

# Math267 - Introduction to Set Theory

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## Part I

# Homework Problems

1. Assume  $y$  is a non-empty set. Prove that  $\bigcap y$  exists.
2. The crucial property of ordered pairs is that they have unique first and second elements and are determined by these elements. Prove that  $(x, y) = (u, v)$  iff  $x = u$  and  $y = v$ .

3. Suppose someone has proposed

$$[x, y] := \{x, \{x, y\}\}$$

as an alternative definition of ordered pair. Show that the property problem #2 hold for this definition too. (You may use the Axiom of Foundation for this problem.)

4. You need to use the Axiom of Foundation for this problem. Prove that  $x \notin x$ .
5. Let  $w$  be a set.
  - a. Let  $f$  be a set of order pairs which is a function. Write out carefully the definition of  $z = f[w]$ .
  - b. Let  $F$  be a function defined by a formula  $\varphi(x, y)$ . Write out carefully the definition of  $F[w]$ .

6. Prove that  $AC$  is equivalent to  $AC'$ .
7. Prove that the well-ordering principle  $WO$  implies the Axiom of Choice  $AC$ .

8. (Harder) Prove that  $AC$  implies  $WO$ .
9. Suppose  $x$  and  $y$  are transitive. For each of the following assertions, either provide a proof or provide a counterexample.
- $x \subset \wp(x)$ .
  - $\wp(x)$  is transitive.
  - $x \cup y$ ,  $x \cap y$ , and  $x \setminus y$  are transitive.
  - If  $z \in x$ , then  $z$  is transitive.
10. Prove the following, for all  $x \in \omega$ :
- If  $0 \neq x$ , then  $0 \in x$ .
  - If  $y \in x$ , then  $S(y) \in x$  or  $S(y) = x$ .
  - $x \subset \omega$ .
11. Prove: For all  $x$  and  $y$  in  $\omega$ , either  $x \in y$ ,  $x = y$  or  $y \in x$ .
12. Prove that there is no infinite sequence  $(x_0, x_1, x_2, \dots)$  such that  $x_{i+1} \in x_i$  for all  $i$ . (Use the Axiom of Foundation.)
13. Write formulas with define the functions  $x \cdot y$  and  $x^y$  over the natural numbers. Convince yourself of the existence and uniqueness conditions for your definitions; and that symbols for multiplication and exponentiation on the natural numbers can be used without exceeding the expressive power of the first-order language of set theory.
14. Do the same for the Fibonacci numbers defined by  $F(0) = F(1) = 1$  and  $F(n+2) = F(n) + F(n+1)$ .
15. Prove that (the axioms of set theory imply that) integer addition is commutative and associative. (Hints are available.)
16. Prove that ordinal addition is associative: i.e.,  $\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma$ .
17. Prove that the Hausdorff Maximal Principle is equivalent to the Axiom of Choice.
18. Prove that  $\alpha \cdot \beta$  is the otype of the cross-product  $\alpha \otimes \beta$ .
19. Prove:

- a. Each of  $\alpha + \beta$ ,  $\alpha \cdot \beta$  and  $\alpha^\beta$  are strictly monotonically increasing as functions of  $\beta$ . (For multiplication, you must assume  $\alpha > 0$ , and for exponentiation, you must assume  $\alpha > 1$ .)
  - b. Each of  $\alpha + \beta$ ,  $\alpha \cdot \beta$  and  $\alpha^\beta$  are non-decreasing as functions of  $\alpha$ . For exponentiation, you must assume  $\alpha > 0$ .
- 20.** Give a natural characterization (or “re-definition”) of the order type  $\alpha^\beta$ , similar in spirit to our characterizations of addition and multiplication in terms of concatenation and crossproduct.
- 21.** Prove that if  $\alpha$  and  $\beta$  are countable, then so are  $\alpha + \beta$ ,  $\alpha \cdot \beta$  and  $\alpha^\beta$ . (There is no need to re-prove facts like the union of countably many sets is countable.)
- 22.** Prove that ordinal arithmetic is left distributive. Give an example of how right distributivity can fail.
- 23.** Prove the following about ordinal exponentiation.
- a.  $\alpha^{\beta+\gamma} = \alpha^\beta \cdot \alpha^\gamma$ .
  - b.  $(\alpha^\beta)^\gamma = \alpha^{\beta \cdot \gamma}$ .
- 24.** Prove the following:
- a. For all  $\alpha$ ,  $\omega^{\epsilon_\alpha} = \epsilon_\alpha$ .
  - b. Prove that if  $\omega^\beta = \beta$ , then  $\beta = \epsilon_\alpha$  for some  $\alpha$ .
  - c. Prove that  $\epsilon_\alpha$  is the  $\alpha$ -th fixed point of the map  $\beta \mapsto \omega^\beta$ . (Or at least make sense of this statement and convince yourself it is true.)
  - d. Prove that there is an  $\epsilon$ -number  $\alpha$  so that  $\epsilon_\alpha = \alpha$ .
- 25.** (AC) Prove that a set is Dedekind finite iff it is finite.
- 26.** Without using the Axiom of Choice, prove that for all cardinals  $\kappa$ , there is a cardinal  $\lambda > \kappa$ . [Hint: consider the supremum of the otypes of the well-orderings of  $\kappa$ .]
- 27.** Suppose that  $f : ON \rightarrow ON$  is a strictly increasing and continuous function (i.e.,  $f(\sup A) = \sup f[A]$  for all sets of ordinals  $A$ .) Prove that there exist arbitrarily large fixed points of  $f$ . Prove that for all  $\kappa$ , there is an  $\alpha > \kappa$  such that  $\aleph_\alpha = \alpha$ .

28. Give an example of cardinals  $\alpha$  and  $\beta$  such that there is a function with domain  $\alpha$  with range cofinal in  $b$ , and such that  $cf(\beta) > cf(\alpha)$ . Choose your example so that  $\alpha < \beta$  if you are able.
29. Prove that there exists a strong limit ordinal.
30. Let  $\kappa$  be an infinite cardinal. Prove that  $cf(\kappa)$  is equal to the smallest cardinal  $\lambda$  such that  $\kappa = \bigcup \mathcal{I}$  for some set  $\mathcal{I}$  of cardinality  $\lambda$  such that for all  $X \in \mathcal{I}$ ,  $|X| < \kappa$ .
31. Prove that the Axiom of Choice is equivalent to Tukey's Lemma (see Kunen, problem #11, on page 44).
32. (Corrected from Kunen, problem #14, p. 44) Prove  $|\{X \subset \kappa : |X| = \lambda\}|$  is equal to  $\kappa^\lambda$ .
33. Prove that the Pairing Axiom follows from the rest of the axioms of  $ZF^-$ .
34. Which axioms of set theory are true in the  $\in$ -model with universe  $\omega$  (and the standard  $\in$  relation).
35. (Kunen, #19, p. 45) Suppose that if  $\kappa$  is an infinite cardinal and  $\triangleleft$  is a well-ordering of  $\kappa$ . Prove there is a subset  $X$  of  $\kappa$  such that  $|X| = \kappa$ , and such that  $\triangleleft$  and  $<$  (i.e.,  $\triangleleft$  and  $\in$ ) agree on  $X$ .
36. a. Suppose  $\kappa$  is regular and  $\lambda < \kappa$ . Prove that  $\kappa^\lambda$  equals  $\max\{\kappa, \sup\{\mu^\lambda : \mu < \kappa\}\}$ .  
 b. Suppose  $\kappa$  is weakly inaccessible and  $\lambda < \kappa$ . Prove that  $\kappa^\lambda$  equals  $\sup\{\mu^\lambda : \mu < \kappa\}$ .  
 c. Suppose  $\kappa$  is inaccessible. Prove that  $\kappa^{<\kappa} = \kappa$ .
37. Suppose that  $\kappa$  is singular and is not a strong limit cardinal. Prove that  $k^{<\kappa} = 2^{<\kappa} > \kappa$ .
38. Suppose that  $\kappa$  is singular and is a strong limit cardinal. Prove that  $2^{<\kappa} = \kappa$  and that  $\kappa^{<\kappa} = \kappa^{cf(\kappa)} > \kappa$ .
39. Prove that if  $M$  is a transitive model, then  $M$  satisfies Extensionality.
40. Prove that  $\mathbf{HF} = V_\omega$ .
41. What axioms of  $ZF$  are true in  $V_{\omega+\omega}$ ?

42. Prove that the symmetry and transitivity of equality are provable in first-order logic. I.e., prove that

$$\vdash (\forall x)(\forall y)(x = y)$$

$$\vdash (\forall x)(\forall y)(\forall z)(x = y \wedge y = z \rightarrow x = z)$$

43. Let  $\varphi$  be any formula. Prove that  $\vdash (\forall x)(\neg\varphi) \rightarrow \neg(\exists x)\varphi$ , and that  $\vdash (\exists y)[((\exists x)(\varphi(x))) \rightarrow \varphi(y)]$ .

44. Fix an arbitrary language  $L$ .

- Prove there is an infinite set  $T$  of sentences such that, for every  $L$ -structure  $\mathcal{M}$ , we have  $\mathcal{M} \models L$  iff  $|\mathcal{M}|$  is infinite.
- Prove that there is no set  $T$  of sentences such that, for every  $L$ -structure  $\mathcal{M}$ , we have  $\mathcal{M} \models L$  iff  $|\mathcal{M}|$  is finite.
- Prove that there is no finite set  $T$  of sentences such that, for every  $L$ -structure  $\mathcal{M}$ , we have  $\mathcal{M} \models L$  iff  $|\mathcal{M}|$  is infinite.

45. Suppose that  $S \models T$  and  $T \models S$  and that  $S$  is finite. Prove that there is a finite  $R \subseteq T$  such that  $R \models T$ .

46. Let  $x, y$  be in **WF**.

- Prove that  $\cup x$  and  $\wp(x)$  are in **WF**.
- Prove that  $\{x, y\}, x \times y, {}^y x$  are in **WF**.
- Compute the ranks of these set in terms of the ranks of  $x$  and  $y$ .
- Compute the ranks of  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ .

47. Prove that  $|V_\omega| = |\omega|$  without using the Axiom of Choice by giving an explicit isomorphism between the two sets. Hint: Given  $n, m \in \omega$ , let  $nEm$  hold iff the  $n$ -th bit of  $m$ 's binary representation is equal to 1. Prove that  $(V_\omega, \in)$  is isomorphic to  $(\omega, E)$ . (See problem III.5 in Kunen.)

48. Kunen, problem 15, page 108. Let  $AR$  be the strenthened Axiom of Replacement:

$$\forall x \in a \exists y \varphi \rightarrow \exists b \forall x \in a \exists y \in b \varphi.$$

Prove that AR is a theorem of  $ZF$  (with Foundation). See Kunen for a hint.

49. Kunen, problem 17, page 108. Prove in ZF, that if  $\mathbf{R}$  is a well-founded relation on a class  $\mathbf{A}$  (not necessarily set-like), then every non-empty subclass  $\mathbf{X}$  of  $\mathbf{A}$  has an  $\mathbf{R}$ -minimal element. See Kunen for a hint!
50. (Kunen, page 147, #4.) Show that if  $\kappa > \omega$ , then  $|H(\kappa)| = 2^{<\kappa}$ .
51. (Kunen, page 147, #5.) Prove, for all  $\kappa > \omega$ , that  $H(\kappa) = V_\kappa$  iff  $\kappa = \beth_\kappa$ .
52. Let  $\kappa$  be strongly inaccessible. Prove that “ $\alpha$  is strongly inaccessible” is absolute for  $H(\kappa)$ .
54. Kunen, page 147. #7.
55. Kunen, page 147. #8. Further hint, see Theorem I.7.6 on page 17.
56. Prove that the composition of absolute functions is absolute.
57. Prove that (ZFC proves that)

$$\text{Con}(ZFC^-) \Rightarrow \text{Con}(ZFC^- + (\exists x)(x = \{x\})).$$

Kunen’s problems 18 and 19 on page 148 have hints for this.

58. Prove also that the sentence  $\varphi$  in Gödel’s incompleteness theorem can be picked so that both  $\varphi$  and  $\neg\varphi$  unprovable. [Hint: look up Rosser’s theorem.]
59. (Kunen, #2, page 180.) (AC) Let  $\alpha \geq \omega$ . Show that  $|L(\alpha)| = |V_\alpha|$  if and only if  $\alpha = \beth_\alpha$ .
60. Kunen, #3, page 180. Assume  $V = L$ . Show that if  $\alpha > \omega$ , then  $L(\alpha) = V_\alpha$  if and only if  $\alpha = \beth_\alpha$ .
61. Kunen, #4, page 180. Assume  $V = L$ . Show that  $L(\kappa) = H(\kappa)$  for all infinite cardinals  $\kappa$ .
62. Kunen, #6, page 180. Definition and properties of  $L(A)$ .
63. Kunen, #7, page 180.