} g_{b_1}(x_1) \cdots g_{b_w}(x_w),$$

where the sum is taken over all compositions of $w = a_1 + \cdots + a_w$ and the $\alpha(b_1, \dots, b_w)$ come from Lemma 3, i.e.,

$$\alpha(b_1, \dots, b_w) \equiv \frac{m!}{b_1! \cdots b_w!} \pmod{p}.$$

Observe that by our construction, the criterion that $\alpha(b_1, \dots, b_w)$ is invertible in \mathbb{Z}_p given in Lemma 3 is satisfied.

The following result shows that on each collinear set (= line) of lattice points in \mathbb{Z}^w , h_m is a linear combination of g_i with $0 \leq i \leq m$. Moreover, the coefficient of g_m will be explicitly given in terms of f_m and the direction numbers of the line.

LEMMA 5. *For integers $c_i, d_i, 1 \leq i \leq w$, the function*

$$\tilde{h}_m(x) = h_m(c_1 + d_1 x, \dots, c_w + d_w x)$$

is equal to

$$f_m(d_1, \dots, d_w) g_m(x) + \sum_{0 \leq i < m} \alpha_i g_i(x)$$

for some $\alpha_0, \dots, \alpha_{w-1}$ in \mathbb{Z}_p depending on the c_i and d_i . Furthermore, if p divides g.c.d. (d_1, \dots, d_w) then

$$\tilde{h}_m = \sum_{0 \leq i < m/p} \alpha_i g_i.$$

Proof. It follows from Fact 1 and the Proposition that

$$g_a(c + dx) = d^a g_a(x) + \sum_{0 \leq i < a} \beta_i(a) g_i(x)$$

with $\beta_i(a)$ depending on c , d and a . Thus

$$\begin{aligned}
& g_{b_1}(c_1 + d_1 x) \cdots g_{b_w}(c_w + d_w x) \\
&= \left(d_1^{b_1} g_{b_1}(x) + \sum_{0 \leq i < b_1} \beta_i(b_1) g_i(x) \right) \\
&\quad \cdots \left(d_w^{b_w} g_{b_w}(x) + \sum_{0 \leq i < b_w} \beta_i(b_w) g_{b_w}(x) \right) \\
&= d_1^{b_1} \cdots d_w^{b_w} g_{b_1}(x) \cdots g_{b_w}(x) + \text{linear combinations of} \\
&\quad g_a(x) g_b(x) \cdots g_c(x) \quad \text{with} \quad a + b + \cdots c < m \\
&= d_1^{a_1} \cdots d_w^{a_w} \alpha(b_1, \dots, b_w) g_m(x) + \sum_{0 \leq i < m} \beta_i g_i(x)
\end{aligned}$$

by Lemma 3, where $\beta_i \in \mathbb{Z}_p$. Substituting this into \tilde{h}_m yields

$$\begin{aligned}
\tilde{h}_m(x) &= h_m(c_1 + d_1 x, \dots, c_w + d_w x) \\
&= \sum \gamma(a_1, \dots, a_w) \alpha(b_1, \dots, b_w)^{-1} \\
&\quad \times g_{b_1}(c_1 + d_1 x) \cdots g_{b_w}(c_w + d_w x) \\
&= \sum \gamma(a_1, \dots, a_w) d_1^{a_1} \cdots d_w^{a_w} g_m(x) + \sum_{0 \leq i < m} \alpha_i g_i(x) \\
&= f_m(d_1, \dots, d_w) g_m(x) + \sum_{0 \leq i < m} \alpha_i g_i(x)
\end{aligned}$$

for some $\alpha_0, \dots, \alpha_{m-1}$ in \mathbb{Z}_p . This proves the first assertion.

If p divides g.c.d. (d_1, \dots, d_w) then by Facts 1 and 2 and the Proposition,

$$g_{b_i}(c_i + d_i x) = \sum_{0 \leq j < (b_i)/p} \beta_j(b_i) g_j(x)$$

and hence, $g_{b_1}(c_1 + d_1 x) \cdots g_{b_k}(c_k + d_k x)$ is a linear combination of products $g_a(x) g_b(x) \cdots g_c(x)$ with

$$a + b + \cdots + c \leq \frac{b_1}{p} + \frac{b_2}{p} + \cdots + \frac{b_w}{p} = \frac{m}{p}.$$

Thus, by Lemma 3, it is in fact a linear combination of $g_i(x)$ with $0 \leq i \leq m/p$. Consequently, the same is true for $\tilde{h}_m(x)$. This proves Lemma 5. \blacksquare

To complete the proof of Theorem 2, we combine the h_m , $M < m \leq Mp$, as

follows. For $n = \sum_{M < m \leq Mp} w(m)$, define a partition of \mathbb{Z}^n into p classes by the mapping

$$\begin{aligned} \chi(x_{M+1,1}, \dots, x_{M+1,w(M+1)}, \dots, x_{Mp,1}, \dots, x_{Mp,w(Mp)}) \\ = \sum_{M < m \leq Mp} h_m(x_{m,1}, \dots, x_{m,w(m)}) \pmod{p} \end{aligned}$$

(i.e., the classes of the partition are $\chi^{-1}(i)$, $i \in \mathbb{Z}_p$).

Let us estimate the length of the longest homogeneous set of consecutive collinear points. Any such set can be parametrized by $x_{m,j} = c_{m,j} + d_{m,j}x$ with $c_{m,j}, d_{m,j}$ in \mathbb{Z} and $\text{g.c.d.}_{m,j}(d_{m,j}) = 1$. We claim that there are at most Mp consecutive lattice points on which χ takes the same value. This will imply $L(\chi) \leq Mp$ and hence,

$$\rho_r(n) \leq Mp. \tag{10}$$

To prove the claim, consider the function $\tilde{\chi}(x)$ of the single variable x given by

$$\tilde{\chi}(x) = \sum_{M < m \leq Mp} h_m(c_{m,1} + d_{m,1}x, \dots, c_{m,w(m)} + d_{m,w(m)}x). \tag{11}$$

Let a denote the largest $m > M$ such that not all $d_{m,1}, \dots, d_{m,w(m)}$ are divisible by p . Since $\text{g.c.d.}_{m,j}(d_{m,j}) = 1$ then such an $a \leq Mp$ exists. Thus, by Lemma 5, for $a < m \leq Mp$, $h_m(c_{m,1} + d_{m,1}x, \dots, c_{m,w(m)} + d_{m,w(m)}x)$ is a linear combination of g_i with $0 \leq i \leq m/p \leq M < a$. For $M < m < a$, $h_m(c_{m,1} + d_{m,1}x, \dots, c_{m,w(m)} + d_{m,w(m)}x)$ is a linear combination of g_i with $0 \leq i < a$. Finally,

$$\begin{aligned} h_a(c_{a,1} + d_{a,1}x, \dots, c_{a,w(a)} + d_{a,w(a)}x) \\ = f_a(d_{a,1}, \dots, d_{a,w(a)}) g_a(x) + \sum_{0 \leq i < a} \alpha_i g_i(x), \quad \alpha_i \in \mathbb{Z}_p. \end{aligned}$$

Substituting these into (11) yields

$$\tilde{\chi}(x) = f_a(d_{a,1}, \dots, d_{a,w(a)}) g_a(x) + \sum_{0 \leq i < a} \beta_i g_i(x).$$

It follows from the choice of a and properties of f_a that the coefficient of g_a is nonzero. The desired result now follows at once from Lemma 4.

The last step in the proof is to eliminate M and p from the estimate in (10). It is well known (see [2]) that

$$\sum_{k=1}^m w(k) = (1 + o(1)) \frac{(p-1)}{2} \frac{m \log m}{\log p}$$

as $m \rightarrow \infty$. Thus,

$$n = (1 + o(1)) \frac{(p-1)^2}{2 \log p} M \log M. \quad (12)$$

Inverting (12) and using the fact that the ratio of consecutive primes tends to 1, we obtain (9). This completes the proof of Theorem 2. ■

CONCLUDING REMARKS

We should note that the construction in Theorem 2 shows that (9) actually applies to collinear sets $x_i = c_i + d_i x$, $x = 0, 1, 2, \dots$, for which $\text{g.c.d.}(d_1, \dots, d_n) \not\equiv 0 \pmod{p}$ (i.e., it is not necessary that the g.c.d. be 1).

We have no idea what the truth concerning $\rho_r(n)$ is. The gap between the known upper and lower bounds is still enormous. It seems very likely that $\rho_r(n) = o(n^\epsilon)$ for every $\epsilon > 0$, for example, but new ideas will be required to prove this.

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