## MATH 202A APPLIED ALGEBRA I FALL 2019

## Homework week 7

Due by 2359 on Sunday November 14 (hand in via Gradescope).

**1.** Consider the space V of complex sequences  $(a_0, a_1, a_2, ...)$  satisfying

$$a_n = r_1 a_{n-1} + r_2 a_{n-2} + \dots + r_d a_{n-d}$$

for all  $n \ge d$ , where  $d \ge 1$  is an integer and  $r_1, \ldots, r_d \in \mathbb{C}$  are fixed constants. We assume also that  $r_d \ne 0$ . You may also assume without proof that V is finite-dimensional and dim V = d. As in Problem Set 6 Q6, write  $\phi: V \to V$  for the linear map

$$\phi(a_0, a_1, a_2, \dots) = (a_1, a_2, a_3, \dots)$$

and also write  $\psi: V \to \mathbb{C}$  for the linear functional  $\psi(v_0, v_1, v_2, ...) = v_0$ . You may use without proof that  $r_d \neq 0 \Rightarrow 0 \notin \operatorname{spec}(\phi)$ .

(a) Suppose  $v = (v_0, v_1, v_2, ...) \in G(\lambda, \phi)$ . By considering the action of  $\phi^m$ , or otherwise, prove that there exists constants  $c_0, \ldots, c_{k-1}$  where  $k = \dim G(\lambda, \phi)$ , such that

$$v_m = \psi(\phi^m(v)) = \sum_{i=0}^{k-1} c_i \binom{m}{i} \lambda^m$$

for all  $m \ge 0$ . (By convention,  $\binom{n}{i} = 0$  if i > n.)

(b) Prove that the sequences  $v^{\lambda,i} \in V$  for  $\lambda \in \operatorname{spec}(\phi)$  and  $0 \leq i < \dim G(\lambda, \phi)$ , given by

$$v_n^{\lambda,i} = \binom{n}{i} \lambda^n,$$

form a basis for V.

[You should be careful to explain why these sequences are in V and are linearly independent, although you should not need to prove these things directly.]

**2.(a)** Suppose V is an inner product space with inner product  $\langle -, - \rangle$  and associated norm  $\|\cdot\|$ . Prove that the *parallelogram law* holds for  $\|\cdot\|$ : that is, for all  $v, w \in V$  we have

 $||v+w||^2 + ||v-w||^2 = 2||v||^2 + 2||w||^2.$ 

(b) Suppose V is a finite-dimensional real vector space and  $\|\cdot\|$  is a norm on V obeying the parallelogram law: that is,

$$||v + w||^2 + ||v - w||^2 = 2||v||^2 + 2||w||^2$$

for all  $v, w \in V$ . Prove that there is an inner product  $\langle -, - \rangle$  on V such that  $||v|| = \sqrt{\langle v, v \rangle}$ . [Hint: consider the quantity  $\frac{1}{2} (||v + w||^2 - ||v||^2 - ||w||^2)$ .] [Hint: it may be useful to prove the identity

$$||v + w||^{2} + ||v - w||^{2} - ||v' + w||^{2} - ||v' - w||^{2}$$
$$- ||v + w'||^{2} - ||v - w'||^{2} + ||v' + w'||^{2} + ||v' - w'||^{2} = 0$$

by applying the parallelogram law four times to (v, w), (v', w), (v, w') and (v', w'). This identity, with a suitable substitution of variables, should be what you need to prove linearity.]

(c) For every  $n \ge 2$  and  $1 \le p \le \infty$ ,  $p \ne 2$ , find vectors  $v, w \in \mathbb{R}^n$  such that

$$||v+w||_p^2 + ||v-w||_p^2 \neq 2||v||_p^2 + 2||w||_p^2$$

[Hence, the  $\|\cdot\|_p$ -norms do not secretly come from some exotic inner product.]

- (d\*) Prove the same statement as (b) assuming now that V is a complex vector space. [Hint: it may now help to build an expression from the quantities  $||v \pm w||^2$  and  $||v \pm iw||^2$ .]
- **3.** Let  $V = \mathbb{R}^n$ , and identify  $V^* = \mathbb{R}^n$  in the usual way; i.e., a vector  $(a_1, \ldots, a_n) \in \mathbb{R}^n$  corresponds to a linear map  $\mathbb{R}^n \to \mathbb{R}$ ,

$$(x_1,\ldots,x_n)\mapsto a_1x_1+\cdots+a_nx_n.$$

For each of the norms  $\|\cdot\|_{\infty}$  and  $\|\cdot\|_1$  on  $\mathbb{R}^n$ , determine carefully the value of the corresponding dual norms  $\|(a_1,\ldots,a_n)\|_{\infty}^*$ ,  $\|(a_1,\ldots,a_n)\|_1^*$  for all  $(a_1,\ldots,a_n) \in \mathbb{R}^n \cong V^*$ .

- **4.(a)** For every integer  $n \ge 1$  and every pair of values p, q with  $1 \le p \le q \le \infty$ , find the largest contsant  $c \in \mathbb{R}$  and the smallest constant  $C \in \mathbb{R}$  such that  $c \|x\|_p \le \|x\|_q \le C \|x\|_p$  for all  $x \in \mathbb{C}^n$ .
  - (b) If A is an  $n \times n$  complex matrix, we interpret it as a linear map  $\mathbb{C}^n \to \mathbb{C}^n$  in the usual way. We use the usual Euclidean norm  $\|\cdot\|_2$  on  $\mathbb{C}^n$ . For every integer  $n \ge 1$ , find the largest contsant  $c \in \mathbb{R}$  and the smallest constant  $C \in \mathbb{R}$  such that

$$c\|A\|_{\mathrm{op}} \le \max_{1\le i,j\le n} |A_{ij}| \le C\|A\|_{\mathrm{op}}$$

holds for all matrices A.

[Specifically,  $||A||_{op}$  is the smallest constant K such that  $||Ax||_2 \leq K ||x||_2$  for all  $x \in \mathbb{C}^n$ .]

- 5. Let V be a finite-dimensional vector space.
  - (a) Suppose  $\|\cdot\|$ ,  $\|\cdot\|'$  are two norms on V such that  $\|\cdot\| \le \|\cdot\|'$ . Prove that  $\|\cdot\|'^* \le \|\cdot\|^*$ .
  - (b) If  $\|\cdot\|$  is a norm on V and  $\alpha \in \mathbb{R}_{>0}$  is a scalar, write  $\alpha \|\cdot\|$  for the norm  $v \mapsto \alpha \|v\|$ . Prove that the dual norm to  $\alpha \|\cdot\|$  is  $(1/\alpha) \|\cdot\|^*$ .