

The functors  $\text{Ext}_{\mathcal{O}_X}^i(\mathcal{F}, \cdot)$  and  $\text{Ext}_{\mathcal{O}_X}^i(\mathcal{F}, \cdot)$  are defined to be the right derived functors of  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \cdot)$  and  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \cdot)$  respectively.

Properties of  $\text{Ext}^i$  and  $\text{Ext}^i$ :

(1) For any  $\mathcal{O}_X$ -module  $\mathcal{G}$ :

$$\text{Ext}^0(\mathcal{O}_X, \mathcal{G}) \cong \mathcal{G}, \quad \text{Ext}^i(\mathcal{O}_X, \mathcal{G}) = 0 \quad \forall i > 0$$
$$\text{Ext}^0(\mathcal{O}_X, \mathcal{G}) \cong \text{Hom}(\mathcal{O}_X, \mathcal{G}) = H^0(X, \mathcal{G}).$$
$$\text{Ext}^i(\mathcal{O}_X, \mathcal{G}) \cong H^i(X, \mathcal{G}) \quad \forall i > 0$$

(2) Short exact sequences of sheaves give rise to long exact sequences of exterior sheaves and groups.



(3)  $\text{Ext}^i(\mathcal{F}, \mathcal{G})$  and  $\text{Ext}^i(\mathcal{F}, \mathcal{G})$  can be computed using left resolutions of  $\mathcal{F}$  by locally free sheaves of finite rank and applying the functors  $\text{Hom}_{\mathcal{O}_X}(\cdot, \mathcal{G})$  and  $\text{Hom}_{\mathcal{O}_X}(\cdot, \mathcal{G})$  when such resolutions exist (for instance if  $\mathcal{F}$  is coherent and  $X$  is quasi-projective over a noetherian ring).

(4) If  $\mathcal{L}$  is locally free of finite rank, then  $\forall$   $\mathcal{O}_X$ -modules  $\mathcal{F}$  and  $\mathcal{G}$ :

$$\text{Ext}^i(\mathcal{F} \otimes \mathcal{L}, \mathcal{G}) \cong \text{Ext}^i(\mathcal{F}, \mathcal{L}^* \otimes \mathcal{G})$$

$$\text{and } \text{Ext}^i(\mathcal{F} \otimes \mathcal{L}, \mathcal{G}) \cong \text{Ext}^i(\mathcal{F}, \mathcal{L}^* \otimes \mathcal{G}) \cong \text{Ext}^i(\mathcal{F}, \mathcal{G}) \otimes \mathcal{L}^*$$



(5) If  $X$  is a noetherian scheme and  $\mathcal{F}$  is coherent, then  $\forall x \in X$  and  $\forall i$  and  $\forall \mathcal{G}_x \mathcal{O}_x$ -module:

$$\text{Ext}_{\mathcal{O}_x}^i(\mathcal{F}, \mathcal{G}_x)_x \cong \text{Ext}_{\mathcal{O}_{x,x}}^i(\mathcal{F}_x, \mathcal{G}_x)$$

Dualizing sheaves:

Def:  $X$  proper scheme of dimension  $n$  over a field  $k$ .

A dualizing sheaf for  $X$  is a coherent sheaf  $\omega_X$ , together with a "trace" morphism

$$t: H^n(X, \omega_X) \rightarrow k$$

s.t.  $\forall$  coherent  $\mathcal{F}$ , the natural pairing

$$\text{Hom}(\mathcal{F}, \omega_X) \times H^n(X, \mathcal{F}) \rightarrow H^n(X, \omega_X)$$



followed by  $t$  is a perfect pairing, i.e., it gives an isomorphism

$$\text{Hom}(\mathcal{F}, \omega_X) \cong H^0(X, \mathcal{F})^*.$$

Facts:

(1) Dualizing sheaves do not always exist, but are unique when they do.

(2) If  $X$  is projective, then

$$\omega_X \cong \text{Ext}_{\mathbb{P}^n}^r(\mathcal{O}_X, \Omega_{\mathbb{P}^n}^n)$$

where  $X \hookrightarrow \mathbb{P}^n$ ,  $r = n - n$  is the codimension

$$\text{of } X \text{ in } \mathbb{P}^n, \quad \Omega_{\mathbb{P}^n}^n := \bigwedge^n \Omega_{\mathbb{P}^n}^1$$



(3) In particular, apply (2) to  $X = \mathbb{P}^n$ :

$$\begin{aligned} \omega_{\mathbb{P}^n} &\cong \text{Ext}_{\mathbb{P}^n}^0(\mathcal{O}_{\mathbb{P}^n}, \Omega_{\mathbb{P}^n}^n) \\ &\cong \text{Hom}_{\mathbb{P}^n}(\mathcal{O}_{\mathbb{P}^n}, \Omega_{\mathbb{P}^n}^n) \cong \Omega_{\mathbb{P}^n}^n \end{aligned}$$

Recall the Euler sequence:

$$0 \rightarrow \Omega_{\mathbb{P}^n}^1(1) \rightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1)) \otimes \mathcal{O}_{\mathbb{P}^n} \rightarrow \mathcal{O}_{\mathbb{P}^n}(1) \rightarrow 0$$

$$\begin{array}{c} \parallel \\ \mathcal{O}_{\mathbb{P}^n}^{\oplus (n+1)} \end{array}$$

twist back by  $-1$ :

$$0 \rightarrow \Omega_{\mathbb{P}^n}^1 \rightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1)) \otimes \mathcal{O}_{\mathbb{P}^n}(-1) \rightarrow \mathcal{O}_{\mathbb{P}^n} \rightarrow 0$$

Take top exterior powers (past homework):



$$\Lambda^{u+1} \left( H^0(\mathcal{O}_{\mathbb{P}^u}(1)) \otimes \mathcal{O}_{\mathbb{P}^u}(-1) \right) \cong \Lambda^u \Omega^1_{\mathbb{P}^u} \otimes \mathcal{O}_{\mathbb{P}^u}$$

$$\Lambda^{u+1} \left( \mathcal{O}_{\mathbb{P}^u}(-1)^{\oplus (u+1)} \right) \cong \Omega^u_{\mathbb{P}^u}$$

$$\cong \mathcal{O}_{\mathbb{P}^u}(-1)^{\oplus (u+1)} \cong \Omega^u_{\mathbb{P}^u}$$

$$\cong \mathcal{O}_{\mathbb{P}^u}(-u-1) \cong \Omega^u_{\mathbb{P}^u} = \omega_{\mathbb{P}^u}$$

(4) More generally, if  $X$  is a nonsingular projective (in ed.) variety over a field, then the dualizing sheaf of  $X$  is its canonical sheaf, i.e., the top exterior power of its sheaf of differentials  $\Omega^1_X$ .



## (5) Serre Duality:

Theorem: If  $X$  is Cohen-Macaulay and projective of pure dimension  $n$  (i.e., all irreducible components have dimension  $n$ ), then, for all coherent sheaves  $\mathcal{F}$  and all  $i$ , there are functorial isomorphisms:

$$\text{Ext}^i(\mathcal{F}, \omega_X) \cong H^{n-i}(X, \mathcal{F})^*.$$

For all locally free sheaves of finite rank:

$$H^i(X, \mathcal{F}) \cong H^{n-i}(X, \omega_X \otimes \mathcal{F}^*)^*$$

(recall  $\mathcal{F}^* := \text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{O}_X)$ )



(6) If  $X$  is projective and a local complete intersection of codimension  $r$  in  $\mathbb{P}^n$ , then

$$\omega_X \cong \omega_{\mathbb{P}^n} \otimes \wedge^r (\mathcal{I}_X / \mathcal{I}_X^2)^*.$$

Def:  $(\mathcal{I}_X / \mathcal{I}_X^2)^*$  is the normal sheaf of  $X$  in  $\mathbb{P}^n$

$\mathcal{I}_X / \mathcal{I}_X^2$  is the conormal sheaf.

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Regular sequences and the Cohen-Macaulay condition:

Def: given a ring  $A$  and an  $A$ -module  $M$ , a sequence  $a_1, \dots, a_n$  of elements of  $A$  is called regular for  $M$  if

(1)  $a_1$  is not a zero divisor in  $M$  ( $a_1: M \hookrightarrow M$ )

(2)  $\forall i \geq 2$ ,  $a_i$  is not a zero divisor in  $M / (a_1, \dots, a_{i-1})M$ .



Def: If  $A$  is a local ring with maximal ideal  $\mathfrak{m}$ , the depth of  $\mathfrak{m}$  is the maximum length of a regular sequence  $\{a_1, \dots, a_n\} \subset \mathfrak{m}$ .

A *noetherian* local ring is called Cohen-Macaulay if its depth as a module over itself is equal to its dimension.

Facts: Regular local rings are Cohen-Macaulay and quotients of Cohen-Macaulay rings by ideals generated by regular sequences are Cohen-Macaulay.

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Def: (1) A scheme is Cohen-Macaulay if all its local rings are Cohen-Macaulay.



(2) A scheme is a local complete intersection

if  $\forall x \in X \quad \exists$  affine neighborhood  $U = \text{Spec } A \ni x$ .

s.t.  $A = B/I$  where  $I$  can be generated

by a sequence  $a_1, \dots, a_r \in I$  s.t.

$\forall \mathfrak{y} \in \text{Spec } B \quad (a_1)_{\mathfrak{y}}, \dots, (a_r)_{\mathfrak{y}} \in (\tilde{I})_{\mathfrak{y}}$   
is a regular sequence.

and  $\text{Spec } B$  is a regular scheme.

Def: A not necessarily local ring is called Cohen-Macaulay  
if all its localizations at its prime ideals are Cohen-Macaulay.



Fact: If  $a_1, \dots, a_n$  is a regular sequence and  $\mathfrak{I} := (a_1, \dots, a_n) \subset A$ , then  $\mathfrak{I}/\mathfrak{I}^2$  is a free  $A$ -module of rank  $n$  and, for all  $d$ , the natural map

$$\text{Sym}^d(\mathfrak{I}/\mathfrak{I}^2) \longrightarrow \mathfrak{I}^d/\mathfrak{I}^{d+1}$$

is an isomorphism.

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To say that a noetherian local ring of dimension  $n$  is Cohen-Macaulay means that there exists a regular sequence  $a_1, \dots, a_n$  s.t. the quotient

$$A/(a_1, \dots, a_n)$$

has dimension zero.

(When the ring is regular,  $\exists$  sequence s.t. the quotient is a field)



Given a scheme  $X$  and a point  $x \in X$ , let  $V = \text{Spec } A$  be an affine neighborhood of  $x$  and let  $\mathfrak{p}$  be the prime ideal of  $A$  corresponding to  $x$ . If  $\mathcal{O}_{X,x} = A_{\mathfrak{p}}$  is Cohen-Macaulay, then its maximal ideal contains a regular sequence  $a_1, \dots, a_d$  with  $d = \dim A_{\mathfrak{p}} = \text{height } \mathfrak{p}$ . After possibly localizing  $A$  (at the denominators of  $a_1, \dots, a_d$ ) we can assume  $a_1, \dots, a_d \in A$ .

$$\begin{aligned} \text{By Hauptidealatz, } \dim A / \langle a_1, \dots, a_d \rangle &= \dim A - d \\ &= \dim \overline{\{\mathfrak{p}\}} \\ &= \dim A / \mathfrak{p} \end{aligned}$$

Hence  $\text{Spec } A / \mathfrak{p} = \overline{\{\mathfrak{p}\}}$  is an irreducible component  $\mathfrak{p}$  of

$$\text{Spec } A / \langle a_1, \dots, a_d \rangle = Z(a_1, \dots, a_d) = Z(a_1) \cap \dots \cap Z(a_d)$$

After further localizing  $A$ , we can assume  $\text{Spec } A / \langle a_1, \dots, a_d \rangle$



is irreducible (each irreducible component is closed and after removing it we have a smaller neighborhood of  $x$ ).

Hence the closure  $\overline{\{x\}}$  is "set-theoretically" cut out by the  $d$  equations  $a_1, \dots, a_d$ .

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Examples of Cohen-Macaulay rings:

(1) Noetherian local rings of dimension 0 are Cohen-Macaulay.

Note that Noetherian local rings of dimension 0 are Artin rings.

A ring is an Artin ring if it satisfies the descending chain condition for ideals.



(2) One-dimensional reduced noetherian rings are Cohen-Macaulay.

(3) Two-dimensional normal noetherian rings are Cohen-Macaulay

A ring is called normal if it is reduced and integrally closed in its total quotient ring.

(4) If  $A$  is a finitely generated Cohen-Macaulay algebra over a field  $k$  with an action of a finite group  $G$ , then the subring of invariants  $A^G$  is Cohen-Macaulay.

(5) Determinantal rings are Cohen-Macaulay.

A ring is called determinantal if it is a quotient

$B = A / I$  where  $A$  is a regular local ring and

$I$  is the ideal generated by the  $r \times r$  minors of a  $p \times q$



matrix with coefficients in  $A$  s.t. the height of any minimal prime of  $I$  is the expected codimension  $(p-r+1)(q-r+1)$ .

The main example of m.d. an ideal is the ideal of the locus of matrices of rank  $< r$  in the space of all  $p \times q$  matrices with entries in a field.

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Curves: From now on, all schemes are over an algebraically closed field  $k$ .

Def: A curve  $X/k$  is an integral separated scheme of finite type over  $k$ , of dimension 1. We say  $X$  is complete if it is proper over  $k$ . We will prove some nice results about curves.